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**CO on supported Cu nanoclusters: coverage and finite size contributions to the formation of carbide via the Boudouard process**

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52 KEYWORDS carbon monoxide, copper, clusters, alumina, carbide.  
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3 ABSTRACT: The interaction of carbon monoxide with an ordered array of copper nanoclusters  
4 was investigated under ultra-high vacuum conditions by means of in situ X-Ray Photoelectron  
5 Spectroscopy in combination with Density Functional Theory calculations. The Cu clusters were  
6 supported on an alumina template grown on the Ni<sub>3</sub>Al(111) termination. Adsorption and  
7 dissociation of carbon monoxide occur at the copper clusters, yielding accumulation of carbidic  
8 carbon at the metal particles through the Boudouard process. The involved mechanisms are  
9 investigated at the atomic level, unveiling the effects of cluster finite size, reconstruction,  
10 support, and of local CO coverage. It is found that the high coverage of CO at the cluster surface,  
11 which considerably exceeds that achievable on single crystal surfaces, facilitates the metal  
12 restructuring and the reaction, yielding carbon incorporation into the bulk of the particles.  
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## 32 1. INTRODUCTION

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35 The interaction of carbon monoxide with copper is a widely investigated topic due to its role in  
36 many technologically relevant synthesis processes. An important example is the water gas shift  
37 reaction (WGSR),<sup>1,2</sup> where CO and H<sub>2</sub>O react to produce hydrogen. The WGSR process, as well  
38 as its reverse, are involved in several catalytic technologies like methanol synthesis,<sup>3-6</sup> methanol  
39 steam reforming, ammonia synthesis, coal gasification and many others.<sup>1</sup> One of the elementary  
40 sub-processes is the Boudouard reaction, which converts carbon monoxide into carbon dioxide  
41 and atomic carbon ( $2\text{CO} \rightarrow \text{CO}_2 + \text{C}$ ). Carbon obtained from the Boudouard process can then  
42 either take part to the reaction or contribute to the formation of carbidic or even graphenic and  
43 graphitic poisoning phases, depending on the catalyst and reaction conditions.<sup>7</sup> Both in model  
44 and applicative contexts, the reaction steps yielding carbon accumulation have a crucial impact  
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3 in the definition of the actual catalyst's behavior in different contexts, i.e. high pressure reactors,<sup>8</sup>  
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5 fuel cells,<sup>9</sup> liquid electro-catalytic environments,<sup>10</sup> and pure model systems.<sup>11,12</sup> In the framework  
6  
7 of surface science, CO interaction with low-index single crystal surfaces has been studied since  
8  
9 the early stages of ultra-high vacuum (UHV) investigations and subsequently also at higher  
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11 pressures.<sup>13</sup> For long, indeed, the CO-metal systems have been considered as a benchmark for  
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13 atomic-level investigations by means of many structural and reactivity techniques. More  
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15 recently, along the way towards the transferability of surface science results to more realistic  
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17 systems, CO interaction with catalytic surfaces has been elected as a main topic where the  
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19 progressive development of microscopy and spectroscopy techniques working at higher pressure  
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21 was exploited.<sup>14-17</sup> In parallel, in the attempt of bridging the material gap, novel model systems  
22  
23 acting as templates for active metal cluster deposition were introduced to mimic applicative  
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25 dispersed catalysts.<sup>18-21</sup>  
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33 Concerning CO chemistry on catalytic surfaces, it is well known that the direct dissociation  
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35 process is strongly endothermic and very unfavorable, with C-O bond energies and breaking  
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37 barriers typically much higher than the CO binding energy to the surface. Many studies were  
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39 devoted to investigate the role of surface coordination e.g. in the case of steps,<sup>22,23</sup> and of finite  
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41 size in both free<sup>24-26</sup> and supported cluster systems.<sup>27-30</sup> Only very recently, it has been observed  
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43 on ruthenium and platinum that there is an interplay between low surface coordination and CO  
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45 coverage, both affecting the CO dissociation process.<sup>29,30</sup> In particular, a Pt surface undergoes  
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47 extensive and reversible restructuring when exposed to carbon monoxide at near-ambient  
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49 pressures,<sup>30</sup> observing the creation of low-coordination edge reaction sites in the formed  
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51 nanoclusters. CO coverage that can reach the supra-monolayer limit in clusters affects adsorption  
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53 energies, heats of reaction, and activation energies on Ru clusters.<sup>29</sup> Thus, to understand catalytic  
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3 processes at the atomic level, it is crucial to explore the structural and chemical evolution of  
4 catalysts *in situ* and *in operando* and to account for the effects of curved and crowded  
5 nanostructured surfaces.<sup>29,30</sup>  
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10 We chose copper clusters supported on an alumina template grown on the Ni<sub>3</sub>Al(111)  
11 termination as a model system to further investigate this fundamental topic. Copper/alumina  
12 catalysts (modified with Pd) have recently been investigated for the selective acetylene  
13 hydrogenation process showing interesting behavior.<sup>31</sup> Alumina supports, obtained by high-  
14 temperature oxidation of single crystal terminations of Ni-Al alloys,<sup>32,33</sup> have already been  
15 largely characterized.<sup>32-41</sup> An ultra-thin non-stoichiometric alumina film was shown to be an  
16 optimal template for the growth of metallic nanoclusters.<sup>32,39,42-44</sup> The peculiar structure of  
17 Al<sub>2</sub>O<sub>3</sub>/Ni<sub>3</sub>Al(111) is described by a ( $\sqrt{67}\times\sqrt{67}$ )R12.2° unit cell with a side of 4.15 nm, resulting  
18 in the so-called *network* and *dot* structures.<sup>32</sup> The latter is characterized by one hole site per unit  
19 cell where hot metal atoms impinging from a chemical vapor phase and diffusing at the alumina  
20 surface can stick and generate metallic seeds. These seeds act as preferred anchoring sites for the  
21 subsequent nucleation and growth of clusters, which are therefore hooked and stabilized by the  
22 ordered template, thereby preventing sintering. Although this is not a general rule, since for  
23 example Ag and Rh do not grow at these sites,<sup>40,45</sup> selective or preferential nucleation following  
24 the dot-structure was observed for many metals like Pd,<sup>32,46,47</sup> V,<sup>40,42</sup> Fe,<sup>43,47</sup> Co,<sup>47</sup> and, precisely,  
25 Cu.<sup>40,42</sup> In order to investigate the CO reaction mechanism on copper clusters, we therefore chose  
26 the ultrathin alumina film grown on Ni<sub>3</sub>Al(111) as an optimal template for ordered and stable  
27 anchoring of the reactive metallic particles. Unexpected results were obtained, indicating that CO  
28 dissociation is a complex process driven by several factors including local coverage, support,  
29 finite size effects, and cluster reconstruction.  
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## 2. METHODS

### 2.1 Experimental

The Ni<sub>3</sub>Al(111) single crystal was treated under UHV by standard sputtering and annealing recipes in order to clean the surface and recover both order and stoichiometry, yielding a sharp (2×2) LEED diffraction pattern. The alumina ultra-thin film was grown by thermal oxidation of the sample at 1000 K in 10<sup>-7</sup> mbar O<sub>2</sub>, following well known procedures from the literature.<sup>32,35</sup> The quality of the alumina film was checked both by LEED,<sup>37</sup> and by comparing the O 1s and Al 2p core level spectra to literature data.<sup>35</sup> Core-level X-ray photoelectron spectroscopy (XPS) experiments were performed at the SuperESCA beamline of Elettra, the 3<sup>rd</sup> generation synchrotron radiation source in Trieste (Italy).<sup>48</sup> In particular, C 1s spectra were measured at normal emission using 400 eV photons. A Phoibos (SPECS GmbH) hemispherical electron energy analyzer equipped with an in-house developed detector was used to collect the spectra. A time resolution of about 30 s and a heating rate of 0.15 K/s were chosen for the time-resolved temperature programmed experiments. After normalization and subtraction of a Shirley background,<sup>49,50</sup> core level spectra were analyzed by least squares fitting methods using a Doniach-Šunjić function,<sup>51</sup> convoluted with a Gaussian envelope in order to account for experimental resolution, inhomogeneity, and temperature-induced broadening. Binding energies were calibrated with respect to the Fermi level. Cu atoms were deposited on the sample at room temperature from a chemical vapor phase, obtained by sublimation from a pure Cu rod in a resistively heated tungsten crucible. The actual Cu sample coverage was obtained by correlating

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3 the evaporation flux (measured by means of a quartz balance), the Cu  $2p_{3/2}$  XPS signal, and the  
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5 decay of the Al  $2p_{3/2}$  and O  $1s$  signals as a function of the exposure.  
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## 10 11 12 *2.2 Theoretical*

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16 Theoretical calculations were performed within the Density Functional Theory (DFT)  
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18 approach implemented in the Quantum-Espresso package,<sup>52</sup> with ultrasoft pseudopotentials.<sup>53</sup>  
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20 For exchange and correlation the spin unrestricted Generalized Gradient Approximation in the  
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22 Perdew-Burke-Ernzerhof implementation was used.<sup>54</sup> Periodic boundary conditions and a slab  
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24 geometry were applied for the description of the systems with supported clusters. For the  
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26 selection of the plane waves, energy cutoffs of 35 and 280 Ry to describe the wave function and  
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28 the electronic density, respectively, were shown to assure convergence of the results.  
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30 Considering two layers of Ni<sub>3</sub>Al(111) under the oxide, the whole cell contains 1257 atoms  
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32 already for the substrate (268 atoms in each layer of the alloy and four oxide layers consisting of  
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34 132 Al, 188 O, 188 Al, and 213 O, respectively). The Cu clusters and the CO molecules have  
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36 then to be added, yielding an extraordinary large cell at the very limit of the computational  
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38 capabilities. Due to this reason, most of the calculations have been performed using a reduced  
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40 model containing only a portion of the Al<sub>2</sub>O<sub>3</sub>/Ni<sub>3</sub>Al(111) unit cell around the dot site, plus a Cu<sub>13</sub>  
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42 cluster (with two additional atoms constituting the anchoring seed) and vacuum in all three  
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44 spatial directions, for a total of about 150 atoms. To check the validity of this model, a test was  
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46 performed also using a larger cell size for the support (330-atom model), and no meaningful  
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48 variations were found. The supported Cu<sub>13+2</sub> cluster has been chosen as an upper limit to the size  
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50 of the system to comply with the computational limits, and because the corresponding free-  
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3 standing icosahedron Cu<sub>13</sub> cluster is the most stable structure in vacuum, compared to clusters of  
4 similar size. However, the presence of the support affects the shape of the cluster, and all the  
5 structures used in the calculations have been fully optimized.<sup>55</sup> The sampling of the first  
6 Brillouin zone was performed using a (3×3×1) k-point grid for the calculations of the Cu(111)  
7 surface, and the  $\Gamma$  point was used in the cases of free-standing clusters. Despite the reduced  
8 models, evaluation of the reaction barriers and paths was not affordable.  
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### 22 3. RESULTS AND DISCUSSION

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25 The evolution of the C 1s core level signal on the alumina template decorated with Cu clusters  
26 was followed *in situ* and in real time during a CO uptake from the gas phase at liquid nitrogen  
27 (LN<sub>2</sub>) temperature and upon subsequent annealing of the sample in a constant CO background  
28 (LN<sub>2</sub>) temperature and upon subsequent annealing of the sample in a constant CO background  
29 (p<sub>CO</sub> = 5×10<sup>-9</sup> mbar). The results obtained for Cu coverage values (with respect to the clean  
30 Ni<sub>3</sub>Al surface) of 0.11, 0.36, and 0.68 ML are reported in Figure 1. Since it is known that Cu  
31 clusters nucleate, anchor, and grow at the hole sites of the alumina dot structure,<sup>40,42</sup> the average  
32 Cu cluster size can be easily calculated as follows. The unit cell side of the Ni<sub>3</sub>Al(111) surface is  
33 2.45 Å,<sup>39,56</sup> yielding a coverage of 3.5×10<sup>-3</sup> ML of nucleation (hole) sites of the dot structure.  
34 Therefore, assuming that all nucleation centers are occupied, 0.11, 0.36, and 0.68 ML of Cu  
35 correspond to average cluster sizes of about 30, 100, and 200 atoms, respectively. In the bottom  
36 panels (a-c) of Figure 1, the XPS signal intensity in the C 1s region is reported in color scale as a  
37 function of CO exposure at LN<sub>2</sub> temperature. In the central panels (d-f), the C 1s spectra of the  
38 surface at saturation are shown, together with the best fit and the peak deconvolution. The peaks  
39 (black) at 283.5-283.9 eV (depending on the Cu cluster size) are attributed to atomic carbon  
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3 species (carbide), while the peaks at 286.3-286.9 eV (filled magenta) are due to molecular  
4 carbon monoxide adsorbed at the Cu clusters.<sup>57</sup> Also the remaining peaks (magenta lines) are  
5 related to the same carbon monoxide species and originate from inelastic photoemission  
6 processes. Indeed, it is well known that when a core electron is extracted from the CO molecule  
7 adsorbed at Cu, a previously unoccupied valence orbital in the molecule is pulled down below  
8 the Fermi energy by the attractive core-hole potential.<sup>58-60</sup> The different time-scale of the photo-  
9 ionization and relaxation phenomena make the wave function of the remaining electrons not an  
10 eigen-state of the final-state Hamiltonian, and the filling of this level increases the relaxation  
11 energy, thus giving origin to distinct satellites intensities. CO/Cu is a peculiar system from this  
12 point of view, and it has therefore been largely investigated in the literature. Both the shape and  
13 energy shift of the losses that we observe in our spectra are in agreement with previous data.<sup>58-60</sup>  
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15 As it can be observed in Figure 1, however, for small cluster sizes (panel d), the elastic peak is  
16 almost not contributing to the spectrum, whereas the inelastic satellites predominate. This effect  
17 modulates with cluster size (from left to right). Switching back to the CO interaction with the  
18 clusters, it can readily be noticed that during the uptake at LN<sub>2</sub> temperature (bottom panels of  
19 Figure 1) the molecule undergoes dissociation and that atomic carbon accumulates at the  
20 clusters. When no further evolution of the C 1s intensities is observed, saturation or equilibrium  
21 with the CO background pressure ( $5 \times 10^{-9}$  mbar) are actually achieved. Upon heating, (in  
22 constant CO background pressure) the CO residence time diminishes and desorption is observed,  
23 while in parallel the intensity related to the atomic C species increases up to a plateau, which is  
24 reached when the CO coverage drops (250-300 K). It therefore appears that CO dissociation may  
25 be influenced by the local CO coverage. Both processes, i.e. adsorption and dissociation, are  
26 influenced by the sample temperature, by the gas pressure, and likely by the progressive carbon  
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3 accumulation at the clusters. The latter phenomenon may indeed lead to a progressive  
4 deactivation of the cluster due to carburization effects. To further investigate this point, we  
5 collected the C 1s core level spectra after saturating the Cu clusters with CO at LN<sub>2</sub> temperature  
6 (Figure 2) in equilibrium with the CO background pressure (panel a). We then switched off the  
7 gas flux and collected the spectrum again after about three minutes (panel b). What is observed is  
8 that in the latter case the CO density at the cluster surface is lower due to spontaneous (or photon  
9 induced) desorption, while the C coverage remains unaltered (see the difference spectrum in  
10 blue), thus excluding at least on this time-scale a contribution from photon-induced CO  
11 dissociation. Interestingly, Cu 2p core level spectra (not shown) collected after copper deposition  
12 and at the end of the reaction show no significant differences and no relative core level shift,  
13 indicating both stability of the clusters up to 370 K and no Cu oxidation. Concerning the role of  
14 the cluster size, in Figure 3 we report the C/CO coverage ratios estimated from the corresponding  
15 XPS peak areas at two temperatures (LN<sub>2</sub> and room temperature) as a function of the number of  
16 atoms in the clusters. A clear size-dependence can be observed, showing that the smaller the  
17 cluster, the more reactive it is with respect to CO dissociation.

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20 In order to thoroughly understand the mechanisms involved in the observed phenomena, we  
21 performed an extensive set of DFT calculations with the aim of elucidating the different factors  
22 affecting the reaction. With concern to the Boudouard reaction in the gas phase ( $2\text{CO} \rightarrow \text{CO}_2 + \text{C}$ )  
23 we naturally obtain that it is highly endothermic (+5.32 eV). Regarding the catalyst, the main  
24 DFT results are illustrated in Figures 4 and 5. We focused on the role of the clusters finite size,  
25 of the support, and of the local surface coverage with respect to candidate reaction mechanisms.  
26 We analyzed the energy differences for initial, final, and intermediate states of feasible processes  
27 occurring in different Cu-based substrates. Energies and optimized stick-and-ball models are  
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3 reported in Figure 4. In particular, we considered as a possible initial state the Cu model catalyst  
4 with both adsorbed and gas phase CO (blue energy levels in all panels of Figure 4). Further CO  
5 adsorption would lead to the intermediate state for Langmuir-Hinshelwood (LH) mechanisms  
6 (orange levels). The decomposition of CO adsorbed on the surface into C(ads)+O(ads) (grey  
7 levels) could be both the final state of the direct decomposition or an intermediate state for the  
8 whole Boudouard reaction (black levels), yielding C(ads) and CO<sub>2</sub>(gas). In all cases energies are  
9 referred to the corresponding initial configuration. In panel a, it is shown that on a flat Cu(111)  
10 single crystal surface at low coverage direct C-O dissociation is unlikely to occur. If no Cu  
11 mobility or only surface reconstruction are allowed, in analogy to previous calculations,<sup>61,62</sup> high  
12 energy states are obtained (3.09 and 2.57 eV, respectively – not shown). However, when  
13 allowing for C incorporation into subsurface sites, the energy cost lowers to 2.05 eV (panel a).  
14 Further CO adsorption is instead favorable (-0.77 eV). The full Boudouard reaction yields a  
15 strongly less stable system by as much as 0.48 eV and is therefore highly unfavorable on the  
16 Cu(111) surface. This is in agreement with experimental findings, where low temperature  
17 adsorption of CO yields a maximum saturation coverage of 0.5 ML (0.33 ML for the  
18 ( $\sqrt{3}\times\sqrt{3}$ )R30° structure),<sup>63</sup> and dissociation is not observed unless alkali metals are co-adsorbed  
19 on the surface.<sup>64</sup> Going to the free-standing cluster system (panel b, Cu<sub>13</sub>), thus introducing  
20 finite-size contributions, in the small coverage limit the energy cost for direct CO(ads)  
21 dissociation is already almost half than in the former case. However, the Boudouard reaction is  
22 almost equally endothermic (+0.43 eV). Concerning the spin, with one CO molecule attached the  
23 system is in a high spin state, while upon adsorption of the second CO molecule the system  
24 adopts a low spin state. In order to consider the presence of the support, we then anchor the Cu<sub>13</sub>  
25 cluster to a Cu<sub>2</sub> seed (Cu<sub>13+2</sub>) fixed in the hole defining the dot structure of the ultra-thin alumina  
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3 layer (panel c). These are precisely the cluster anchoring seeds proposed in the literature for  
4 several metals on this alumina template.<sup>32,40,42</sup> Upon adsorption of a CO molecule in the zero  
5 coverage limit on the latter system, again the energy loss for the Boudouard reaction is still high  
6 (+0.63 eV). Therefore, neither the finite size, nor the presence of a support seem to favor the C-O  
7 breaking process, since both the Boudouard reaction and, even more, the direct CO dissociation,  
8 remain highly endothermic.  
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19 The experimental results strongly suggest that coverage effects play an important role here.  
20 Indeed, when adding also this contribution in the calculations, the picture changes remarkably.  
21 On a free-standing Cu cluster with a CO coverage of 1 monolayer (ML, one molecule per surface  
22 Cu atom, panel d), there is almost no cost in energy to directly dissociate one of the adsorbed  
23 molecules yielding C(ads) and O(ads) atoms (+0.07 eV), while when considering the full  
24 Boudouard-like process yielding C(ads) and CO<sub>2</sub>(gas), an energy gain is actually obtained (-0.62  
25 eV). On a supported Cu cluster with the same initial CO coverage of 1 ML (panel e), the energy  
26 gain for the full Boudouard reaction has a similar value (-0.69 eV), with a final state that is more  
27 stable than the co-adsorbed configuration (orange level), characterized by an energy gain of only  
28 -0.59 eV with respect to the initial state (blue level). It is important to mention that the higher the  
29 CO coverage, the smaller the energy gain upon further CO adsorption, up to saturation, when  
30 sticking of an additional molecule from the gas phase is no longer favored: DFT gives -1.05 eV  
31 (-1.51 eV) for the 12<sup>th</sup> (2<sup>nd</sup>) CO molecule added to a free-standing Cu<sub>13</sub> cluster, and -0.59 eV (-  
32 0.88 eV) when the cluster is supported. However, as discussed also in the literature,<sup>29</sup> on nano-  
33 sized systems it is easy to reach and overcome the ML coverage, going well beyond the  
34 saturation value typical of single-crystal surfaces. In the case of small clusters as the one  
35 presented here, increasing the coverage may also lead to distortion and restructuring of the  
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3 cluster. The saturation coverage is different for free-standing and supported clusters; nevertheless  
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5 for direct comparison we have chosen the same coverage of 1 ML for both cases and in  
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7 configurations where important distortions are not produced. It can be observed that at high CO  
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9 coverage the CO-Cu interaction weakens the Cu-Cu bonds making the cluster more malleable  
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11 and favoring restructuring after CO breaking, yielding incorporation of the carbon atom  
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13 originating from CO dissociation (panels d and e), at variance with the low-coverage limit where  
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15 carbon remains at the surface (panels a, b, and c). Since direct C-O breaking is energetically  
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17 costly, two-molecule disproportionation processes involving Eley-Rideal (ER) or LH  
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19 mechanisms with formation of CO<sub>2</sub> are likely to occur. Moreover, by means of Bader charge  
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21 analysis (red and blue numbers in Figure 4, in units of |e|), we can see that favorable final  
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23 configurations for the C atom after C-O dissociation yield negative values, thus confirming the  
24  
25 formation of a carbide state. In Figure 5 we plot the DFT density of states projected on the C  
26  
27 atom stemming from CO dissociation and on the surrounding Cu neighbors. While no relevant  
28  
29 effects can be observed for size and support contributions (top to bottom), the CO coverage is  
30  
31 instead found to significantly change the density of states (left to right). The strong hybridization  
32  
33 (arrows) between the C 2*p* and the Cu 4*d* states at -5.5 and -8.0 eV could explain the energy gain  
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35 of carbidic C at high CO coverage, thus favoring CO decomposition in parallel with other  
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37 coverage-induced effects like cluster distortion and relaxation.  
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47 On the basis of the results obtained by means of the calculations performed in the DFT  
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49 framework, we can therefore explain the observed phenomenon, where CO dissociation occurs  
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51 already at LN<sub>2</sub> temperature, even if a higher conversion is observed for increasing temperature.  
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53 Indeed, when considering all the contributing effects of the catalyst's size, of the support, and  
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55 specifically the effect of coverage, the Boudouard reaction becomes exothermic. Still, kinetic  
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3 limitations may play a role in practice, since the reaction barriers were not calculated, mainly due  
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5 to the large number of relevant degrees of freedom. The experimental evidence suggests small  
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7 activation energies of the order of few tenths of eV. The size effects of the cluster translate into  
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9 the higher saturation coverage for CO (1 ML or even larger, as shown in Figure 4, panels d and  
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11 e) with respect to the single crystal termination (0.5 ML).<sup>63</sup> In this sense, finite size effects  
12  
13 contribute indirectly to the reaction since the high density of the ad-layer favors CO dissociation.  
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15 We observe that both the increase of the CO coverage (Figure 4, compare panels b and d) and the  
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17 interaction with the oxide surface (from panels b to c and d to e) yield restructuring of the metal  
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19 cluster, with changes in its shape. Size effects can be more complex than what described here, as  
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21 for example reported for bimetallic nanoparticles, where the variation in activity with particle  
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23 size is also shape-dependent.<sup>65</sup> Breaking of CO and distortion of the cluster crystalline structure,  
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25 occur when the CO-Cu interactions become competitive with the Cu-Cu bond energy, making  
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27 carbon inclusion into the bulk of the copper cluster energetically favorable. It is indeed widely  
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29 recognized that defective adsorption sites (steps, kinks, low-coordinated defects) show peculiar  
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31 catalytic activity.<sup>30</sup> In addition, thanks to the contribution of the support (Figure 4, panel e) the  
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33 final state, i.e. the carbidic phase, becomes the lowest in energy with respect to both initial and  
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35 intermediate states, thus making the Boudouard reaction an exothermic process. Concerning the  
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37 Cu-O interaction, in the case of low CO coverage we investigated the adsorption and dissociation  
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39 of CO in different sites of the supported Cu<sub>13+2</sub> nanocluster (including interfacial sites), finding  
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41 that the energies of the different initial and final configurations can vary up to 0.6 eV. Changes  
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43 also in the kinetic barriers of the dissociation process cannot be excluded, as reported in the  
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45 literature, but we could not tackle this point due to the large size of the system.<sup>66,67</sup> However, we  
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3 recall that the cluster does not wet the surface,<sup>55</sup> and that CO does not stick onto the oxide  
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5 surface.  
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#### 11 12 **4. CONCLUSIONS** 13

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16 By comparing experimental evidence with results obtained within the DFT framework,  
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18 we have shown that the Boudouard reaction is taking place efficiently at small copper clusters  
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20 supported on an ordered ultra-thin film alumina template. Remarkably, it is found that the  
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22 reaction occurs already at LN<sub>2</sub> temperature, indicating a low activation barrier (of a few tenths of  
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24 eV), and that the reaction is made possible by the combined contribution of support, finite size  
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26 effects, cluster reconstruction, and local coverage. The latter is the leading factor for driving the  
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28 Boudouard reaction, but in turn it is allowed only by the finite size of the clusters. The presence  
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30 of the support does not change the scenario, but it is essential for making the clusters more  
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32 malleable and favors the Boudouard process with respect to further adsorption of CO. Among  
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34 many intermediate configurations related to different reaction steps, direct C-O dissociation is  
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36 always energy demanding, thus suggesting that disproportionation processes involving ER or LH  
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38 mechanisms may significantly contribute. Our findings are obviously not directly transferable to  
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40 systems under applicative working conditions since the pressure gap is significant. In this  
41  
42 respect, when leaving the model environment adopted in this study, the temperature-pressure  
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44 dependent phase diagram of the CO coverage at the clusters should be considered, together with  
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46 the temperature-driven cluster restructuring and stability. Nevertheless, a thorough insight into  
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48 this strategically important reaction was obtained at the single-atom level, thus providing useful  
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50 information for further investigation of more realistic systems.  
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**Author Contributions**

The manuscript was written through contributions of all authors. All authors have given approval to the final version of the manuscript.

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## FIGURE CAPTIONS

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7 **Figure 1.** C 1s core level spectra measured in situ upon exposure to CO of alumina-supported Cu  
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9 for different cluster sizes corresponding to 0.11 (panels a, d, g), 0.36 (panels b, e, h), and 0.68  
10 ML (panels c, f, i) of Cu, respectively. Signal intensities are mapped into a color scale and are  
11 reported as a function of CO exposure at LN<sub>2</sub> temperature (bottom panels a-c) and of annealing  
12 temperature (upper panels g-i). Core level spectra at saturation at LN<sub>2</sub> temperature are depicted  
13 in panels d-f together with the peak deconvolution for atomic carbon (black) and carbon  
14 monoxide (magenta). Annealing was performed in a constant CO background of  $5 \times 10^{-9}$  mbar ( $h\nu$   
15 = 400 eV).  
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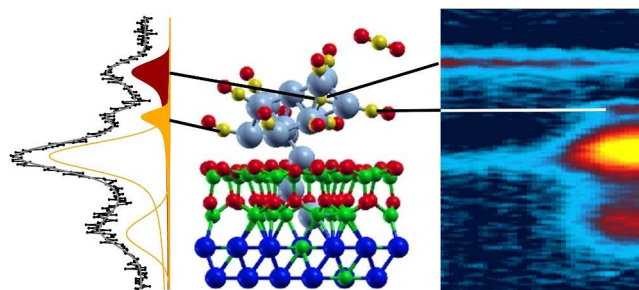
29 **Figure 2.** C 1s core level spectra ( $h\nu = 400$  eV) from Cu clusters (0.36 ML) supported on  
30 alumina, saturated with CO at LN<sub>2</sub> temperature and measured with (panel a) and without (panel  
31 b) CO background ( $5 \times 10^{-9}$  mbar). Deconvolution of the C (filled black) and CO (filled magenta)  
32 adiabatic signals, and of the CO losses (magenta lines), are shown. The blue curve represents the  
33 (b)-(a) difference spectrum.  
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44 **Figure 3.** Carbide coverage with respect to CO saturation coverage after exposure of the Cu  
45 clusters to CO at LN<sub>2</sub> temperature (filled markers) and at room temperature upon annealing  
46 (empty circles) in CO background ( $5 \times 10^{-9}$  mbar).  
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3 **Figure 4.** DFT energy diagram for CO reaction pathways on Cu(111) (panel a), unsupported  
4 Cu<sub>13</sub> cluster (panels b and d), and Cu<sub>13+2</sub> (with a 2-atom seed) cluster supported on an ultra-thin  
5 alumina film grown on Ni<sub>3</sub>Al(111) (panels c and e). The diagram puts in evidence the role of  
6 finite size, support, and coverage effects on the direct CO dissociation, disproportionation, and  
7 on the complete Boudouard reaction through ER and LH mechanisms. Bader charges in units of  
8 |e| for C atoms are reported (blue – negative, red – positive).  
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21 **Figure 5.** DFT projected density of states on the carbon atom after CO dissociation (red) and on  
22 the surrounding Cu atoms (average, blue) as a function of CO coverage (left/right) for the  
23 Cu(111) surface, the free standing Cu cluster, and the supported Cu cluster (from top to bottom).  
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## TOC graphics



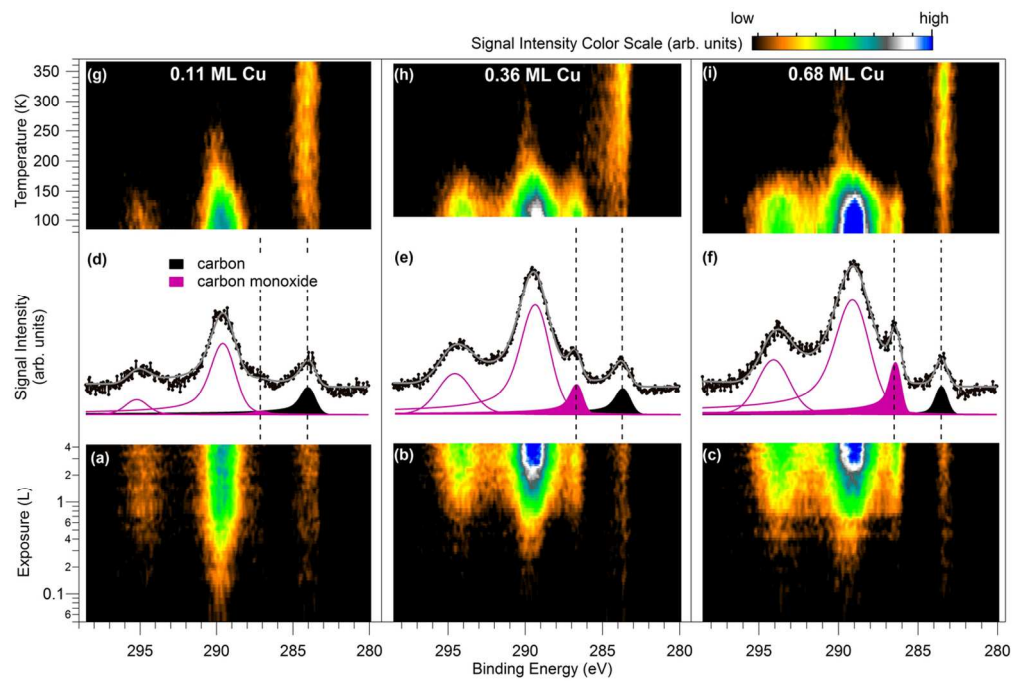


Figure 1 - 600x600 dpi  
120x81mm (300 x 300 DPI)



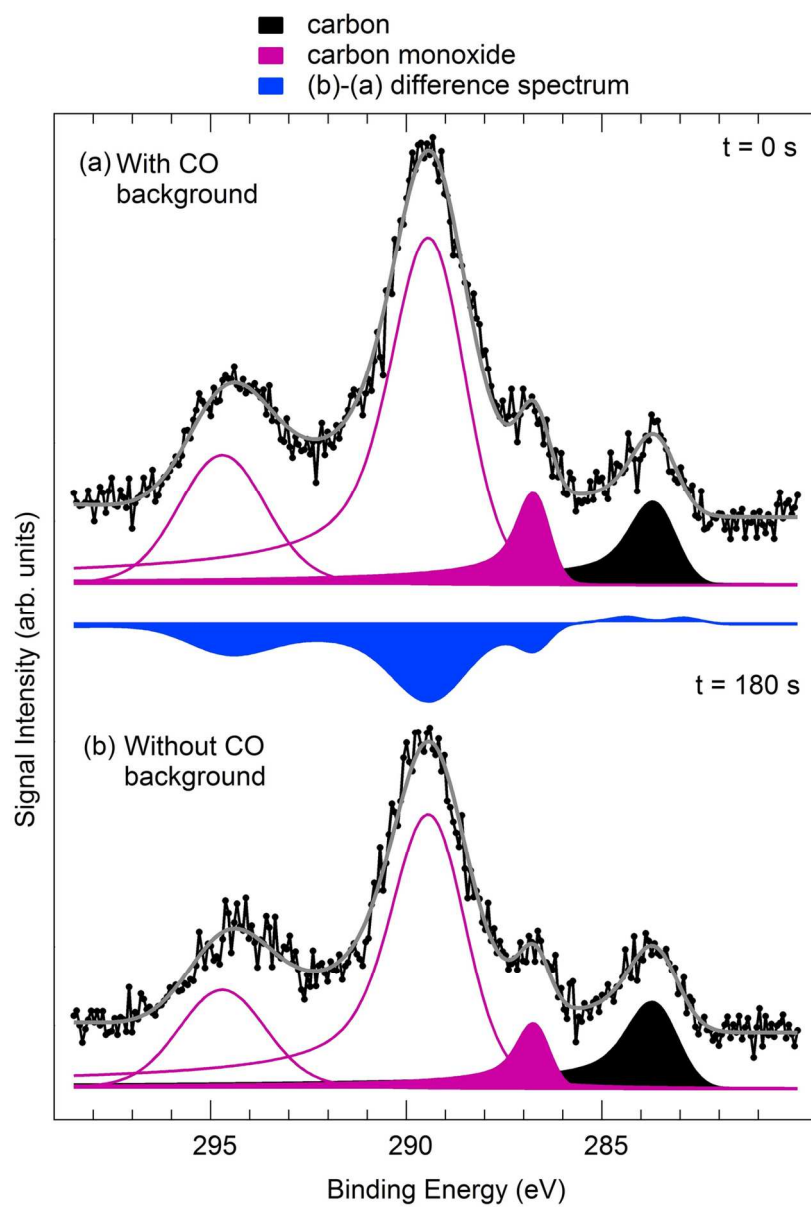


Figure 2 - 600x600 dpi  
117x172mm (300 x 300 DPI)

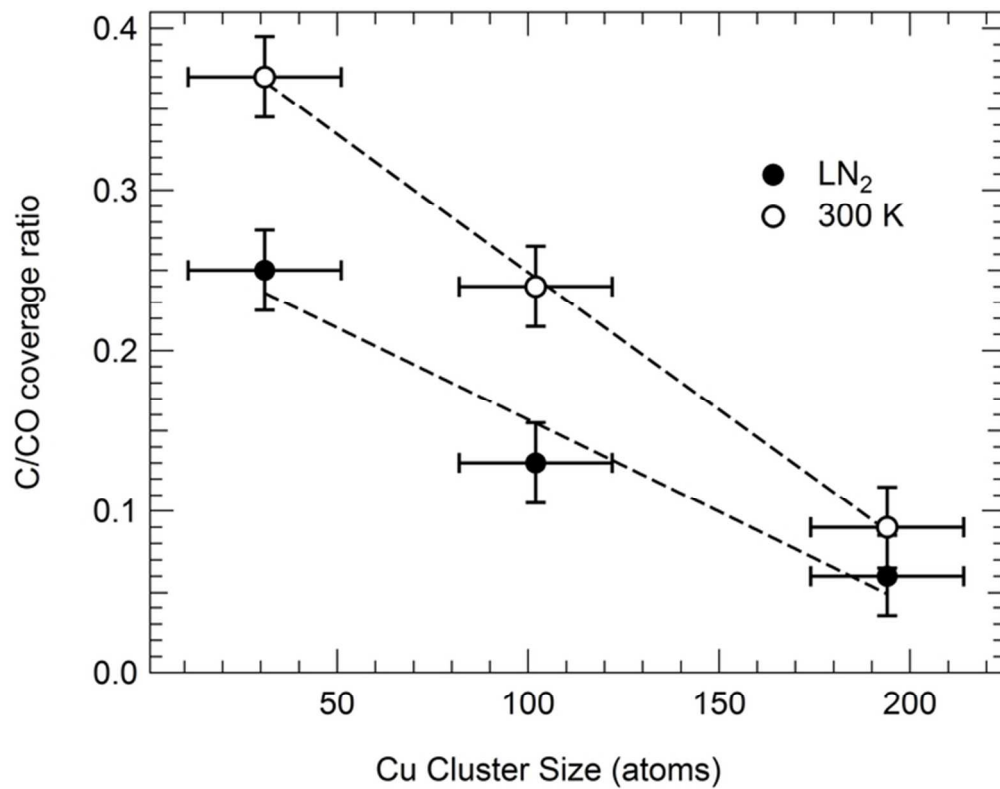


Figure 3 - 600x600 dpi  
62x49mm (300 x 300 DPI)

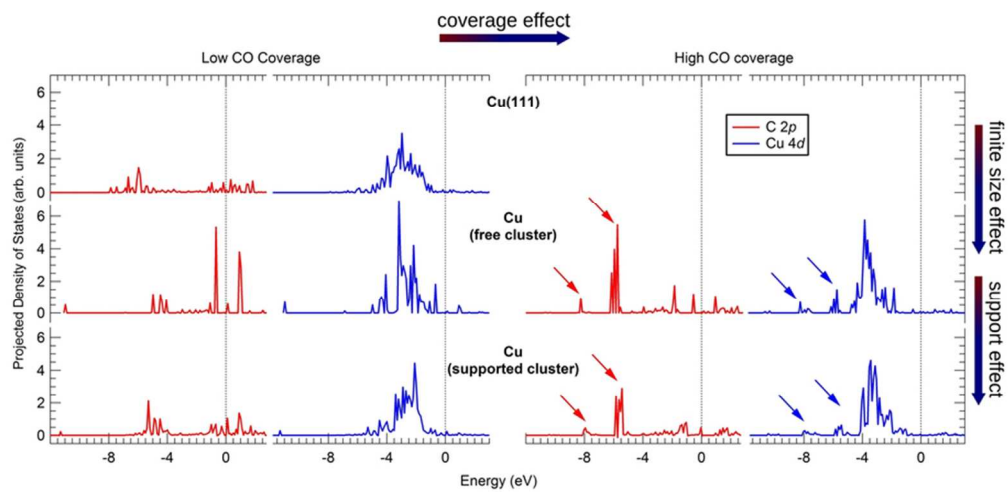


Figure 5 - 600x600 dpi  
77x37mm (300 x 300 DPI)

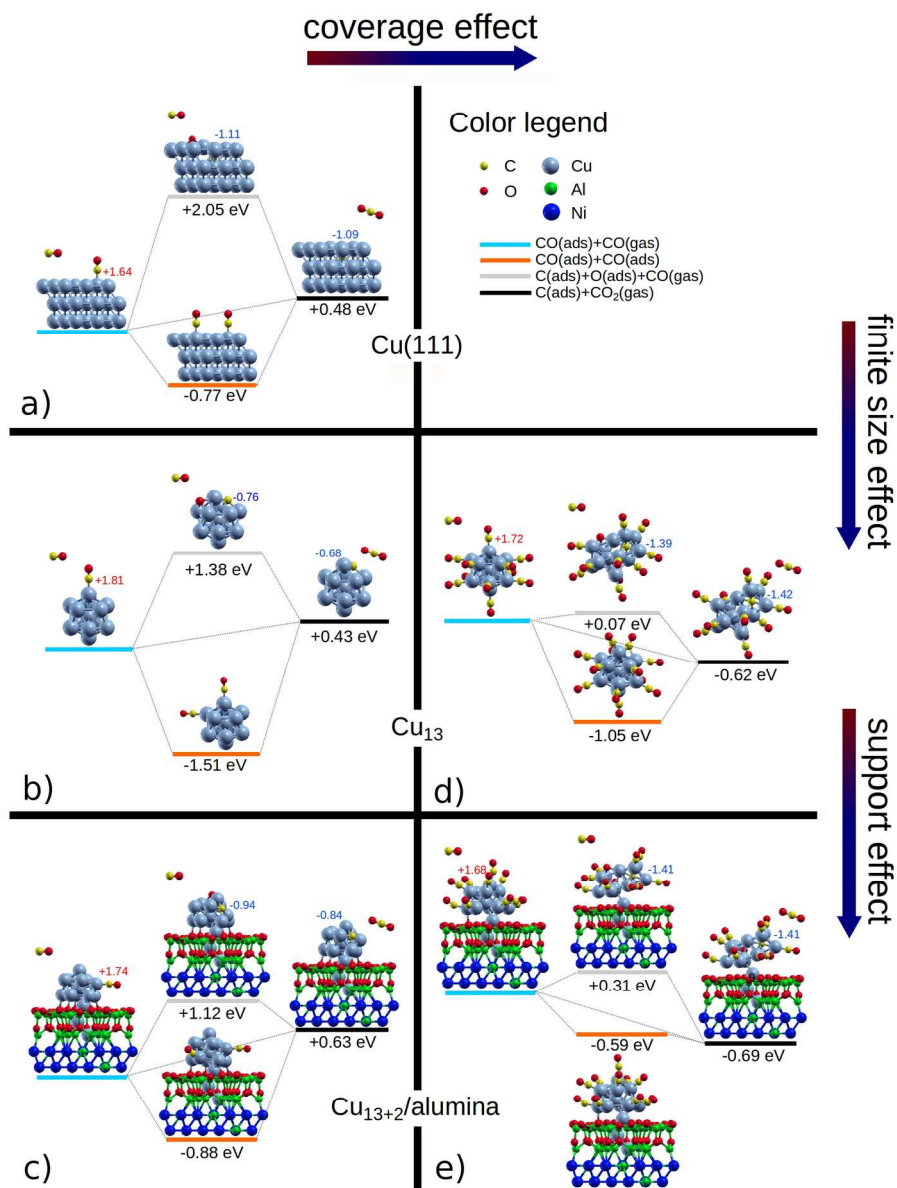
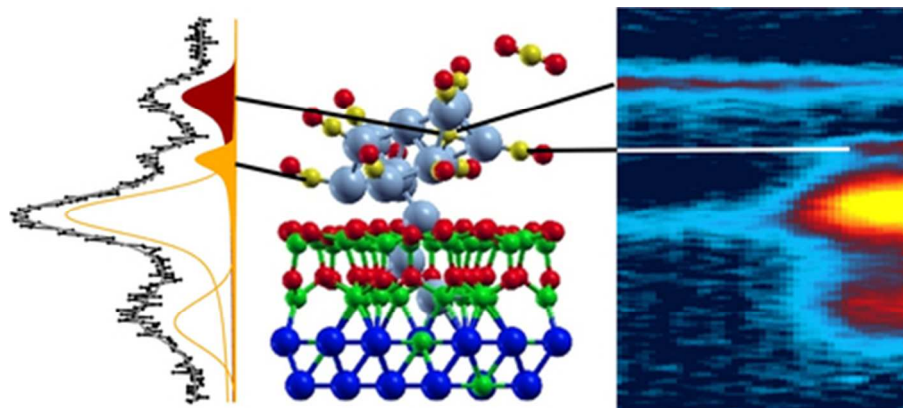


Figure 4 - 600x600 dpi  
211x276mm (300 x 300 DPI)



38x17mm (300 x 300 DPI)