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An updated molecular basis for mussel immunity

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Highlights Gerdol and Venier FSIM SI 2014

Mussels are not as distressed by pathogens as other bivalves are

We analyzed all the available *Mytilus galloprovincialis* sequence data

We propose an updated molecular view of mussel immune responses

We report a number of novelties concerning the various mussel PRRs

We outlined traceable elements of the mussel immune signaling

ACCEPTED MANUSCRIPT

1 **An updated molecular basis for mussel immunity**

2

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11 **Abstract**

12 Non-self recognition with the consequent tolerance or immune reaction is a crucial process to succeed as
13 living organisms. At the same time the interactions between host species and their microbiome, including
14 potential pathogens and parasites, significantly contribute to animal life diversity. Marine filter-feeding
15 bivalves, mussels in particular, can survive also in heavily anthropized coastal waters despite being
16 constantly surrounded by microorganisms. Based on the first outline of the *Mytilus galloprovincialis*
17 immunome dated 2011, the continuously growing transcript data and the recent release of a draft mussel
18 genome, we explored the available sequence data and scientific literature to reinforce our knowledge on
19 the main gene-encoded elements of the mussel immune responses, from the pathogen recognition to its
20 clearance. We carefully investigated molecules specialized in the sensing and targeting of potential
21 aggressors, expected to show greater molecular diversification, and outlined, whenever relevant, the
22 interconnected cascades of the intracellular signal transduction.

23 Aiming to explore the diversity of extracellular, membrane-bound and intracellular pattern recognition
24 receptors in mussel, we updated a highly complex immune system, comprising molecules which are
25 described here in detail for the first time (e.g. NOD-like receptors) or which had only been partially
26 characterized in bivalves (e.g. RIG-like receptors). Overall, our comparative sequence analysis supported
27 the identification of over 70 novel full-length immunity-related transcripts in *M. galloprovincialis*.
28 Nevertheless, the multiplicity of gene functions relevant to immunity, the involvement of part of them in
29 other vital processes, and also the lack of a refined mussel genome make this work still not-exhaustive and
30 support the development of more specific studies.

31

32 **Keywords**33 *Mytilus galloprovincialis*, innate immunity, transcriptome, bivalves.

34

35 **List of abbreviations**

36 AMP: antimicrobial peptide

37 BD: big defensin

38 BIR: baculovirus inhibitor of apoptosis protein repeat

39 BPI: bactericidal/permeability increasing protein

40 C1qDC: C1q domain-containing

41 CARD: caspase recruitment domain

42 CpG-DNA: CpG oligodeoxynucleotides

43 CLECT: C-type lectin domain

44 CRD: carbohydrate recognition domain

45 CS- $\alpha\beta$: cystine-stabilized alpha-beta motif

46 CTL: C-type lectin

47 Gram+: Gram positive [staining]

48 Gram-: Gram negative [staining]

49 GNBP: Gram-negative binding protein

50 iE-DAP: γ -D-Glu-meso-diaminopimelic acid

51 IFN: interferon

52 IPS-1: IFN-beta promoter stimulator

53 IRF: interferon regulatory factors

54 JNK: c-JUN N-terminal kinase

- 55 LGBP: lipopolysaccharide and β -1, 3-glucan binding proteins
- 56 LRR: leucine-rich repeats
- 57 MAP3K: mitogen-activated protein kinase kinase kinase
- 58 MAMP: microbe associated molecular pattern
- 59 MAPK: mitogen-activated protein kinase
- 60 MAPKK: mitogen-activated protein kinase kinase
- 61 MKK: mitogen-activated protein kinase kinase
- 62 MDP: muramyl dipeptide
- 63 NGS: next generation sequencing
- 64 NLR: NOD-like receptor
- 65 PAMP: pathogen associated molecular pattern
- 66 PGN: peptidoglycan
- 67 PGRP: peptidoglycan recognition protein
- 68 PO: prophenoloxidase
- 69 PRR: pattern recognition receptors
- 70 RLR: RIG-like receptor
- 71 SRCR: scavenger receptor cysteine-rich
- 72 STING: stimulator of interferon genes
- 73 TIMP: tissue inhibitor of metalloproteinases
- 74 TIR: Toll-interleukin-1-receptor
- 75 TNF: tumor necrosis factor
- 76 TLR: Toll-like receptor

77 **1. Introduction**

78 The study of animal species often reveals taxon-specific patterns of evolutionary diversification, according
79 to the organism life style and related environmental niches. In particular, the evolution of innate defense
80 systems exposes the never-ending race between the animal host and more quickly evolving
81 microorganisms, with the development of specialized host-pathogen (or host-parasite) interactions,
82 independent events of gene loss or gene expansion and fast diversification of molecules essential for
83 pathogen sensing and targeting [1]. As a matter of fact, the study of species unable to mount long-term
84 adaptive responses has highlighted fascinating aspects of animal diversity and physiology in a changing
85 environment [2,3].

86 Molecules and pathways of the innate immune response have been more extensively studied in
87 invertebrates such as the fruit fly [4], the sea urchin [2] and cnidarians [5,6]. In comparison, the repertoire
88 of gene-encoded elements composing the lophotrochozoan immunity has still to be revealed, particularly
89 in molluscs, which represent the second most species-rich metazoan group with about 100,000 estimated
90 extant species [7,8]. The first molluscan genome to be sequenced, pertaining to the gastropod *Lottia*
91 *gigantea*, was released only in 2007, 7 and 9 years later than the genomes of *Drosophila melanogaster* and
92 *Caenorhabditis elegans*, respectively. As regards bivalve molluscs, a class comprising species of great
93 ecological and commercial importance, only in recent years the increasing accessibility of next generation
94 sequencing (NGS) technologies permitted significant advances [9]. So far, just two bivalve draft genomes
95 (*Crassostrea gigas* and *Pinctada fucata*) have been released but RNA-seq datasets for more than 40
96 different species have been already produced (NCBI SRA, accessed in November 2014).

97 The sequence data currently available for the the common mussel (*Mytilus* spp.) are summarized in **Table**
98 **1**. The first glimpse on the complex mussel immune system was provided by Sanger EST sequencing [10], an
99 approach which was followed by 454 Life Sciences sequencing [11–15] and by high throughput Illumina
100 sequencing, a technology allowing a better full-length reconstruction of transcripts [16]. In 2014, a non-
101 annotated set of genomic sequences of *Mytilus galloprovincialis* was released, a real landmark for the
102 progression of genomic studies in this bivalve [17].

103 Mussels are rather tolerant to environmental changes and they are therefore used as pollution sentinels in
104 coastal waters but, more intriguingly, they appear less affected or not harmed by syndromes and infectious
105 agents distressing other bivalves [18,19]. How mussels govern microorganisms associations with their
106 seasonally varying amounts of microbe-associated molecular patterns (MAMPs) and virulence factors
107 remains to be established. For these reasons, we have undertaken a revision of sequence and literature
108 data to update our knowledge on the gene-encoded molecules shaping the strength and peculiarities of the
109 innate responses of mussels in the context of their fluctuating holobiome. Starting from the first
110 “immunome” description [20] and expanding the analysis to NGS datasets related to the blue mussel
111 [13,21] and other bivalve species [22–24] we propose a step forward in the understanding of pathogen

112 recognition and clearance in *M. galloprovincialis*. Since the *de novo* assembly of RNA-seq data can provide
113 only a partial view of the genes involved in mussel immune responses, we have often used the Pacific
114 oyster *C. gigas* genome for comparison. Considering possible drawbacks inherent to the *de novo* assembly
115 (transcript fragmentation, misassembly, etc.) we have deposited in GenBank only selected sequences of
116 novel full length transcripts, highly supported either in terms of read coverage or confirmed by genomic
117 sequences.

118 The functional validation of the novel mussel transcripts goes beyond the purpose of this work. As well, the
119 comprehensive characterization of single genes or gene families (especially the analysis of regulatory gene
120 elements and splicing patterns) is not affordable in a single paper nor it is feasible in the absence of a
121 finished genome. While updating the available knowledge on the various molecules participating in the
122 mussel immunity, we have paid more attention to receptors and effectors which likely undergo faster
123 evolution rate and diversification, and described in detail only the key elements of the intricate, and
124 evolutionarily more conserved, intracellular immune signaling. In fact, significant work is still needed to
125 disentangle the interconnected pathways of intracellular signal transduction, which often depend on post-
126 translational protein modifications, and to adequately investigate the presence of a regulatory cytokine-like
127 network in mussels. Hence, only the signalling pathways clearly connected to the activation of specific
128 pattern recognition receptors (PRRs) are reported in this paper.

Species	Sample	Sequencing technology	Sequencing strategy	Sequencing effort (Gbp)	Year of release	Reference**
<i>M. galloprovincialis</i>	mixed tissues	Sanger	EST-seq	<0.1	2009	[10]
<i>M. galloprovincialis</i>	mixed tissues	454	RNA-seq	<0.1	2010	[14]
<i>M. edulis</i>	mixed tissues	454	RNA-seq	1.1	2012	[13]
<i>M. galloprovincialis</i>	digestive gland	454	RNA-seq	1.5	2013	[11]
<i>M. edulis</i>	mantle	454	RNA-seq	0.3	2014	[12]
<i>M. galloprovincialis</i>	foot	454	Targeted genome sequencing	0.6	2014	[15]
<i>M. galloprovincialis</i>	digestive gland	Illumina	RNA-seq	8.1	2014	[16]
<i>M. galloprovincialis</i>	whole body	Illumina	RNA-seq	12.4	2014	PRJNA249058
<i>M. edulis</i>	whole body	Illumina	RNA-seq	10.9	2014	PRJNA249058
<i>M. trossulus</i>	whole body	Illumina	RNA-seq	5.8	2014	PRJNA249058
<i>M. californianus</i>	whole body	Illumina	RNA-seq	3.9	2014	PRJNA249058
<i>M. edulis</i>	larvae	Illumina	RNA-seq	32.8	2014	[21]
<i>M. galloprovincialis</i>	mantle	Illumina	Whole genome sequencing	1.6*	2014	[17]

129

130 **Table 1.** Overview of the sequence resources available for *Mytilus* spp. in Nov 2014. Species, samples and
 131 sequencing details, including the total sequencing effort, are reported for each study. *This number is
 132 referred to the assembled genome size. **For unpublished data, the Bioproject accession ID is reported.

133 2. Materials and Methods

134 2.1. Identification of mussel immunity-related transcripts

135 The Illumina RNA-seq data available for *M. galloprovincialis* (Table 1) were downloaded from the NCBI
136 Sequence Read Archive and *de novo* assembled using Trinity (release 2014.04.13) with default parameters
137 [25], setting the minimum allowed contig length to 200 nucleotides.

138 Based on literature data, we systematically identified protein sequences related to innate immunity in
139 human, *D. melanogaster* and bivalve species and downloaded them from the NCBI protein database. These
140 sequences were imported in the CLC Genomics Workbench 7.5 environment (CLC Bio, Aarhus, Denmark)
141 and used as queries for tBLASTn searches to identify similar mussel sequences [26]. Positive matches,
142 initially detected with a BLAST e-value threshold of 1×10^{-5} , were checked for the presence of a complete
143 open reading frame (from the initial ATG to the STOP codon). Whenever possible, partial sequences were
144 elongated to their full length by comparison and reassembly with overlapping Trinity contigs or with those
145 obtained in an alternative transcriptome assembly (*de novo assembly* with automatic detection of the *word*
146 *size* and *bubble size* parameters using the CLC Genomics Workbench). If alternatively spliced variants were
147 detected, only the contig encoding a full-length protein compared to the BLAST query was retained.

148 We carefully assessed the quality of the assembled mussel transcripts by mapping all the available paired-
149 end Illumina reads on them, using the *map reads to contigs* tool and setting *length/similarity fraction*
150 parameters to 0.75/0.95 and *insertion/deletion/mismatch* penalties to 3/3/3. Only the sequences
151 consolidated by uniform Illumina read coverage were considered as trustworthy and kept for further
152 analysis. The correct assembly of mussel transcripts was further assessed by alignment with the
153 corresponding genomic contigs, but this was only possible for a limited subset of sequences due to the high
154 fragmentation of the released mussel genome assembly [17].

155 Virtual protein translations were checked for the presence of conserved domains with InterProScan v. 5.4-
156 47.0 [27], whereas signal peptides and transmembrane domains were detected with Signalp v. 4.1 [28] and
157 TMHMM v.2.0 [29], respectively. Specific cases where a signal peptide could not be detected in proteins
158 expected to be targeted to the secretory pathway were further analyzed with SecretomeP 2.0 [30]. In the
159 present paper, we only report sequences displaying significant BLAST matches and sequence features
160 consistent with data previously reported in other organisms and fully confirmed by a uniform read
161 coverage. Peculiarities of the mussel gene transcripts compared to the domain organization expected in
162 other organisms are reported, case by case, in the text.

163

164 2.2. Comparative genomics analyses

165 The completely annotated genome of the Pacific oyster *C. gigas* [31] was downloaded from
166 EnsemblMetazoa (release oyster_v9, GCA_000297895.1). Genomic sequences related to immunity were
167 identified using a strategy similar to the one described above for *M. galloprovincialis*, combining the BLAST
168 searches with InterPro domain analyses. In the case of incongruent results between the mussel and oyster
169 genome datasets, the possible presence of misannotated genes was further investigated at the transcript
170 level, by searching the sequences of interest in an oyster transcript collection obtained by *de novo*
171 assembly of RNA-seq data from multiple tissues (SRA accession IDs: SRR334212-20). This assembly was
172 generated with the CLC Genomics Workbench *de novo* assembly tool, using the same strategy detailed
173 above for the mussel transcriptome.

174 The size of specific gene families in oyster and in other organisms was estimated by the number of typical
175 InterPro [32] or SUPERFAMILY [33] domain detected in each genome. Whenever useful, the combination of
176 domains and their relative position were also taken into account for the correct classification of proteins
177 within specific families.

178 **3. Results and discussion**

179 The complete list of the novel sequences reported in the present paper and their respective accession IDs
 180 are summarized in **Table 2**. The fragmentation of the released mussel genome (over 2 million contigs with a
 181 N50 value of ~1000) [17] prevented systematic searches and, therefore, the description of genomic
 182 landscapes and regulatory gene features (e.g. promoter elements and alternative splicing events) is not
 183 included in this work.

Sequence name	Putative function	GenBank accession ID
allograft inflammatory factor 1 (AIF1)	proinflammatory cytokine	KP125895
arthropod defensin-like 1	antimicrobial peptide	KP125907
arthropod defensin-like 1	antimicrobial peptide	KP125908
ATP-dependent RNA helicase DDX41	double-stranded DNA sensing in the cytoplasm	KP125906
bactericidal/permeability increasing protein 2	antimicrobial effector (BPI family)	KP125896
bactericidal/permeability increasing protein 3	antimicrobial effector	KP125945
complement component C3-like	complement component	KP125947
c-Jun N-terminal kinase	Intracellular signaling (MAPK pathway)	KP713438
C-type lectin 1	extracellular PRR (C-type lectin family)	KP125897
C-type lectin 2	extracellular PRR (C-type lectin family)	KP125898
C-type lectin 3	extracellular PRR (C-type lectin family)	KP125899
C-type lectin 4	extracellular PRR (C-type lectin family)	KP125900
C-type lectin 5	extracellular PRR (C-type lectin family)	KP125901
C-type lectin 6	extracellular PRR (C-type lectin family)	KP125902
C-type lectin 7	extracellular PRR (C-type lectin family)	KP125903
C-type lectin 8	extracellular PRR (C-type lectin family)	KP125904
C-type lectin 9	extracellular PRR (C-type lectin family)	KP125944
C-type lysozyme 2	antimicrobial effector (C-type lysozyme family)	KP125905
C-type lysozyme 3	antimicrobial effector (C-type lysozyme family)	KP125943
fibrinogen-related protein 10	extracellular PRR (FREP family)	KP125911
fibrinogen-related protein 11	extracellular PRR (FREP family)	KP125912
fibrinogen-related protein 12	extracellular PRR (FREP family)	KP125913
fibrinogen-related protein 8	extracellular PRR (FREP family)	KP125909
fibrinogen-related protein 9	transmembrane PRR (FREP family)	KP125910
galectin 1	extracellular PRR (galectin family)	KP125894
galectin 2	extracellular PRR (galectin family)	KP125914
galectin 3	extracellular PRR (galectin family)	KP125915
galectin 4	extracellular PRR (galectin family)	KP125916
interferon regulatory factor 1/2-like 1	transcription factor regulating IFN response	KP125917
interferon regulatory factor 1/2-like 2	transcription factor regulating IFN response	KP125918
interferon regulatory factor 5/8-like 1	transcription factor regulating IFN response	KP125919
I-type_lysozyme	antimicrobial effector (I-type lysozyme family)	KP125920
MACPF domain-containing protein 1	Perforin	KP125921
MACPF domain-containing protein 2	Perforin	KP125922
MACPF domain-containing protein 3	Perforin	KP125923
MACPF domain-containing protein 4	Perforin	KP125924
MACPF domain-containing protein 5	Perforin	KP125925
MACPF domain-containing protein 6	Perforin	KP125926
MACPF domain-containing protein 7	Perforin	KP125927
MACPF domain-containing protein 8	Perforin	KP125928
MAP kinase kinase 3/6-like	Intracellular signaling (MAPK pathway)	KP713434
MAP kinase kinase 4-like	Intracellular signaling (MAPK pathway)	KP713435
MAP kinase kinase 7-like	Intracellular signaling (MAPK pathway)	KP713437
MAP kinase kinase kinase 1-like	Intracellular signaling (MAPK pathway)	KP713433
MAP kinase p38-like	Intracellular signaling (MAPK pathway)	KP713439
membrane-bound C-type lectin	membrane-bound PRR (C-type lectin family)	KP125930
MytiLec 2	extracellular PRR (R-type lectin family)	KP125931
MytiLec 3	extracellular PRR (R-type lectin family)	KP125932
mytilin K	antimicrobial peptide (mytilin family)	KP125933
mytilin N	antimicrobial peptide (mytilin family)	KP125934
NOD-like receptor 1	intracellular bacterial and viral sensing	KP125929

peptidoglycan recognition protein 1	membrane-bound PRR (PGRP family)	KP125935
peptidoglycan recognition protein 2	membrane-bound PRR (PGRP family)	KP125936
peptidoglycan recognition protein 3	extracellular PRR (PGRP family)	KP125946
pseudomytilin 1	antimicrobial peptide (mytilin family)	KP125937
putative serine protease A	inhibitor of pathogen protease	KP141764
putative serine protease B	inhibitor of pathogen protease	KP141761
putative serine protease C	inhibitor of pathogen protease	KP141766
putative serine protease D	inhibitor of pathogen protease	KP141763
putative serine protease E	inhibitor of pathogen protease	KP141762
putative serine protease F	inhibitor of pathogen protease	KP141765
RIG-like receptor 1	intracellular viral sensing	KP125938
stimulator of interferon genes 1	intracellular viral and bacterial sensing	KP125939
stimulator of interferon genes 2	intracellular viral and bacterial sensing	KP125948
TNF ligand-like 1	cytokine	KP125940
TNF ligand-like 2	cytokine	KP125941
TNF ligand-like 3	cytokine	KP125942
Transcription factor fos-like 1	Transcription factor (MAPK pathway)	KP713441
Transcription factor fos-like 2	Transcription factor (MAPK pathway)	KP713442
Transcription factor jun-like	Transcription factor (MAPK pathway)	KP713440

184 **Table 2:** List of novel GenBank records reporting sequences expressed in *M. galloprovincialis* and discussed
 185 in this paper.

186 3.1. Pattern Recognition Receptors (PRRs)

187 The recognition of molecular motifs exposed by host-associated microbiomes (collectively called microbe
 188 associated molecular patterns, i.e. MAMPs) and by abnormal self elements is the first essential step in the
 189 activation of a coordinated and effective immune response, especially in organisms lacking adaptive
 190 immunity. As a result, specific protein-protein interactions and post-translational modifications convert the
 191 sensing phase in reaction: a finely tuned expression of genes which provides a variety of effector molecules
 192 (i.e. antimicrobial peptides, receptors and adhesion molecules, protease and protease inhibitors, cytokines
 193 and chemokines) and shapes cell behaviour in time (e.g. migration, phagocytosis, autophagy, apoptosis).
 194 The success of this ancient defense strategy depends on pathogen pressure (amount and virulence),
 195 availability and functional plasticity of PRRs, molecular pathways based on enzymatic cascades, and
 196 regulatory circuits inside and outside the immunocytes. Rapidity and intensity of the innate defense
 197 reactions are also influenced by the functional condition of the host.

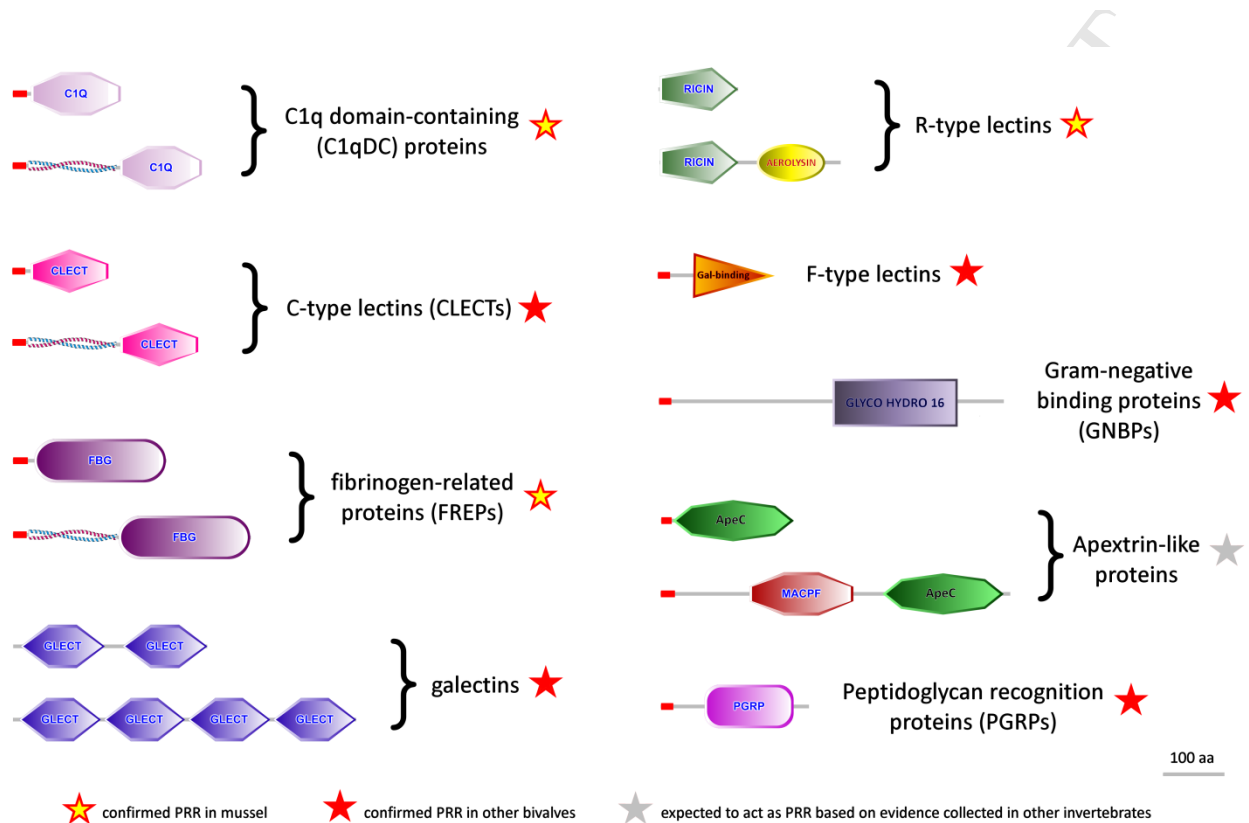
198 The hemocytes, freely circulating in hemolymph and tissues, are the cells actively recruited in the mussel
 199 immune responses, even though other cell types may be involved [34]. In this work, we cannot attribute
 200 specific PRRs exclusively to mussel hemocytes and different experimental approaches, such as *in situ*
 201 hybridization and proteomic analyses hold the potential to clarify their cellular context in the future.

202 PRRs are evolutionarily conserved families of extracellular, membrane-bound or cytosolic molecules whose
 203 function has been referred to a limited number of protein domains [35]. In this section, we explore and
 204 present the numerous PRRs identified in mussel, and discuss the growing body of evidence pointing out to
 205 the expansion of diverse immune receptors in marine bivalves.

206

207 **3.1.1. Extracellular PRRs**

208 Secreted PRRs constitute a large fraction of the transcriptome in the most known bivalve species. Overall,
 209 they are characterized by a dozen of different carbohydrate recognition domains (CRDs) and possibly exist
 210 in hundreds of protein variants. Domain organization and variety of the extracellular mussel PRRs are
 211 represented in **Figure 1**.



212

213 **Figure 1: Domain organization and variety of extracellular mussel PRRs.** A red segment at the N-terminus
 214 of the reported molecules indicates the signal peptide. Star symbols indicate the weight of functional
 215 evidence for each group.

216

217 **3.1.1.1. C1q domain-containing (C1qDC) proteins**

218 The C1q domain is known as a versatile PRR in many Protostomes and the widespread family of proteins
 219 displaying this domain (C1qDC proteins) probably includes one of the main, if not the largest, class of PRRs
 220 in most bivalves [36]. Even though one C1qDC protein was described in 2001 as the major component of
 221 the extrapallial fluid in *Mytilus edulis* [37], the first report of a strong over-expression of C1qDC sequences
 222 upon bacterial injection in *M. galloprovincialis* came in 2010 [38], a finding which was later confirmed also
 223 in *Mytilus coruscus* [39].

224 The coding sequence of mussel C1qDC proteins usually comprises an N-terminal signal peptide, a central
225 coiled-coil region which is often missing, and a single globular C-terminal C1q domain with flexible ligand
226 binding properties. The coiled-coil region might be functionally homologous to the collagen-like region of
227 vertebrate C1q-like proteins (lacking in bivalves), serving as an oligomerization domain.

228 The expansion of this gene family was suggested by the abundance of C1qDC transcripts in the first mussel
229 EST collection [20] and by the subsequent identification of 168 different C1qDC transcripts, with some of
230 them being up-regulated in hemocytes after *in vivo* injection of Gram positive (Gram+) and Gram-negative
231 (Gram-) bacteria [40]. Based on a genomic survey performed in *C. gigas*, we have reported bivalve C1qDCs
232 with or without a coiled-coil domain (pertaining to the ghC1q and C1q-like type 2 subfamilies, respectively),
233 with a collagen domain (C1q-like type 1, found in just a single oyster protein) and multiC1q proteins with
234 several consecutive C1q domains [36]. In brief, the C1q gene family underwent massive expansion in
235 Bivalvia, specifically in the Pteriomorpha and Heterodonta lineages. Consistent with the NGS-based
236 transcriptome data of Table 1, we could identify as many as 1,274 putative C1qDC loci in the *M.*
237 *galloprovincialis* draft genome. Such a remarkable diversification can explain the broad spectrum of
238 pathogens recognized by the C1q domain in bivalves, including Gram+ and Gram- bacteria, Rickettsia-like
239 organisms, fungi and eukaryotic parasites.

240 The functional characterization of bivalve C1qDC proteins is still at its early stages; however, the significant
241 expression of many C1qDC genes in diverse tissues (e.g. digestive gland, gills and mantle) may either
242 suggest the participation of these tissues to defense reactions or the involvement of C1qDC proteins also in
243 processes not related to the innate immunity, like in humans.

244

245 **3.1.1.2. C-type lectins**

246 C-type lectins (CTLs) are a large class of animal lectins functioning in various biological processes, including
247 pathogen recognition [41]. The C-type lectin domain (CLECT) characterizes both collectins and the
248 vertebrate mannose-binding lectins able to trigger the lectin pathway of the complement system. CTLs
249 have been associated to agglutination and opsonization of pathogens or parasites in different bivalves [42–
250 44] and the up-regulation of their expression has been documented in the hemocytes of clams infected
251 with *Perkinsus olseni* and in the gills of *Bathymodiolus azoricus* exposed by immersion to *Vibrio*
252 *parahaemolyticus* [45,46].

253 Similarly to C1qDC proteins and FREPs (see Section 3.1.1.3), most bivalve CTLs are short secreted proteins
254 with a single CLECT domain, optionally associated with a coiled-coil region, a potential oligomerization
255 domain. However, the diversity of the CLECT domain combinations in invertebrate species is remarkable
256 [47], often associated with other functional domains in large proteins, and its position is not always C-
257 terminal. Based on transcriptomic data, we can confirm such a variety also in *M. galloprovincialis* and *C.*
258 *gigas*.

259 The repertoire of bivalve CTLs is large, comprising about 350 genes in the Pacific oyster [31]. The
260 abundance of CTLs in mussel appears to be in the same order of magnitude since we could detect 154
261 distinct CTL transcripts in the *M. galloprovincialis* digestive gland transcriptome [16]. Nevertheless, the
262 specific involvement of CTLs in the mussel immune response has not been yet demonstrated. On the other
263 hand, a number of mussel CTLs are known to take part in specific non-immune functions: two notable
264 examples in mussel are the major acrosomal sperm proteins, which are able to dissolve the egg vitelline
265 layer during fertilization [48,49], and the CTLs associated to particle capture during feeding [50].

266

267 **3.1.1.3. Fibrinogen-related proteins (FREPs)**

268 Hemolymph lectins bearing a C-terminal fibrinogen-like domain and similar to vertebrate ficolins
269 (collectively named fibrinogen-related proteins or FREPs) were discovered in gastropod molluscs in 1997
270 [51] and possess properties other than coagulation (i.e. agglutination and antibacterial effects,
271 developmental processes, allorecognition) [52]. As regards bivalves, an agglutinin strongly up-regulated in
272 response to *Listonella anguillarum* challenges, AiFREP1, was recently identified as a PRR in *Argopecten*
273 *irradians* [53]. A couple of years later, FREPs were identified in *M. galloprovincialis* ESTs, with sequence sets
274 differing among and within individual mussels, clearly up-regulated in response to infection and showing
275 opsonic properties [54,55]. These data altogether confirm the involvement of FREPs in bivalve immunity.

276 Mussel FREPs are simply defined by a signal peptide and a fibrinogen-like domain. Their N-terminal region
277 sometimes contains a coiled-coil domain which could serve as an oligomerization domain, like in C1qDC
278 proteins and similarly to collagen in ficolins. Membrane-bound FREPs are also present in mussel. Moreover,
279 mussel FREPs differ from gastropod FREPs which show a fibrinogen-like domain associated with one or two
280 N-terminal immunoglobulin-like domains [52,56]. Considering both full-length and partial sequences, we
281 have detected more than 150 expressed FREPs in the transcriptome of *M. galloprovincialis*, a number
282 consistent with previous preliminary data [16,55]. Overall, mussel FREPs represent the third most abundant
283 class of secreted lectin-like molecules, after the C1qDC proteins and C-type lectins. Comparatively, 199
284 FREP genes can be identified in the *C. gigas* genome [31].

285

286 **3.1.1.4. Galectins**

287 Galectins are a widespread class of soluble animal lectins, released via the leaderless secretion pathway
288 instead of the classical secretion pathway. They specifically bind β -galactoside sugars and, in molluscs, they
289 are characterized by two or four tandem repeats of a galectin CRD domain. In many bivalve species,
290 galectins have been indicated as PRRs for bacteria [57–60] and for the protozoan parasite *Perkinsus*
291 *marinus* [61,62]. In *M. galloprovincialis*, galectins have already been evidenced as expressed sequences
292 [10], even though no functional characterization has ever been carried out. In detail, we report three full-

293 length sequences of expressed mussel galectins with two CRDs and at least one galectin with four CRDs, an
294 evidence which is consistent with the presence of 14 galectin genes in the draft genome of *C. gigas* [31].
295 Galectins primarily act as PRRs but may also represent damage-associated molecular patterns (DAMPs),
296 able to signal the pathogen-associated tissue damage [63]. Such a hypothesis remains to be tested in
297 molluscs.

298

299 **3.1.1.5. R-type lectins**

300 The protein family of R-type lectins is present in bacteria, plant and animals and features a CRD similar to
301 the one found in ricin [64]. The role of these lectins in bivalve immunity has been poorly investigated so far.
302 Overall, about 20 different genes encoding proteins with a ricin domain are present in the *C. gigas* genome:
303 most of them pertain to the well-known class of α -N-acetylgalactosaminyltransferases, enzymes which are
304 involved in the biosynthesis of Mucin-type O-glycans. A novel lectin named MytiLec, with globotriose-
305 dependent cytotoxicity, has been recently identified in *M. galloprovincialis*, [65] and later a very similar
306 lectin with antibacterial activity was identified in *Crenomytilus grayanus* [66]. These lectins share a
307 structural motif with three very similar tandem repeats of about 50 amino acids, recognizable as a ricin-
308 type beta trefoil domain. In *M. galloprovincialis*, we could recognize at least two other R-type lectins (we
309 named them MytiLec 2 and 3) with an additional C-terminal pore-forming aerolysin-like domain. The
310 combination of pathogen sensing and antimicrobial activities in the same molecule further supports the
311 involvement of R-type lectins in pathogen clearance. The lack of a signal peptide in these mussel molecules
312 denotes a leaderless secretory pathway, as suggested by significant SecretomeP scores.

313

314 **3.1.1.6. F-type lectins**

315 F-type lectins have been widely investigated in many invertebrates but, comparatively, their role in bivalve
316 immunity received much less attention, as only two F-type lectins of *Pinctada* spp. involved in PAMP
317 recognition and up-regulated in the hemocytes of challenged oysters have been identified [67,68].

318 The F5/8 type C domain of F-type lectins is rather common in mussel, being found in about 50 predicted
319 proteins. Nevertheless, most of these proteins closely resemble coagulation factors or other cell adhesion-
320 related proteins; for example the *M. edulis* bindins, important in species-specific egg/sperm recognition,
321 pertain to this family [69]. In order to classify a bivalve protein as an immune F-type lectin, the presence of
322 Interpro signature IPR000421 has to be coupled with functional data and therefore, for the moment, we
323 cannot report any *bona fide* mussel F-type lectin.

324

325 **3.1.1.7. Gram-negative binding proteins**

326 Gram-negative binding proteins (GNBPs), also known as beta-glucan binding proteins, recognize β -1,3-
327 glucans in fungi and bacteria. While GNBPs have been extensively studied in insects and crustaceans,
328 relatively little is known about these molecules in bivalve molluscs. Arthropod GNBPs are involved in the
329 activation of the prophenoloxidase (ProPO) system whereas some bivalve GNBPs are reported to
330 enhance the PO-like activity in hemocytes [70]. Bivalve GNBPs with a dual ability to bind both β -1,3-glucans
331 and LPS have been characterized, such as in the case of *P. fucata* [71]. Proteins with such properties are
332 usually named Lipopolysaccharide and β -1, 3-glucan binding proteins (LGBPs) and are typical of
333 crustaceans, where, even in the absence of canonical PGRPs, they activate the ProPO system following
334 peptidoglycan (PGN) recognition [72]. Interestingly, polymorphisms of a LGBP have been linked to
335 increased susceptibility to *Listonella anguillarum* infections in scallops [73].

336 Together with the secretory peptidoglycan recognition proteins PGRP-SA, GGBP1 activates the Toll pathway
337 and triggers melanization in response to Gram+ bacterial infections of *Drosophila*. Specifically, GGBP1
338 hydrolyzes the PGN of the bacterial cell wall, permitting the binding of its fragments by PGRP-SA and
339 initiating the extracellular proteolytic cascade which results in the activation of PO, Spätzle and the Toll
340 signaling [74,75].

341 Three possible GNBPs have been reported in the *M. edulis* transcriptome [13]; however, the presence of
342 one Glycosyl hydrolases family 16 domain is not sufficient by itself to characterize a protein as a GGBP, as
343 molluscan sequences with high similarity with GNBPs have been demonstrated to be endo-1,3-beta-D-
344 glucanases [76,77]. Conversely, genuine GNBPs lack such an activity and act as serine proteases in the PO
345 proteolytic cascade. Therefore, the presence of *bona fide* GNBPs and of downstream proteolytic machinery
346 involved in a melanization cascade and in Toll signaling via a Spätzle-like molecule remains hypothetical in
347 mussels.

348

349 **3.1.1.8. Apextrin-related proteins**

350 The apextrin C-terminal domain (ApeC) takes its name from a sea urchin protein involved in larval
351 development. This domain has been recently recognized as a novel PRR in amphioxus, since two ApeC
352 domain containing proteins were demonstrated to act as intra- and extra-cellular sensors of PGN and its
353 component muramyl dipeptide (MDP) [78]. Apextrin-like proteins have been involved in pathogen
354 recognition and inactivation also in echinoderms [79] and the over-expression of two apextrin-related
355 transcripts in response to bacterial challenges has been reported in *M. galloprovincialis* [80]. We could
356 predict the presence of the ApeC domain in at least 23 proteins from the Mediterranean mussel
357 transcriptome and in 13 oyster genes. Even though ApeC is often the only domain present in proteins
358 targeted to the secretory pathway, in 5 cases we observed an interesting association with a MACPF
359 domain, N-terminal to ApeC (see Section 3.1.2.2). The discovery of the PRR properties of ApeC is recent and

360 additional functional data are needed to definitely associate apextrin-related proteins to the bivalve
361 immune response.

362

363 **3.1.2. Evidence of an ancient bivalve complement-like system**

364 In spite of a remarkable number of reports, the literature concerning the events downstream of the
365 activation of extracellular PRRs in bivalves is scarce. Apart from GNBPs, which are expected to trigger the
366 Toll-like receptors signaling pathway, all the other major PRR families described in this work could
367 potentially converge into an innate immune pathway well known in vertebrates, which fully emerged only
368 after the divergence of Protostomes and Deuterostomes. The vertebrate complement system is based on
369 more than 30 plasma and cell surface proteins that, through cascades of reactions, lead to pathogen
370 neutralization and pro-inflammatory responses. Some core components of the complement system are
371 present in Protostomes and even in cnidarians, hence, established more than 1,000 MYA [81]. Proteins
372 showing sequence homology to some components of the complement system have been also reported in
373 molluscs [22,23,82].

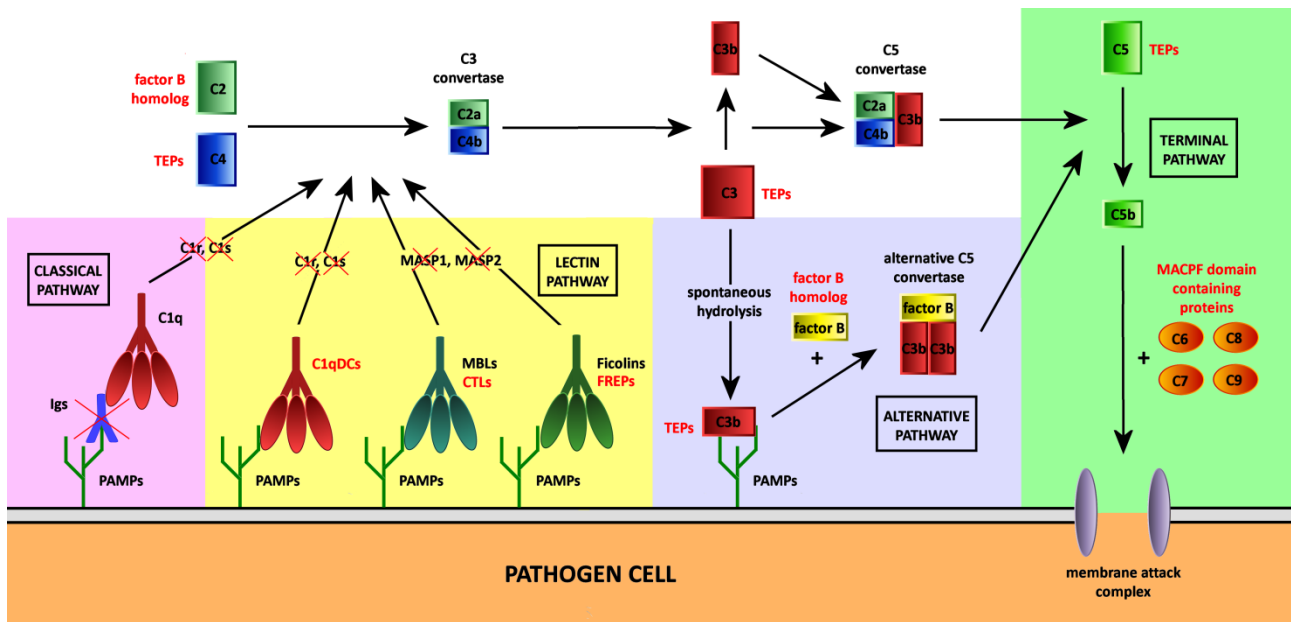
374 In vertebrates, the complement system can be activated through three different routes: by the activation
375 of the C1q complex upon antigen-complexed IgMs or IgGs (classical pathway), by the spontaneous
376 hydrolysis of the component C3 leading to PAMP recognition by the C3b fragment (alternative pathway) or
377 by direct PAMP recognition by mannose-binding lectins or ficolins (lectin pathway). In Section 3.1.1.1 we
378 have already presented the abundance and variability of C1qDC proteins which can directly bind pathogens
379 and, thus, bypass the Ig-antigen recognition step of the vertebrate adaptive immune system. Furthermore,
380 both mannose-binding lectins and ficolins potentially find their homologs in C-type lectins and FREPs,
381 respectively (see Sections 3.1.1.2 and 3.1.1.3). Thus, bivalve molluscs appear to have developed an
382 extremely abundant and diversified repertoire of lectins, which may therefore mount the immune response
383 through a signaling cascade similar to the vertebrate lectin pathway.

384 Nevertheless, bivalves lack specific serine proteases, such as C1r and C1s of the C1q complex and the MBL/
385 ficolin-related MASP-1 and MASP-2, which are fundamental in the downstream proteolytic reactions, thus
386 leaving a huge question mark on the molecular partners of these extracellular lectin-like PRRs.

387 Despite the absence of homologs for these components, convincing C3-like and C2/factor B-like sequences
388 have been recently identified in *R. philippinarum* [83]. These findings support the existence of at least some
389 core components of a proto-complement pathway in bivalves. Likewise, the existence of a primitive
390 complement system resembling the alternative pathway of the mammalian complement system has been
391 recently demonstrated in other protostomes, namely in some arthropods [84].

392 In the next sections we describe in detail thioester- and MACPF-domain containing proteins, possible
393 functional homologs to the C3/C4/C5 and to the C6/C7/C8/C9 complement components, respectively. A

394 schematic representation of the possible bivalve homologs related to the vertebrate complement pathway
 395 is reported in **Figure 2**.



396
 397 **Figure 2: Comparative overview of the vertebrate complement system with mussel putative homologs.**
 398 Molecules which might play a role in an ancient mussel complement system (named in red) are illustrated
 399 comparatively to those of vertebrate animals (named in black). The lack of a classical pathway mediated by
 400 immunoglobulins in vertebrates (pink background) may be compensated by the wide and effective
 401 repertoire of PRRs (e.g. C1qDC proteins). The existence of a lectin pathway is suggested by CTLs
 402 (functionally homologous to MBLs) and FREPs (functionally homologous to ficolins) (yellow background). So
 403 far, no genuine serine protease homologs of MASP proteins (evolutionarily emerging in the
 404 Cephalochordata lineage) have been traced in Bivalvia. Elements of the alternative complement pathway
 405 are present also in bivalves and other invertebrates (e.g. mussel C3 and factor B homolog) (light blue
 406 background). Following PRR activation, proteolytic cascades mediated for instance by TEPs prepare
 407 pathogen opsonization or killing (arrows conveying to the green background). Even though proteins with a
 408 MACPF domain (which characterizes the C6/7/8/9 components) have been identified in mussel, their
 409 involvement in the terminal pathway of the complement remain to be assessed (the reader is referred to the
 410 web version of the article for a more direct visualization of the colors used in this figure).

411

412 3.1.2.1. Thioester-containing proteins

413 Thioester-containing proteins (TEPs) comprise the vertebrate complement components C3, C4 and C5, as
 414 well as a number of invertebrate homologs, such as insect proteins functioning as opsonins and promoting
 415 phagocytosis of bacteria and melanization [85,86].

416 Only two studies have so far been conducted on bivalve TEPs, precisely in the scallop *Azumapeecten farreri*,
 417 where the complete gene encoding the protein CfTEP was characterized, and in *R. philippinarum*, where

418 the C3-like protein mentioned in the section above has been identified [83,87]. The complex alternative
419 splicing pattern of the CfTEP mRNA as well as the differential expression of isoforms in response to diverse
420 pathogen challenges evidenced a complex regulation of its expression in the innate immune response [87].
421 TEPs have been identified in oyster and clam transcriptomes [22,23] and they are present also in mussels;
422 due to the structural similarity between TEPs and serum protease inhibitors alpha-2 macroglobulins,
423 functional analyses are necessary to evaluate the extension of this protein family in bivalves and its role in
424 bivalve immunity. Furthermore, the presence of highly similar paralogous gene products and low-
425 complexity regions hampered the reconstruction of full-length TEP transcripts from the mussel
426 transcriptome. Nevertheless, we can report the full length sequence of a complement C3 component-like
427 transcript, which is the first TEP to be ever reported in mussel (**Table 2**).

428

429 **3.1.2.2. MACPF pore-forming molecules**

430 The terminal components of the complement system can form a protein complex (the membrane attack
431 complex, or MAC) on the surface of Gram- bacteria, triggering their lysis. The MACPF superfamily (named
432 after a domain common to proteins of the mammalian membrane attack complex and to perforins) is the
433 largest family of pore-forming molecules in animals [88]. In vertebrates, perforins are produced by natural
434 killer cells and by cytotoxic T lymphocytes to trigger the killing of virus-infected cells [89]. Nevertheless, a
435 large number of other MACPF domain-containing proteins whose function is not linked to immunity have
436 been reported in mammals, including very large inducible GTPases, BRINPS and astrotactins [90].

437 Following multiple reports of perforin-like proteins in sea urchin and abalones [2,91–93], the MACPF
438 domain-containing protein Macp was identified in *M. galloprovincialis*, and it remains the only one
439 available at the present time in public databases for a bivalve mollusc [80]. Macp is a secreted protein
440 which does not present any other domain except from MACPF. The expression of its transcript was found
441 to be developmentally regulated and increased upon pathogen and PAMPs stimulation, evidence
442 suggesting its involvement in the innate immunity. Nevertheless, both domain organization and primary
443 sequence of Macp differ from those of perforins and vertebrate complement proteins; hence, Macp could
444 not be placed in any of major group of vertebrate MACPF domain-containing proteins in a phylogenetic
445 analysis. Despite the up-regulation of Macp following bacterial challenges, experimental assessment of its
446 lytic activity is still required to confirm it as a pore-forming molecule.

447 Genes for several MACPF-domain containing proteins appear to be present in bivalve genomes: indeed, a
448 total of 17 genes have been predicted in the *C. gigas* genome and we could detect 8 full length and several
449 partial MACPF transcripts in the transcriptome of *M. galloprovincialis*. Both secreted and membrane-bound
450 forms of the predicted proteins are present in mussel and oyster, but in no case they show convincing
451 sequence homology to vertebrate perforins and to the proteins C6/C7/C8/C9 of the terminal complement
452 pathway.

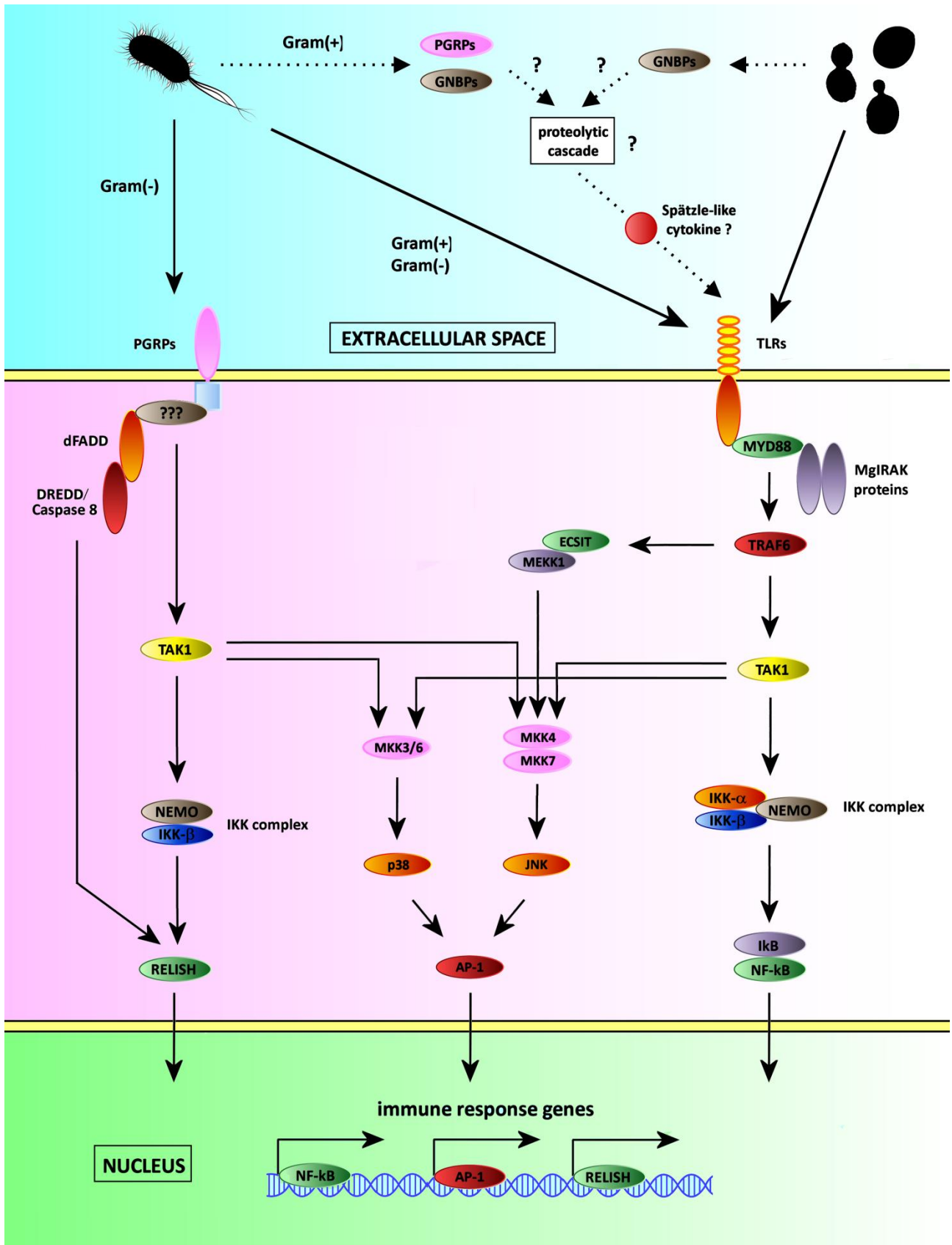
453 The association of ApeC with MACPF, detected in 5 predicted mussel proteins, strongly indicates the
454 combination of pathogen recognition and killing properties in the same protein sequence, as ApeC has
455 been recently functionally linked to pathogen recognition in amphioxus [78] (see Section 3.1.1.8).

456

457 **3.1.3. Membrane-bound PRRs and downstream signaling**

458 Compared to secreted PRRs, membrane-bound immune receptors appear to be less abundant in *M.*
459 *galloprovincialis*, despite their diversification and central role in the host defense against invading
460 pathogens. Unlike extracellular PRRs expected to trigger pathogen killing via the lectin-like complement
461 pathway, membrane-bound PRRs generally possess an intracellular domain which mediates the signal
462 transduction through key transcription factors and, finally, the expression of antimicrobial effectors and
463 proinflammatory cytokines (the latter perpetrate the adaptive immune response in vertebrate animals).

464 In this section, we update the knowledge on bivalve membrane-bound receptors and on the downstream
465 events triggered by PAMP recognition. An overview of these processes is provided in **Figure 3**.



466

467

468

469

Figure 3: Membrane-bound PRRs. Once activated by PAMPs, Toll-like receptors (right) and peptidoglycan recognition proteins (left) transmit the danger signal to cytosolic proteins ultimately bringing transcription factors into the cell nucleus and inducing the expression of genes essential to clear bacterial and fungal

470 cells. The existence of extracellular pathways leading to the activation of TLRs through extracellular PGRPs
471 and GNBPs, well-studied in *Drosophila*, is still doubtful in bivalves, in particular due to the absence of a
472 Spätzle-like cytokine and of protease homologs involved in the upstream cascade (see the text for details).
473 These passages are therefore indicated by dashed arrows.

474

475 3.1.3.1. Toll-like receptors and the NF- κ B signaling

476 Toll-like receptors (TLRs) are among the most successful PRRs of the immune response in metazoans [94].
477 TLRs take their name from the *Drosophila* receptor Toll, originally identified as essential in the embryo
478 morphogenesis, but later they recognized to mediate the immune response to the fungus *Aspergillus*
479 *fumigatus* [95]. Since then, several TLRs sharing a similar domain organization have been detected and
480 extensively studied both in vertebrates and in invertebrates. Usually, they share an evolutionarily
481 conserved intracellular Toll-interleukin-1-receptor (TIR) domain, a transmembrane region and a variable
482 extracellular region consisting of leucine-rich repeats (LRRs) with interesting binding properties. TLRs are
483 capable of binding a very broad range of PAMPs, including LPS, components of the bacterial cell wall,
484 flagellin, single- and double-stranded RNA, thus potentially acting as sensors of bacteria, fungi and viruses.
485 In response to ligand binding, TLR dimerization is expected to sequentially recruit intermediary elements
486 such as the Myd88 adaptor, IRAK and IKK kinases among the others, thus activating transcription factors
487 such as NF- κ B and interferon-regulatory factors (IRFs) and ultimately mounting the expression of pro-
488 inflammatory cytokines, chemokines, and anti-viral molecules [96].

489 Following the identification of the first bivalve TLR in *A. farreri* [97], the full repertoire of these receptors
490 has been explored in detail in *M. galloprovincialis* and 23 TLRs, grouped in 4 different clusters according to
491 the organization of extracellular LRRs, were identified [98]. Tissue-specific patterns of constitutive
492 expression were reported, but only one out of the four tested mussel TLRs (MgTLR-I) was found up-
493 regulated in response to bacterial injection, especially with Gram- bacteria. Three Myd88 adapters are
494 expressed in mussel, with specific constitutive and inducible levels. The observed expression patterns
495 suggested the co-regulated expression of MgTLR-I and MgMyd88-c in response to the filamentous fungus
496 *Fusarium oxysporum* and evidenced for the first time the existence of a Toll signaling pathway in
497 Lophotrochozoa. On the other hand, the absence of TRIF-like molecules suggests that bivalves lack a
498 MyD88-independent pathway, homologous to the one activated downstream to human TLR3.

499 The subsequent identification of 15 downstream elements provided further evidence about the existence
500 of a complete signaling pathway similar to the *Drosophila* Toll and to the mammalian TLR pathways [99].
501 Besides MyD88, one or more transcript variants denoting TOLLIP, IRAKs, TRAFs, TAK1, IKK, IKK γ /NEMO, I κ B,
502 Relish/p65 and NF- κ B were comparatively identified in *M. galloprovincialis*, *M. edulis* and *C. gigas* (see
503 **Figure 3**).

504 Many questions are still open on the bivalve TLRs: in particular, which PAMPs are specifically recognized by
505 such receptors and how TLRs are distributed in hemocytes and other mussel cells. It is known that the
506 human TLR7/8/9 are localized to the endosomal membrane, where they can recognize elements of
507 bacterial and viral infections such as CpG oligodeoxynucleotides (CpG-DNA) (TLR9), single or double
508 stranded viral RNA (TLR7/8 and TLR3, respectively), and trigger the production of interferon (IFN) and
509 proinflammatory cytokines [100]. In other words, also mussel TLRs might recognize pathogens both in the
510 extracellular and intracellular space.

511 Besides TLRs, other TIR domain-containing proteins are involved in immune signaling, since this domain is
512 often used for homophilic interactions [101]. Interestingly, the TIR domain appears to be widespread in
513 bivalves, with over 100 TIR domain-containing proteins predicted in the oyster genome. Despite not being as
514 abundant as in echinoderms, such a repertoire is still wide in comparison with other invertebrates since, for
515 instance, just about 10 TIR-domain containing proteins are present in arthropods. Further studies are
516 necessary to reveal more details on these receptor proteins and their role in the innate immune responses
517 of bivalves.

518

519 3.1.3.2. Peptidoglycan recognition proteins: evidence for an IMD-like signaling?

520 Peptidoglycan recognition receptors (PGRPs) are important PRRs present in all metazoans and able to
521 recognize bacteria by specifically binding PGN, a major component of cell bacterial walls [102]. PGRPs have
522 been characterized in many bivalve species, including scallops, oysters, razor clams [103–105] and the
523 deep-sea hydrothermal vent mussel *Bathymodiolus azoricus*, where a PGRP was found to be highly
524 abundant in the gills, which typically host endosymbiotic bacteria [46,106]. So far, all these proteins have
525 been regarded as short-type PGRPs for extracellular bacteria recognition. Nine PGRPs are represented in
526 the oyster genome but, looking at the transcriptome data and considering both membrane-linked and
527 secreted PGRPs, we could only report three full-length mussel transcripts (two membrane-bound and one
528 secreted proteins, see **Table 2**). The expression pattern of the secreted protein PGRP3 has been
529 comparatively investigated in *M. galloprovincialis* and *B. azoricus*, evidencing an up-regulation 12 and 24
530 hours after bacterial challenges in the Mediterranean mussel [107], but data concerning the regulation of
531 membrane-bound PGRPs are still completely missing.

532 In insects, secreted PGRPs have a dual role in: a) recognizing Gram+ bacteria and modulating the Toll
533 pathway (together with GNBPs) and melanization, through the proteolytic PO cascade and the cleavage of
534 the pro-cytokine Spätzle; b) activating the IMD pathway in response to Gram- bacteria via the amidase
535 activity of PGRP-SC, which cleaves PGN into inactive amino sugars and peptides later recognized by the
536 transmembrane PGRP-LC [102].

537 As reported previously, the presence of an extracellular proteolytic cascade similar to the PO system and
538 leading to the activation of TLRs through a Spätzle-like molecule is doubtful in bivalves (see Section 3.1.1.7).

539 On the contrary, the existence of an IMD pathway involved in the response to Gram- bacteria seems to be
540 better supported by molecular data. Indeed, together with the Toll signaling pathway, Toubiana and
541 colleagues also detected a number of sequences homologous to elements of the *Drosophila* IMD pathway
542 in *M. galloprovincialis* (namely, TAK1, NEMO, IKK- β and Relish). Nevertheless, no plausible evidence could
543 be found for the first key adaptor protein downstream to PGRP-LC, the IMD/RIP protein. Given that the Toll
544 pathway was observed to be responsive to both Gram+ and Gram- bacteria, the existence of an IMD
545 pathway was reported as uncertain in mussel [99].

546 However, our identification of mussel transcripts denoting transmembrane PGRPs, together with the
547 presence in public sequence databases of dFADD and DREDD/Caspase-8, would reinforce the idea of an
548 IMD-like pathway involved in the recognition of Gram- bacteria in bivalves (see **Figure 3**). In *Drosophila*,
549 transmembrane PGRPs initiate the IMD signal transduction through their intracellular RIP Homotypic
550 Interaction Motif (RHIM) domain whereas mussel PGRPs lack such domain (their intracellular region does
551 not contain any known functional domain), so further investigations are necessary to identify the putative
552 intracellular adaptor protein initiating signal transduction downstream to membrane-bound PGRPs.

553

554 **3.1.3.3. MAPKs and signaling cross-talk along the TLR and IMD pathways**

555 An alternative route which can be activated downstream of TLRs in vertebrates, and downstream of IMD in
556 insects, is the mitogen-activated protein kinases (MAPK) pathway. The MAPK kinase cascade has been
557 involved in the regulation of growth, differentiation and survival, and it could act both in the TLR- and in the
558 PGRP-mediated intracellular signaling pathways in bivalves.

559 Various stimuli are known to activate the MAPK cascade, which is a pathway not restricted to the immune
560 responses, and considering its complexity, in this section we just briefly outline the main signaling
561 components possibly involved in signal transduction downstream of TAK1, a MAP kinase kinase kinase
562 (MAP3K) acting in the intersection between these signaling cascades.

563 Two main routes involving the sequential phosphorylation of MAP kinase kinases (MKKs) and MAPKs are
564 possibly activated by TAK1: a) c-JUN N-terminal kinases (JNK) via MKK4 or MKK7; b) p38 mitogen-activated
565 protein kinases via MKK3 or MKK6. The MAPK signaling ultimately leads to the activation of the AP-1
566 transcription factor complex, thereby regulating the expression of various immunity- and stress-related
567 genes.

568 It is important to note that the previously reported effectiveness of commercial anti-phospho-antibodies
569 directed against MAPK, JNK and p38 in *M. galloprovincialis* and *B. azoricus*, denoted both the remarkable
570 evolutionary sequence conservation of these molecules and the critical role of p38 and JNK kinases in the
571 immune response of mussel hemocytes [108,109].

572 We could identify bivalve sequences with high similarity (in the range of 60-70% protein sequence identity)
573 to the human MKK3/6, MKK4/7, JNKs and p38 kinases. In particular, we report the presence of MKK4,

574 MKK7, a single MKK3/6 and a single JNK homolog in both mussel and in oyster; moreover, compared to
575 three p38 kinase-like genes identified in the oyster genome, only a single one was found expressed in
576 mussel.

577 An alternative branch of the TLR pathway can activate MKK4/7 upstream to TAK1, through the
578 evolutionarily conserved adaptor protein ECSIT [110], previously described also in mussel [99] and
579 representing a bridge between TRAF6 and the MAP kinase kinase kinase MEKK-1 (**Figure 3**). MEKK-1 in turn
580 phosphorylates and activates MKK4 and 7 [111]. We can report the presence of a highly conserved MEKK-1
581 in mussel which, together with ECSIT, might be involved in another point of contact between the TLR and
582 MAPK pathways.

583 The signal transduction mediated by JNK and p38 determines the activation of AP-1 transcription factors,
584 heterodimers of proteins encoded by JUN and FOS gene families, which both comprise multiple members in
585 vertebrates. A JUN protein responsive to bacterial infections has previously been described in *Crassostrea*
586 *hongkongensis* [112] and was identified in the *B. azoricus* gill transcriptome [106,107]. Based on
587 comparative sequence analyses, we can report that a single JUN transcription factor is encoded in the
588 Pacific oyster genome and is expressed in mussel. On the contrary, the FOS family comprises at least two
589 different members in both species, whose relation with vertebrate FOS family proteins is unclear. Further
590 study is required to assess the interaction between bivalve JUN and FOS members and the presence of AP-1
591 binding elements in the promoter of bivalve genes involved in immune and stress responses.

592

593 **3.1.3.4. Scavenger receptor cysteine-rich superfamily (SRCR-SF) receptors**

594 The scavenger receptor cysteine-rich domain defines a large and ancient superfamily of scavenger
595 receptors collectively known as SRCR-SF receptors. They present a conserved domain, 100-110 amino acids
596 long and stabilized by disulfide bridges, which can be found associated with a number of additional
597 domains and co-receptors. Altogether, these features confer to the members of SRCR-SF a broad range of
598 functions, from lipoprotein binding, to cell transport, to pathogen clearance [113]. The massive expansion
599 the SRCR-SF in the sea urchin genome (comprising 218 genes), their marked up-regulation in response to
600 immune challenges and their localized expression in sea urchin coelomocytes, clearly indicate the immune-
601 related diversification of this family of receptors [2].

602 In the available bivalve transcriptomes the number of SRCR-SF domains appears relatively high [114], but
603 these receptors still await a detailed characterization. The only well-characterized bivalve SRCR-SF domain-
604 containing protein is CfSR, identified in the scallop *Azumapekten farreri* and able to bind various ligands
605 such as LPS, PGN, mannan and zymosan [115].

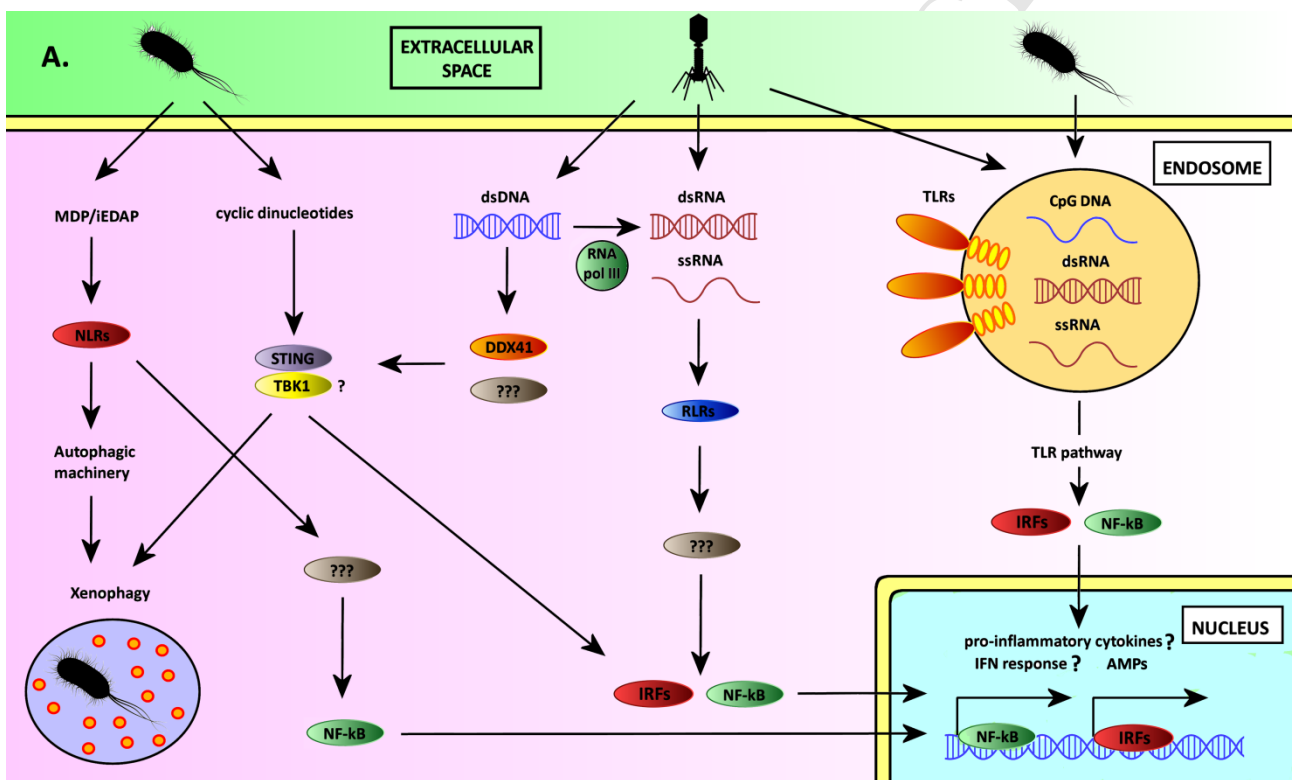
606 Overall, at least 62 genes of the SRCR superfamily have been annotated in the oyster genome, with various
607 domain organizations, and even a higher number of these receptors is evident in mussel transcriptomes.

608 Although not as abundant as in sea urchin, a relevant gene family expansion may have occurred in mussel
 609 compared to other model invertebrates (only 7 and 8 SRCRs are present in *D. melanogaster* and *C. elegans*,
 610 respectively).

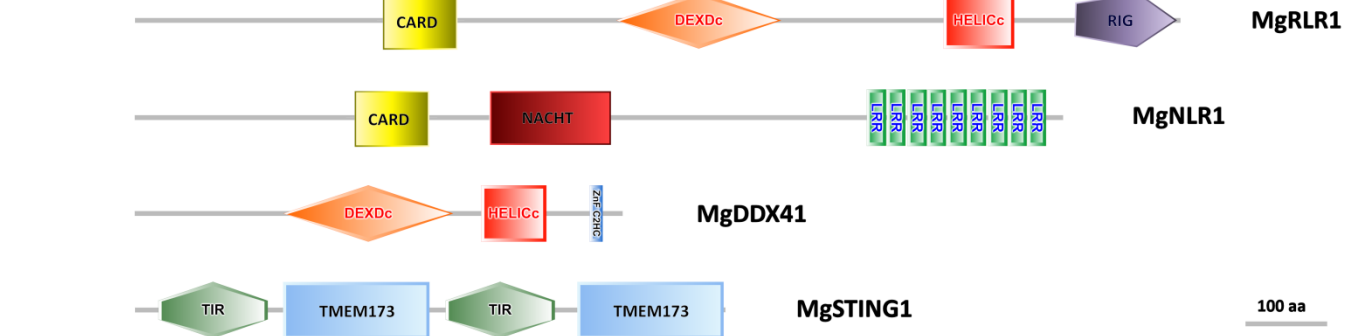
611

612 3.1.4. Cytosolic PRRs

613 In addition to the greatly expanded families of secreted PRRs and membrane-bound TLRs, intracellular
 614 sensors of microbes and viruses have been more recently identified in bivalves. In this section, we provide
 615 an overview on the cytosolic PRRs present in mussel, with some indications on the downstream signaling
 616 network based on homologies to better studied organisms (**Figure 4**).



617



618

619

619 **Figure 4: Cytosolic mussel PRRs.** In a general view (A), structural elements of pathogens penetrated into
620 the cell environment are exemplified by MDP/iE-DAP, cyclic dinucleotides and nucleic acids whereas NLRs,
621 STING, DDX41, RLRs and endosomal TLRs exemplify PRRs. Only some events possibly occurring downstream
622 are illustrated, given the lack of a robust frame of knowledge in mussel and bivalves. The domain
623 organization of different cytosolic PRRs identified in *M. galloprovincialis* is also reported (B).

624

625 **3.1.4.1. NOD-like receptors (NLRs)**

626 The cytosolic NOD-like receptors (NLRs) reinforce the sensing of bacterial components which have been
627 able to enter the cell, in particular the PGN-derived molecules γ -D-Glu-meso-diaminopimelic acid (iE-DAP)
628 and MDP. About 20 NLRs have been reported in vertebrates but lineage-specific expansion to few hundred
629 members in plants and some animal groups emphasizes their involvement in the innate immunity
630 [116,117]. The self-assembly of NLRs in heteromeric complexes contributes to the specific recognition of
631 PAMPs via C-terminal LRRs whereas the recruitment of downstream molecules is mediated by homophilic
632 interactions of their variable N-terminal effector domain: usually a DEATH, a pyrin, a caspase recruitment
633 (CARD) or a baculovirus inhibitor of apoptosis protein repeat (BIR) domain classify NLRs within the NOD,
634 NALP or NAIP subfamilies. NLRs typically contain a central NACHT nucleoside triphosphatase domain.
635 Despite all early diverging metazoans do already possess a rather large number of highly diversified NACHT
636 domain-containing proteins [118], no NLR sequence has ever been reported in bivalves so far, even though
637 the existence of NLRs was hypothesized in the blue mussel [13].

638 We now report the presence of at least one NLR-like sequence with a canonical tripartite domain
639 organization in *M. galloprovincialis* (MgNLR1). This putative NLR contains a single N-terminal CARD effector
640 domain, followed by a central NACHT domain and a C-terminal region dominated by LRRs (see **Figure 4B**).
641 We could also identify other partial transcripts encoding NACHT-domain containing proteins, in some cases
642 in association with C-terminal tetratricopeptide repeats, which are a common evidence in other
643 invertebrate NLRs [119]. Since we did not find any BIR and pyrin domains associated with NACHT, the
644 subfamilies NALPs and NAIPs appear to be absent in *M. galloprovincialis*.

645 Mammalian NLRs, NALPs in particular, are involved in the organization of the inflammasome complex.
646 There are no previous reports of inflammasomes in invertebrates [120] and the absence of pyrin domains
647 (characterizing both NALPs and the key adaptor protein PYCARD) in invertebrates, as well the absence of a
648 caspase-1 homologous sequence in mussel implies that a similar system for the activation of the
649 inflammatory response, if existing, is highly divergent in molluscs. Furthermore, NLRs seem to have a
650 fundamental role in the activation of the autophagic machinery (specifically via the ATG16L1 protein) at the
651 site of bacterial entry, thus promoting the elimination of bacterial pathogens through xenophagy [121].

652 In conclusion, a limited number of NLR-like sequences are present in mussel; comparatively, no NLRs genes
653 have been annotated in the oyster genome, and one possible NLR sequence emerges from the mussel
654 transcriptome data. Further investigations could provide more information on the involvement of mussel
655 NLRs in the cytosolic PAMP recognition and the related downstream signaling pathway.

656

657 **3.1.4.2. Retinoic acid inducible gene-I like RNA helicases (RLRs) and downstream signaling**

658 Retinoic acid inducible gene-I like RNA helicases are more simply known as RIG-like receptors (RLRs); they
659 are intracellular sensors of viral 5'-triphosphate (5'ppp)-single- and double-stranded RNA and they are
660 therefore of the utmost importance in antiviral responses. In general, RLRs have been linked to the indirect
661 detection of DNA viruses, mediated by DNA-dependent RNA polymerase III which synthesizes 5'-ppp-ssRNA
662 from a double-stranded viral DNA template [122].

663 RLRs are capable of initiating an intracellular signaling cascade which ultimately leads to the production of
664 interferon and pro-inflammatory cytokines through the activation of NF- κ B and interferon regulatory
665 factors (IRFs) [123–125]. Vertebrate RLRs are organized with one or two N-terminal CARD domains, two
666 central DExD/H box helicase domains and a C-terminal RIG repressor domain.

667 The presence of RLRs in invertebrates has been a long-debated issue: indeed, *Drosophila* lacks RLRs and
668 relies on Dicer-2 for an homologous function in antiviral response [126,127]. Nevertheless, the presence of
669 RIG-like genes in echinoderms and cnidarians suggests an ancient origin for RLRs [128] and the antiviral
670 response of *C. elegans* is apparently mediated by a RIG-like protein devoid of a CARD domain [129]. About
671 bivalves, the analysis of the oyster genome reveals at least eight different RIG-domain containing proteins,
672 four of them with a domain architecture identical to vertebrate RLRs, except for the presence of a single N-
673 terminal CARD domain (instead of two) [130].

674 Philipp and colleagues reported the partial sequences of two putative RLRs in the blue mussel *M. edulis* [13]
675 and, despite the presence of highly similar paralogous genes complicates the reconstruction of full length
676 RLR sequences in *M. galloprovincialis*, we can report the full-length transcript of a RIG-like receptor, very
677 similar to those of oyster (**Figure 4B**).

678 The finding of a mussel RLR with a canonical domain organization, consistent with vertebrate proteins,
679 suggests competence for double-stranded viral RNA sensing in bivalve mollusks and implies the existence of
680 a similar downstream signaling. In vertebrates, the first step in the helicase-mediated viral RNA recognition
681 is the interaction of the CARD domain with a downstream adaptor protein, the IFN-beta promoter
682 stimulator (IPS-1), also known as CARD adaptor inducing IFN-beta (CARDIF). IPS-1 then interacts with TRAF3
683 to activates TBK-1 and IKK ϵ , thus inducing the interferon response, or with FADD, which activates the
684 production of inflammatory cytokines via NF- κ B upon its interaction with DREDD/Caspase-8 [124].

685 Most of the proteins involved in RLR signaling are common to the TLR and IMD pathways (see sections
686 3.1.3.1 and 3.1.3.2), and an almost complete RLR pathway could be identified in *M. edulis* [13].

687 Nevertheless, in the same study it was not possible to identify the key component IPS-1, and this is not
688 surprising given the high sequence divergence of this protein in basal deuterostomes [2]. In essence, the
689 evidence of vertebrate-like cytoplasmic RIG-like receptors supports the existence of the RLR signaling in
690 bivalve molluscs, although some key signaling elements are expected to be highly divergent and have still
691 to be identified.

692 693 **3.1.4.3. Intracellular foreign DNA and bacteria sensing via the STING pathway**

694 Besides RLRs, various cytosolic sensors of exogenous DNA exist in vertebrates, but homologous molecules
695 seem absent in mussel or difficult to identify in invertebrates, likely due to high sequence divergence. For
696 example, the pyrin domain characterizing the vertebrate sensors AIM2 and IFI16 could not be identified in
697 any analyzed genome or transcriptome of bivalves, mussel included.

698 Nevertheless, the signals transduced by different sensors such as DAI, DDX41, and IFI16 converge in a single
699 downstream crucial molecule named “Stimulator of interferon genes” (STING), which is known to stimulate
700 the production of IFN, via IRF3, and proinflammatory cytokines, via NF- κ B, once they are phosphorylated by
701 the TBK1 kinase [131,132]. Though DAI and IFI16 are absent in mussel, we could identify a sequence
702 homologous to DDX41, a member of the DEXDc helicases family which has been recently demonstrated to
703 act as an intracellular viral DNA sensor [133]. The high sequence conservation between the mouse and
704 mussel proteins (71% sequence identity) strongly suggests functional conservation also in bivalves, but the
705 hypothesis requires experimental testing.

706 STING is a transmembrane protein which can indirectly detect the presence of bacteria by sensing
707 conserved signaling molecules produced by bacteria (the cyclic dinucleotides c-di-GMP and c-di-AMP), thus
708 playing an important role against bacteria and exogenous viral DNA (in collaboration with DDX41). The
709 STING protein is evolutionarily conserved, as its origins can be traced back to Choanoflagellates, even
710 though it was lost during metazoan evolution in nematodes and flatworms [134]. While no sequence
711 records are available for this protein in molluscs, we ascertained the presence of at least two full-length
712 STING-like proteins in *M. galloprovincialis* and estimated the presence of 5 genes in the *C. gigas* genome.

713 Quite surprisingly, the STING homologs found in mussel have a peculiar domain organization which is not
714 found in other invertebrate genomes [134]. Like in insects, the deduced mussel STING proteins lack the N-
715 terminal transmembrane domains anchoring vertebrate STING globular domain to the endoplasmic
716 reticulum membrane, suggesting a different subcellular localization compared to vertebrates. The
717 duplication of the STING globular domain and the association with two TIR domains, N-terminal to STING
718 (**Figure 4B**), are the most striking features of mussel STING proteins. Human STING is known to be active as
719 a dimer [135], and the presence of two STING domains within the same protein in bivalves could possibly
720 provide a functional analogy without need of dimerization. On the other hand, the presence of TIR domains

721 is likely relevant to signal transduction, which is guaranteed by an alternative C-terminal extension present
722 in vertebrates but absent in mussel.

723 In addition to the coordination of IFN and proinflammatory cytokines production, STING has a crucial role in
724 the induction of an autophagic-like response following bacterial infections, leading to the ubiquitination of
725 bacterial cells and their selective elimination by xenophagy [136] and a similar behavior has been also
726 observed in response to α -herpesviruses [137]. A well-developed autophagic machinery is also present in
727 mussel [13], but a detailed characterization of this pathway, which is not uniquely related to immune
728 functions, goes beyond the scope of this paper.

729 As discussed in section 3.1.4.1, the STING signaling is not the only immune pathway expected to stimulate
730 xenophagy in response to pathogen invasion, as NLRs hold a similar potential. The role of xenophagy in the
731 innate immune response is gaining an increasing recognition [138] and the presence of multiple STING
732 homologs, NLRs and a fully functional autophagic system in mussel suggest an interesting interplay of
733 molecular networks in support to pathogen clearance.

734

735 3.2. Effectors molecules

736 Upon pathogen recognition, cross-talking signaling pathways allow the activation of specific transcription
737 factors which, in turn, are expected to reinforce the innate immune response, via proinflammatory
738 cytokines and interferons, and to stimulate the production of diverse humoral effectors directly involved in
739 pathogen killing, such as, for instance, antimicrobial peptides (AMPs)

740

741 3.2.1. Antimicrobial peptides (AMPs)

742 Antimicrobial peptides (AMPs) are a widespread group of heterogeneous gene-encoded molecules with
743 antibiotic functions, which are classified in different subgroups, based on their structure, amino acid
744 composition and properties. Seven different AMP families have been identified so far in *Mytilus* spp., all
745 pertaining to the cysteine-rich AMP subgroup (**Table 3**).

AMP class	Domain organization	Cysteine residues	Cysteine array
defensins (arthropod-like)	SP-CR	6	C-C-C---C-C-C
defensins	SP-CR-C-terminal extension*	8	C-C-C-C-C-C-C-C
mytilins	SP-CR-C-terminal extension	8	C-C-C-C-C-C-C-C
myticins	SP-CR-C-terminal extension	8	C-C-C-C-C-C-C-C
mytimacins (type-1)	SP-CR	8	C-C-C---C---C-C-C
mytimacins (type-2)	SP-CR	10	C-C-C---C-C-C-C-C-C
mytimacins (type-3)	SP-CR	12	C-C-C-C-CC-C-C-C-C-C
big defensins	SP-propeptide-CR	6	C-C-C-C-CC
mytimycins (type-1)	SP-CR-EF hand	12	CC-C-C--C-C--C-C-C-C-C

mytimycins (type-2)	SP-CR-EF hand	12	CC-C-C-CC-C-CC-C-C-C
mytimycins (type-3)	SP-CR-EF hand	14	CC-C-C-CC-C-CC-C-C-C-C-C
myticusins	SP-propeptide-CR	10	C-C-C-C-C-C-C-CCC
mytiCRP-I	SP-propeptide-CR	6	C-C-CC-C-C
mytiCRP-II	SP-CR	8	C-C-C-CC-C-C-C
mytiCRP-III	SP-CR	6	C-C-C-CC-C
mytiCRP-IV	SP-CR	10	C-C-C-CC-C-C-C-C-C

746 **Table 3:** List of cysteine-rich AMP families identified in *Mytilus* spp. Domain organization and cysteine
 747 arrays are indicated. MytiCRP-I, -II, -III and -IV represent families whose antimicrobial properties have not
 748 been demonstrated yet (see section 3.2.1.7 for details). SP: signal peptide; CR: cysteine-rich domain; *: the C-
 749 terminal extension may be missing in defensins.

750

751 3.2.1.1. Defensins

752 Defensins are structurally characterized by a cysteine-stabilized alpha-beta motif (CS- $\alpha\beta$) and are almost
 753 ubiquitous in Eukaryotes. Among the invertebrate animals, defensins have been mostly studied in
 754 arthropods, where they invariably have 6 conserved cysteine residues arranged in three disulfide bridges. In
 755 1996, the first bivalve defensin molecules were isolated from mussel hemocytes, in both *M. edulis* and in
 756 *M. galloprovincialis* [139,140]. The structure of mussel defensins is similar to that of arthropod defensins
 757 despite the presence of an additional pair of cysteines arranged in a fourth disulfide bridge [141].
 758 Furthermore, the loop connecting the two antiparallel beta-strands of the CS- $\alpha\beta$ motif identified as
 759 fundamental to the antibacterial and antifungal activities [142,143].

760 Transcriptome analyses helped to define the complete sequence of 8-cysteine defensin precursors, and
 761 three additional defensins, namely MGD3, MGD4 and MGD5, have been reported [20]. The presence of a C-
 762 terminal extension after the 8th cysteine residue in most defensins (with the exceptions of MGD3 and
 763 MGD5) is a recurring scheme in other mussel AMPs families (mytilins and myticins) which could have
 764 evolved from defensins through exon shuffling [144]. For comparison, the *C. gigas* defensins display a
 765 remarkable sequence diversity, which seems to be in turn originated from a limited number of defensin
 766 genes (three have been identified so far) [145].

767 We report the sequences of two novel defensin-like sequences with only six cysteines, consistent with
 768 previous reports of “arthropod-like” defensins in other molluscs [146–148]. However, functional studies are
 769 necessary to confirm the involvement of such molecules in the mussel innate immune response.

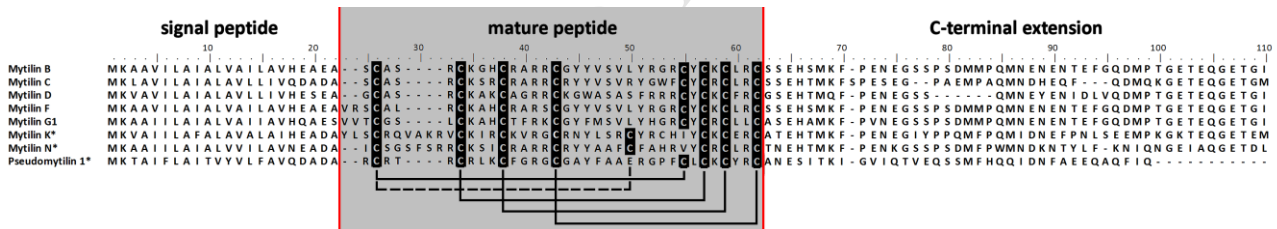
770

771 3.2.1.2. Mytilins

772 Mytilins are a class of AMPs strongly expressed in mussel hemocytes. Initially isolated by HPLC techniques
 773 in *M. edulis* [139], the mytilin family was later discovered to comprise different isotypes [149]. The

774 organization of mytilin genes and protein precursors is similar to that of most defensins and myticins, as the
 775 signal peptide and the mature Cys-rich regions are encoded by two separate exons whereas the C-terminal
 776 extension, cleaved off in the mature peptide, is encoded by a third exon [150]. The presence of the CS- α β
 777 motif in the tridimensional structure of mytilins is also strongly reminiscent of defensins [151].
 778 Five different mytilin sequences, named mytilin B, C, D, F and G1 have been so far identified in *M.*
 779 *galloprovincialis* [20]. Compared to the other most studied mussel AMP families (defensins and myticins),
 780 mytilin precursors show a minimal inter-individual sequence variability [152].
 781 Until recent times, the purification of highly expressed peptides from active fractions of the hemolymph
 782 and EST sequencing have been the main strategies applied to the identification of AMPs in non-model
 783 species, including mussel. However, thanks to the recent high-throughput sequencing approaches, we can
 784 report some additional mussel mytilin-like sequences with peculiar variations. Most notably, the position of
 785 the 5th cysteine residue is not canonical in the novel *M. galloprovincialis* sequences of mytilin K and mytilin
 786 N, and this variation is associated with an insertion of four amino acids in the alpha helix of the CS- α β
 787 between the first and the second cysteine residues (**Figure 5**).
 788 On the other hand, another mytilin-like sequence named pseudomytilin 1 shows a canonical disulfide
 789 organization but displays a completely different C-terminal extension. The functional meaning of these
 790 variations and the expression pattern of these novel mytilin-like sequences remain to be fully explored.

791



792

793 **Figure 5:** sequence alignment of *M. galloprovincialis* mytilin proteins. The experimentally determined
 794 disulfide bridges organization of mytilin B is indicated by solid lines, whereas the hypothetical disulfide
 795 bridge connecting cysteine 1 to cysteine 5 in mytilin K and N is shown as a dashed line. * indicates novel
 796 sequences.

797

798 3.2.1.3. Myticins

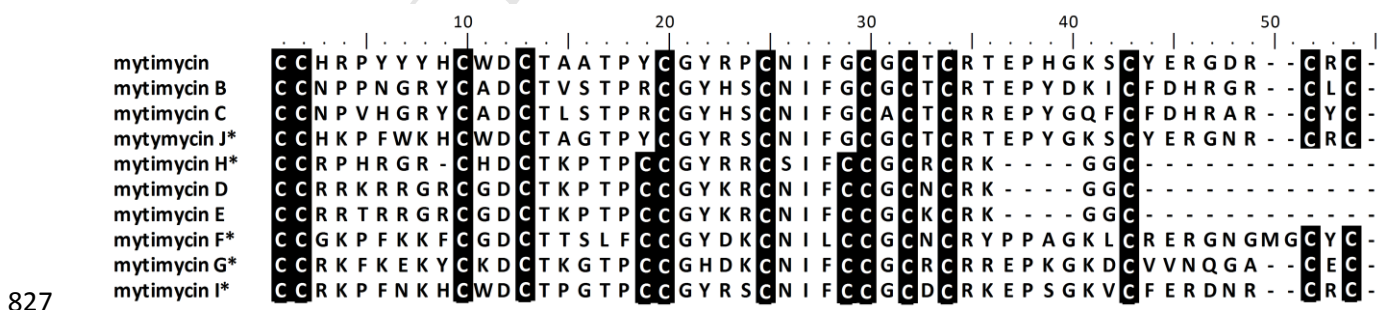
799 The identification of myticins A and B in *M. galloprovincialis* dates 1999 [153]. These AMPs, displaying 8
 800 cysteines and a C-terminal extension like defensins and mytilins, were found to be highly active against
 801 Gram+ bacteria. The expression of myticin B was demonstrated in a hemocyte subpopulation of small
 802 granulocytes and its expression kinetics was thoroughly investigated (for a comprehensive review see
 803 [154]). A third sequence, named myticin C, was later identified through EST sequencing [155] and, in spite

804 of a limited variability at a genomic level, an extreme variability was observed both among individuals and
 805 within a same individual at the transcript level [156,157]. Though at a lower level than myticin C, also
 806 mytilin A and mytilin B show an inter-individual sequence variability, mainly generated by single nucleotide
 807 changes [152]. Such a high sequence diversity could be partially justified by gene duplication, as the
 808 presence of at least two myticin C gene copies has been recently indicated [158].
 809 Myticin C has been shown to act not only as an AMP, but also as an immuno-modulating molecule, due to
 810 its chemotactic properties [159]. Based on transcriptomic evidence, we can confirm that no additional
 811 myticin-like sequences are expressed in mussel.

812

813 3.2.1.4. Mytimycins

814 Owing to their strict antifungal activity, mytimycins clearly differ from mussel defensins, mytilins and
 815 myticins. The mytimycin peptide, first purified from hemocytes of *M. edulis* alongside defensins and
 816 mytilins, displayed growth-inhibiting activity towards *Neurospora crassa* and *Fusarium culmorum* [139].
 817 Compared to defensins and mytilins, the study of mytimycin was neglected until the description of its full
 818 gene sequence in 2012 [160]. The mytimycin precursor is composed by a signal peptide, followed by a
 819 central Cys-rich domain and a C-terminal EF-hand domain (the latter is cleaved from the mature peptide).
 820 Subsequently, the expression pattern of mytimycin and the timing of its up-regulation in response to
 821 filamentous fungi challenges were investigated also in individual mussels [161,162]. The increase of
 822 transcript data now allows to explore in more detail the variability of mussel mytimycin-sequences
 823 previously only represented by a few ESTs [20]. They now appear as a group of expressed sequences with a
 824 highly variable cysteine array which can comprise either 12 or 14 cysteines (allegedly organized into 6 or 7
 825 disulfide bridges), with the novel cysteine array of type-3 mytimycins (mytimycin F, G and I) being described
 826 for the first time in the present paper (Table 3 and Figure 6).



827

828 **Figure 6:** sequence alignment of *M. galloprovincialis* mytimycin predicted proteins. Only the cysteine-rich
 829 region corresponding to the mature peptide is shown. * indicates novel sequences.

830

831 3.2.1.5. Mytimacins

832 Macins represent an emerging family of secreted, positively charged AMPs, relatively poorly studied but
833 widespread in protostomes and combining antimicrobial and nerve-repair activities [163]. Five mussel
834 macins (mytimacins) have been described so far in *M. galloprovincialis* [164], with the number of cysteines
835 and disulfide bridges ranging from 8 (4 bridges) to 12 (6 bridges) (**Table 3**). While 8- and 10-Cys macins have
836 been reported in other taxa and their disulfide connectivity has been experimentally determined [165], the
837 only 12-Cys macin described so far is the mussel mytimacin 5. Contrary to most mussel AMPs, mytimacins
838 are not expressed in hemocytes, being instead detected in the digestive gland, gills and mantle. Macins of
839 land invertebrates seem to exert their activity in the mucus produced by tissues in contact with the
840 external surface [166–168]; bivalves also secrete mucus which covers their pallial tissues, with a function
841 thought to be mainly related to filter-feeding and particle selection [169,170]. To date, no study has been
842 carried out to investigate the antimicrobial potential of bivalve mucus and it is therefore impossible to
843 ascertain whether macins are used in a similar fashion to other land invertebrates as mucus defense
844 molecules. This remains, however, an interesting lead for future studies.

845

846 **3.2.1.6. Big Defensins**

847 Unlike arthropod-like defensins, big defensins (BDs) are composed by two separate domains. The N-
848 terminal region is mainly alpha-helical and hydrophobic, while the C-terminal region is cysteine-rich and
849 structurally similar to vertebrate beta-defensins. BDs are indeed thought to be the ancestral form of beta-
850 defensins in invertebrates, which gave origin to vertebrate beta-defensins through exon shuffling and
851 intronization of exonic sequence [171]. First described in *Tachipleus tridentatus* [172], BDs are apparently
852 restricted to a few taxonomic classes, including Merostomata, Mollusca and basal cephalochordates [164].
853 In bivalves, a relevant number of BDs have been characterized, starting from the first report in *Argopecten*
854 *irradians* [173]. A total of eight different BDs sequences have been reported in *M. galloprovincialis* and we
855 can here report the presence of an additional sequence pertaining to this class. Differently from the BDs of
856 other bivalves [173–175], none of mussel BDs is highly expressed in hemocytes, being instead localized in a
857 broad range of tissues, like the mytimacins.

858

859 **3.2.1.7. Other cysteine-rich antimicrobial peptides**

860 Sequence analyses performed on the *M. galloprovincialis* transcriptome indicated the presence of a large
861 number of short secreted cysteine-rich peptides. The lack of similarity to known sequences and the
862 difficulty at obtaining purified peptides prevent functional tests but, most often, a positive net charge and
863 the hypervariability of the Cys-rich domain are strongly suggestive of possible antimicrobial properties. As
864 an example, a novel Cys-rich putative AMP (myticusin) has been recently identified in the hemocytes of

865 *Mytilus coruscus*. This AMP, bearing 10 cysteine residues arranged in an unusual disulfide pattern, was
866 demonstrated to be active against Gram+ bacteria [176].

867 These novel Cys-rich peptide families of mussel will likely be better characterized in the near future and,
868 according to an upcoming report (manuscript in preparation), we propose a provisional naming scheme as
869 follows: each novel mussel Cys-rich peptide family should be named *Mytilus Cystein-Rich (MytiCRP)-n*,
870 where *n* is a progressive number. As an example, we have most recently characterized the large MytiCRP-I
871 family, which comprises over 50 members of peptides whose precursors include both a conserved signal
872 peptide and a propeptide region. Nevertheless, the mature region, bearing 8 cysteines arranged in a
873 conotoxin-like C-C-CC-C-C array is extremely variable and subject to positive selection. Although the
874 function of these peptides is currently unknown, we hypothesize that they may play a role in defense
875 towards eukaryotic pathogens. The cysteine arrays of other, still uncharacterized, MytiCRP families are
876 shown in **Table 3**.

877

878 **3.2.1.8. Other classes of AMPs**

879 Linear amphipathic and alpha-helical AMPs have been documented in vertebrates as well as in insects,
880 where they are secreted by the fat body and released in the hemolymph [177], but so far no helical AMPs
881 has ever been described in bivalves. Another important class of AMPs consists of peptides rich in specific
882 amino acidic residues. The only example of this kind in bivalves is represented by an oyster Proline-rich
883 peptide (Cg-Prp), which is expressed in hemocytes in response to bacterial challenges and displays synergic
884 effect with defensins, even though devoid by itself of antimicrobial activity [178]. BLAST searches excluded
885 the presence of similar peptides in *Mytilus* spp. and thus cysteine-rich peptides remain the only known
886 class of AMPs to date.

887 Overall, given the high primary sequence variability, methods based on sequence similarity have a poor
888 predictive power and other strategies could be more effective in the *de novo* prediction of additional AMPs
889 in mussel (e.g. analyses based on the calculation of positive net charge or on the identification of
890 amphipathic alpha helices).

891

892 **3.2.2. Antimicrobial effectors with chitin-binding domains**

893 Recently, a 6 KDa peptide with 6 cysteines, named mytichitin-A, has been reported in *Mytilus coruscus*
894 [179]. Mainly expressed in the gonad, this AMP was strongly up-regulated in response to bacterial
895 challenges and the C-terminal region of a rather large chitoriosidase/chitinase-like precursor was
896 determined to be responsible of the antimicrobial activity, mainly against Gram+ bacteria.

897 Chitin-binding domains characterize different AMP families in invertebrates, including penaeidins and
898 tachycitins [180,181], but this is the first report of a AMP of this class in molluscs. Given the relatively high

899 occurrence of chitin-binding domains in bivalves (estimated to be present in 76 oyster proteins as deduced
900 from genome analysis), further study will be required to elucidate the antimicrobial potential of this class of
901 mussel proteins.

902

903 **3.2.3. Lysozymes**

904 Lysozymes are antimicrobial proteins among the most well-known and studied in metazoans. Able to
905 hydrolyze 1,4-beta-glycosidic bonds between N-acetylmuramic acid and N-acetylglucosamine in PGN,
906 lysozymes are particularly active against Gram+ bacteria and have a role in both digestion and antibacterial
907 defense. Animal lysozymes show limited primary sequence homology but a close resemblance of
908 tridimensional structure; nevertheless, they can be classified in three groups, namely chicken-type (C-type),
909 goose-type (G-type) and invertebrate-type (I-type) [182]. Molecular and phylogenetic studies indicated that
910 C-type and I-type sequences have likely originated from ancestral gene duplications [183], with both types
911 simultaneously present in both molluscs and arthropods.

912 The class of I-type lysozymes was the first one to be characterized in bivalves; their study in *M.*
913 *galloprovincialis* revealed up-regulation in response to bacterial challenges [184,185]. In addition to their
914 function in innate immunity, this class of lysozymes has also been linked to digestion processes in other
915 bivalves [186]. In agreement with previous reports, we could identify the two known I-type lysozymes in *M.*
916 *galloprovincialis* and a novel transcript sequence.

917 Compared to the I-type class, C-type lysozymes have been far less studied in bivalves. Following our first
918 report of a C-type lysozyme sequence in *M. galloprovincialis* [20], a study demonstrated its up-regulation in
919 experimental challenges with both Gram+ and Gram- bacteria [187]. We can now report at least other two
920 C-type lysozyme sequences expressed in *M. galloprovincialis*.

921 G-type lysozymes were originally thought to be exclusive of vertebrates, due their absence in nematode
922 and arthropod genomes. Since their detection in scallops, several other G-type lysozymes have been
923 identified in bivalves [188]. Due to the achievement of an optimal activity at different pH, the two G-type
924 lysozymes known in *M. galloprovincialis* seem to have different specialized roles in digestion and immune
925 defense [189]. Our analyses confirmed the two G-type lysozyme transcript sequences already deposited in
926 public databases for the Mediterranean mussel.

927 Recently, a phage-type lysozyme has been identified in the clam *R. philippinarum*, thus possibly expanding
928 the lysozyme repertoire of bivalves to four different families [190], but we could not detect any
929 orthologous sequence in the mussel transcriptome nor in the oyster genome.

930

931 **3.2.4. Bactericidal/permeability increasing proteins (BPIs)**

932 Bactericidal/permeability increasing proteins (BPIs) are evolutionarily conserved proteins present in
933 molluscs as well in vertebrates, which can bind LPS and cause bacterial killing by increased permeability of
934 the bacterial cytoplasmic membrane [191,192]. One BPI sequence from *M. galloprovincialis* has been
935 deposited in 2012 in GenBank and the presence of secreted as well as membrane-bound forms of BPIs has
936 been predicted from the transcriptome of *M. edulis* [13]. Due to the high sequence similarity with
937 vertebrate and invertebrate BPIs, it is plausible that mussel BPIs retain an identical function. We could
938 detect two additional full length BPI transcripts in *M. galloprovincialis*, but we could not confirm the
939 existence of the membrane-bound isoforms reported elsewhere [13].

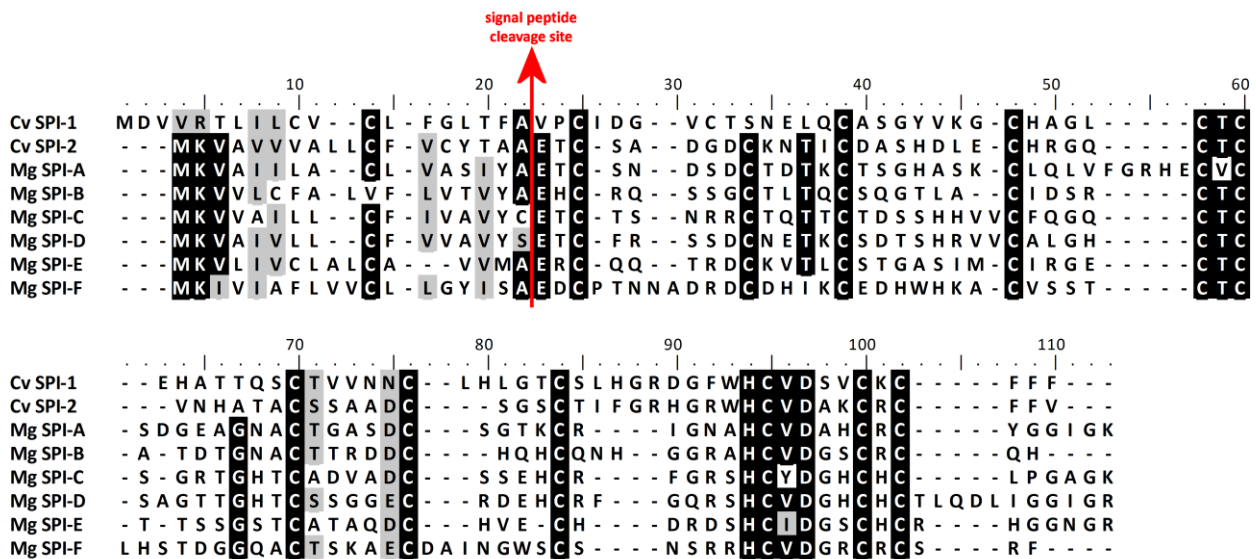
940

941 3.2.5. Protease inhibitors

942 Many pathogens produce proteases able to modulate host immunity at different levels, from recognition
943 receptors to immune effectors [193]. The inactivation of these exogenous proteases is an important
944 determinant of the host defense and a broad range of protease inhibitors can be expressed also in bivalves
945 to counteract the variants of proteases produced by invading microbes.

946 In 2001, the first molluscan protease inhibitor, a tissue inhibitor of metalloproteinases (TIMP) was
947 characterized in *C. gigas* and connected to an innate immune function [194,195]. Then, other accounts of
948 different protease inhibitors of several different bivalves were published, including TIMPs, Kazal-type [196–
949 198] and Kunitz-type [199] protease inhibitors. However, sequence homology by itself does not functionally
950 link these protein families to the immune defense, as they are potentially involved in a wide range of
951 processes, including embryonic development, morphogenesis and nacre formation, among others [200–
952 202]. Consistent with data gathered from genomic analysis of all invertebrates, a rather high number of
953 protease inhibitors has been previously reported in bivalve transcriptomes [16,22,23]. We can now report
954 gene models for 36 TIMPs, 49 Kazal-type and 27 Kunitz-type protease inhibitors in the oyster genome and a
955 similar abundance of these classes in the mussel transcriptome. Not being restricted to the immune
956 responses, an adequate description of mussel protease inhibitors requires further study.

957 Moreover, completely novel proteins not fitting the current classification of protease inhibitors have been
958 related to the bivalve immune responses. In particular, a novel serine protease inhibitor (cvSPI-1) with no
959 homology to any other known sequence was shown to inhibit the major extracellular protease produced by
960 the pathogen *Perkinsus marinus* in *Crassostrea virginica*, and to limit the proliferation of this protozoan
961 [203,204]. This fact is of great interest, as six proteins pertaining to the same family are expressed at
962 exceptionally high levels in the mussel digestive gland [16]. The sequence alignment between mussel and
963 oyster serine protease inhibitors is shown in **Figure 7**.



964

965 **Figure 7:** sequence alignment of immune-related serine protease inhibitors identified in *Mytilus*
 966 *galloprovincialis* (Mg) and *Crassostrea virginica* (Cv). Conserved residues are shaded in black, a red arrow
 967 indicates the signal peptide cleavage site.

968

969 3.3. Modulators of the immune response

970 Cytokines are a large and heterogeneous group of regulatory molecules which comprise interleukins,
 971 interferons, tumor necrosis factor (TNF) and chemokines. These crucial mediators of immune response and
 972 inflammation are often produced in different defense phases in response to specific transcription factors
 973 (mostly those pertaining to the NF- κ B and IRF families). Their pleiotropic effects attract immune cells and
 974 enhance pathogen clearance, thereby perpetuating the host reaction also through adaptive mechanisms in
 975 vertebrate animals.

976 Due to the general skepticism of the scientific community, investigations on invertebrate cytokines were
 977 not even imagined for a very long time, until very recent years [205,206]. Conversely, the signal
 978 transduction pathways leading to their activation are relatively well conserved (e.g. the TLR signaling).
 979 Given the presence of a complete TLR/NF- κ B pathway (see Section 3.1.3.1) and of a complex system of
 980 cytosolic PRRs whose downstream signaling converges either on NF- κ B or on IRFs (see Section 3.1.4), it
 981 would be reasonable to expect the production of proinflammatory cytokines also in bivalves.

982 Even though there are uncertainties and gaps on the pathogen-dependent activation of interferon
 983 regulatory factors in mussel (e.g. absence of the TRIF adaptor and doubtful presence of a Myd88-
 984 independent TLR signal transduction), the identification of IRF-like expressed sequences in *P. fucata* and
 985 *Hyriopsis cumingii*, indicated the presence of interferon-sensitive response elements (ISRE) in bivalve
 986 genomes [207,208]. In addition to the three IRF-like genes present in the *C. gigas* genome, we can now
 987 report at least four different IRF-like transcripts in mussel, whose similarity to vertebrate IRFs is strictly
 988 limited to the DNA-binding domain. Three of them vaguely resemble human IRF1/2 whereas the fourth one

989 is more similar to IRF5 and IRF8. Whether and how these transcription factors act in PRR recognition-
990 triggered pathways remains to be fully elucidated.

991 For clearness, mussels do not possess interferon-like sequence and, in general, the taxonomic distribution
992 of the four-helical cytokine-like domain which characterizes the large majority of vertebrate interleukins is
993 limited to chordates. In most cases, the invertebrate molecules reminiscent of a cytokine-like function do
994 not share any sequence similarity to the vertebrate functional homologs and have probably undergone
995 independent evolution, thus making their recognition by sequence homology impossible [209]. In 1990, the
996 responsiveness of oyster cells to human IL-1 and TNF was evocative of the existence of bivalve cytokine-like
997 proteins [210]. In 2008, an interleukin-17-like transcript was unexpectedly reported and found highly and
998 rapidly induced in response to bacterial exposure in *C. gigas* hemocytes [211]. More recently, five novel IL-
999 17 homologs were identified in the *C. gigas* genome, constitutively expressed in some oyster tissues and
1000 significantly up-regulated in hemocytes after different immunostimulation trials [212]. The overall evidence
1001 pointed to the diversification of IL-17 from a common ancestor and to the idea of an IL-17-sustained AMP
1002 production in oyster. A preliminary survey performed in the mussel transcriptome has evidenced multiple
1003 IL-17-like sequences which need to be confirmed and validated with additional study also in comparison
1004 with the oyster IL-17 homologs.

1005 Two other inflammatory cytokines have been identified by similarity searches in bivalves: the macrophage
1006 migration inhibitory factor (MIF), which is present with at least three distinct gene products in *M.*
1007 *galloprovincialis* [213], and the allograft inflammatory factor (AIF), able to stimulate the phagocytic activity
1008 of granulocytes, which has been identified in different bivalve species [214–216] and is now confirmed also
1009 in *M. galloprovincialis*.

1010 In 2009, a TNF α -like sequence was reported for the first time in a mollusc (the abalone *Haliotis discus*) [217]
1011 and, in agreement to earlier reports on the existence of TNF receptors in scallops [218,219], more recent
1012 research led to the identification of this multifunctional cytokine also in *Ostrea edulis* [214]. We now report
1013 at least three mussel transcripts pertaining to the TNF ligand superfamily with the canonical presence of an
1014 N-terminal transmembrane region. Functional data are necessary to confirm that these molluscan TNF-like
1015 proteins function similarly to vertebrate TNFs. Some intracellular components of a hypothetical TNFR
1016 pathway in mussel are common to the IMD pathway (see FADD and Caspase-8 in **Figure 3**) but genuine
1017 homologs to RIP, TRADD and TRAF2 still have to be identified.

1018 In essence, most bivalve cytokines remains elusive due to a lack of similarity with vertebrates and a similar
1019 role could be shared by completely different molecules such as myticin C: for long time considered to
1020 strictly act as an AMP, experimental data suggested its cytokine function, since extracts of cells expressing
1021 myticin C were able to attract hemocytes [159]. In brief, the available evidence for bivalve cytokines is
1022 fragmentary and their identification is still mostly limited to a few evolutionarily conserved molecules.

1023

1024 **4. Conclusion**

1025 The immune systems of invertebrates are still largely unknown but the peculiar expansion of genes for
1026 recognition and effector molecules in certain invertebrate lineages suggests the co-evolution of innate
1027 defense mechanisms in response to the selective pressure imposed by fast-evolving microbial communities
1028 and single infectious agents or parasites. This work confirms both the variety and multiplicity of the gene-
1029 encoded molecules participating in the *M. galloprovincialis* innate immunity. We expect that the overall
1030 sequencing resources, gene expression analyses extended to regulatory non coding RNAs and experimental
1031 studies in normal and stress conditions will provide a robust comparative basis for the identification of
1032 heritable traits involved in the resistance to bivalve diseases and multifactorial bivalve mortality, with the
1033 simultaneous identification of genome-spread or gene-related molecular markers supporting the selection
1034 of vigorous broodstocks.

1035

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1041

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