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Corresponding Author	Family Name	Corazza
	Particle	
	Given Name	Giovanni Emanuele
	Prefix	
	Suffix	
	Role	
	Division	
	Organization	University of Bologna, DEI
	Address	Viale Risorgimento 2, 40136, Bologna, Italy
	Division	
	Organization	Université de Paris and Univ Gustave Eiffel, LaPEA
	Address	92100, Boulogne-Billancourt, France
	Division	
	Organization	Marconi Institute for Creativity
	Address	Bologna, Italy
	Email	giovanni.corazza@unibo.it
Author	Family Name	Agnoli
	Particle	
	Given Name	Sergio
	Prefix	
	Suffix	
	Role	
	Division	
	Organization	University of Trieste
	Address	Trieste, Italy
	Division	
	Organization	Marconi Institute for Creativity
	Address	Bologna, Italy
	Email	
Abstract	<p>In this Chapter, the DA VINCI model for the creative process is introduced. The model is constituted by five mental states, that form DA VINCI as an acronym: DAV (Drive: Attention and Volition), I (Information), N (Novelty generation), C (Creativity estimation), I (Implementation). The DA VINCI model is dynamic and descends from the dynamic definition of creativity, encompassing the concepts of potential originality and effectiveness and of creativity estimation. The I, N, and C mental states encompass both a convergent and a divergent modality. This allows the introduction of the two most important peculiarities of the DA VINCI model: Inspiration, in the form of information that a priori would appear to be irrelevant, and divergent Creativity estimation, that allows the actor to explore alternative</p>	

worlds for the extraction of value, enabling serendipitous findings. The DA VINCI model is shown to be compatible with other models for the creative process (i.e., Wallas, Mumford, Geneplore models), but to add important new elements with respect to these. The DA VINCI model includes many different creativity styles, allowing any mixture of two extreme styles: the problem solver and the free explorer. Finally, the DA VINCI model offers answers to the fundamental question of what distinguishes a creative process from any other cognitive process not leading to creative outcomes.

Keywords
(separated by '-')

Creativity - Creative process - Inspiration - Divergent thinking - Convergent thinking - Openness -
Leonardo da Vinci

The DA VINCI Model for the Creative Thinking Process



Giovanni Emanuele Corazza and Sergio Agnoli

0 **Keywords** Creativity · Creative process · Inspiration · Divergent thinking ·
1 Convergent thinking · Openness · Leonardo da Vinci

2 **1 Introduction: The Central Role of the Process** 3 **in Creativity**

AQ1

4 There are several frameworks for creativity studies, such as the 4P's (Rhodes, 1961),
5 the 5A's (Glăveanu, 2013), or the 7C's model (Lubart, 2017). All of these frameworks
6 encompass at least three fundamental dimensions: the creative process, the creative
7 actor enacting the process, and the creative product as the outcome of the process. It
8 can be argued that the core of the creativity phenomenon is undoubtedly the creative
9 process. Without a creative process, the actor could not be engaged in creativity, and
10 therefore there would be no creative outcome nor its consumption. The same line
11 of reasoning applies even more strongly to the other dimensions contemplated by
12 the 4P's, 5A's, and 7C's frameworks: they all rely intrinsically on the existence of
13 a creative process. The opposite does not hold: for example, it is perfectly normal
14 to have a creative process without having reached any creative outcomes: this might
15 even be useful, for example in case creativity is used as a therapeutic mechanism
16 (Hannemann, 2006).

G. E. Corazza (✉)

University of Bologna, DEI, Viale Risorgimento 2, 40136 Bologna, Italy
e-mail: giovanni.corazza@unibo.it

Université de Paris and Univ Gustave Eiffel, LaPEA, 92100 Boulogne-Billancourt, France

S. Agnoli
University of Trieste, Trieste, Italy

G. E. Corazza · S. Agnoli
Marconi Institute for Creativity, Bologna, Italy

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17 As a direct consequence, when creativity is considered, we should look for a defi-
 18 nition that focuses on the creative process, and not on the possible creative outcomes
 19 of this process. Strangely enough, the standard definition of creativity (Runco &
 20 Jaeger, 2012), by foreseeing that creativity requires both originality and effective-
 21 ness, is actually focused on the existence of an outcome and on its assessment by
 22 some entity, who should recognize its originality and effectiveness in some specific
 23 knowledge domain. As discussed in Corazza (2016), this definition is insufficient as
 24 it leads to a static theoretical framework, missing all the dynamics of the creative
 25 process, which include long periods of creative inconclusiveness (Corazza, 2016),
 26 along with more rare occasions of creative achievement. Recognizing the central
 27 role of the creative process, it is therefore mandatory to adopt a dynamic definition
 28 of creativity (Corazza, 2016), foreseeing that creativity requires *potential* originality
 29 and effectiveness. The addition of a single word, potential, has the power to trans-
 30 form the theoretical framework from static to dynamic, and to shift the focus from
 31 creative products to creative processes (Botella & Lubart, 2019; Corazza, 2016, 2020;
 32 Corazza & Glaveanu, 2021).

AQ2

33 Under the light of the dynamic definition of creativity, we can also provide a
 34 definition for the creative process. Lubart (2001) defined it as: “The sequence of
 35 thoughts and actions that leads to a novel, adaptive production”, and this definition is
 36 still a derivative of the standard definition of creativity, for it does not contemplate the
 37 case in which the production is not (yet) reached, or its value is debatable. Therefore,
 38 we must provide a dynamic definition of a creative process, as “*A sequence of thoughts
 39 and actions aimed at the generation of outcomes with a potential for originality and
 40 effectiveness*”. A fundamental part of the creative process will therefore reside in
 41 the active extraction of value from generated ideas, that we identify as *creativity
 42 estimation* as opposed to creativity assessment or judgment (Corazza, 2016, 2020).

43 Modeling the creative process has been an important topic for about a century in
 44 creativity studies (see Lubart, 2001, 2018, and the references therein). Any model
 45 must be interpreted as a metaphor, without any claim to represent ‘reality’ in a
 46 faithful way, but with different levels of usefulness that need to be justified. For the
 47 DA VINCI model presented in this Chapter, there are three levels of usefulness: (a)
 48 theoretical; (b) empirical; and (c) practical. First, from a theoretical point of view,
 49 the DA VINCI model is an important part of the Dynamic Creativity Framework
 50 descending from the dynamic definition of creativity cited above; the DA VINCI
 51 model is compatible with other models proposed in the literature, as discussed below,
 52 but it adds the important elements of Inspiration and divergent Creativity estimation.
 53 Second, understanding the creative process through the DA VINCI model can be
 54 used as a guide in the design and realization of empirical experiments for the study
 55 of creative cognition, creative motivation, idea generation, creativity estimation, and
 56 so on, to provide additional scientific data to confirm the validity of the model itself.
 57 Finally, the DA VINCI model can also be used as an educational tool for creativity
 58 training, as well as an application tool to guide practical sessions of idea generation.
 59 In this practical sense, the DA VINCI model can be used both by an individual and
 60 by a team of actors.



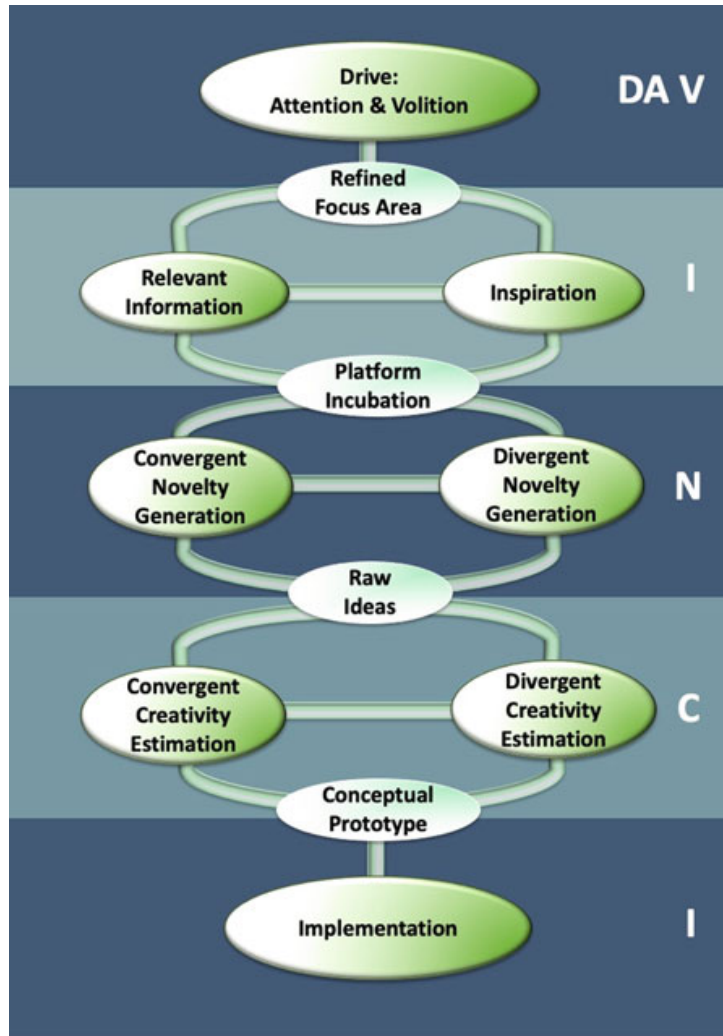
2 The DA VINCI Model for the Creative Thinking Process

Our model was initially identified as ‘DIMAI’ (Corazza & Agnoli, 2018; Corazza et al., 2014, 2015), and was renamed ‘DA VINCI’ in 2019, to dedicate it to the great Leonardo Da Vinci (1452–1519) in the year of the 500-th anniversary of his death. This dedication is well justified by the fact that Leonardo represents a unique testimonial for creativity, being the only human in history who was able to produce high level creative work in about twenty different disciplines, pertaining to the arts, science, and technology. The DA VINCI model is intended to describe the occurrence of a *creativity episode*, the time-extension of which is a-priori undetermined, due to its manifold dynamic extensions (Corazza, 2019, 2020). It must be clearly stated that there is no claim that this model actually reflects the approach that Leonardo followed in his creativity episodes, although some of the components of this model have been inspired by the lessons that can be learned from the Da Vinci codex. It is worth noting that DA VINCI has been turned into an acronym to help indexing the five key mental states that constitute the backbone of the model: DAV (Drive—Attention & Volition), I (Information), N (Novelty generation), C (Creativity estimation), I (Implementation).

The reason why we identify these main constituents of the DA VINCI model as ‘mental states’, as opposed to the more classic term ‘stages’ (e.g., see Wallas, 1926), is that multiple mental states can coexist at the same time in the mind of the creative actor. Therefore, even though the description of the DA VINCI model follows a linear and sequential order, its activation can be much more complex and non-linear, depending on meta-cognitive executive control. As an example, the DAV state, which contains the fundamental motivational elements allowing the actor to take risks and sustain possible frustrations, must remain active throughout the creative thinking process, in parallel with other mental states.

The graphical representation of the DA VINCI model is reported in Fig. 1. As can be seen, the three central mental states (I, N, C) contain each two components, representing a duality of modalities that will be explained later, but that in general reflects convergent vs. divergent modalities. At the output of the DAV, I, N, and C mental states, different forms of preliminary outputs are represented, feeding and creating an exchange between different mental states. These are, respectively: Refined Focus Area (RFA), Platform-Incubation, Raw Ideas, and Conceptual Prototype. Whereas the communication link is clearly visible between adjacent states, it can also be effective between non-adjacent mental states. For example, the RFA that links DAV and I states, also links DAV and C states, because as we will explain later convergent Creativity estimation is aimed at extracting value from the creative ideas with reference to the initial RFA. Further, it should be noted that all of the elements of the DA VINCI model are interconnected by paths that have no arrows. This is intended to show graphically that there is no single predetermined way to activate mental states, their modalities, and the corresponding outputs, but multiple sequences of activation can be generated within the DA VINCI model, corresponding to different thinking

Fig. 1 The DA VINCI model for the creative thinking process



103 styles (to be discussed later) and/or different situations that may occur in the embed-
 104 ding environment. In fact, this process does not happen in isolation, but is influenced
 105 by all the interactions in which the creative actor engages.

106 As we will discuss later, the DA VINCI model is compatible with other models for
 107 the creative thinking process, but it also has two main peculiarities that make it well
 108 distinct: the component of Inspiration within the I (Information) mental state, and the
 109 component of divergent Creativity estimation within the C (Creativity estimation)
 110 state. Having given a general overview of the DA VINCI model, we now enter into
 111 the detailed description of the five mental states.

112 3 DAV: Drive—Attention & Volition

113 The basic behavior of a cognitive system supported by a non-pathological brain
 114 is guided by the minimization of energy expenditure. This is essentially the founda-
 115 tion of the *cognitive economy* assumption, which foresees as the main goal that

116 of conserving the finite available resources as much as possible (Rosch, 1978). In
117 fact, the process of learning and reviewing produces a progressive reduction of
118 energy expenditure in the brain, so that brilliant and fast responses to external or
119 internal stimuli involve minimal energy consumption. This is a fundamental and
120 adaptive neural and cognitive goal, that guarantees maximum survival time spans
121 for a given level of nutrition. There are many mechanisms used by the neural and
122 cognitive systems in order to achieve the goal of energy minimization, among which
123 lowering thresholds of neurons, reduced activation of structures, habituation, atten-
124 tion focusing, and proactive prediction. All of these mechanisms work against the
125 creative process, because they tend to lead rapidly towards the ‘best’, previously
126 known, response.

127 As a consequence of the above fundamental observation, if a creativity episode
128 is to begin at all, there is the necessity to invest an amount of energy and time which
129 is far superior to the minimum necessary for mere survival. The Drive represents
130 this mental state in which a willingness is (explicitly or implicitly) activated in the
131 creative actor to actually make this investment of energy and time, taking the risk to
132 engage in an activity without a-priori guarantees of the possible outcomes. Without
133 this Drive, creativity remains stifled and unable to be expressed, as thinking always
134 remains within the comfortable boundaries of previous knowledge.

135 In the DA VINCI model, it is explicitly recognized that the creative Drive stands on
136 two pillars: cognitive (Attention) and motivational (Volition). The cognitive element
137 involves the definition of an area of attentive focus (Focus Area) for the creativity
138 episode, which might be an assigned creative task, a problem to be solved (in this
139 case, the literature of interest speaks of Problem Discovery, Problem Definition and
140 Re-definition, Problem finding; Guilford, 1967; Mumford et al., 1991), but also an
141 area to be explored, without any evident problem to be solved. This third possibility
142 promotes engagement in a much wider range of creativity episodes. The Drive in
143 Attention involves spending energy and time to look at the Focus Area from many
144 different points of view, which is key to combat fixation and selectivity of attention.
145 The ability to broaden the attentional focus while defining the creative focus emerged
146 as an attribute of creative individuals, especially when associated with the Openness
147 personality trait (Agnoli et al., 2015). In fact, flexible perspective taking is a funda-
148 mental ability to be trained in order to improve creative performance. In terms of
149 problem solving, this is referred to as problem re-definition (Reiter-Palmon & Illies,
150 2004), which can be shown to be predictive of creative success.

151 On the other hand, the creative Drive is not only a matter of pure cognition. In fact,
152 the motivational elements are as important, if not more. As we recently stated, moti-
153 vation and emotions can be defined as the spinal cord of the overall creative thinking
154 process, or as the necessary (but not sufficient) condition for the creative process
155 to occur (Agnoli & Corazza, 2019). Volition, or the willingness to engage in the
156 creativity episode, is actually the source of the excess energy in the Drive. Volition is
157 known to have both intrinsic and extrinsic components (Amabile, 1993), depending
158 on whether they come from within the creative actor or from the surrounding envi-
159 ronment, such as for example a boss asking for creative ideas to solve a company’s
160 problem. The best condition corresponds to the case in which intrinsic and extrinsic

161 motivation resonate: imbalance is in general an inferior condition, either when the
162 actor is motivated but the environment works as an obstacle, or when the environ-
163 ment is favorable but the actor does not show any interest. This interaction between
164 intrinsic and extrinsic motivation emerged clearly in a recent exploration of creative
165 achievement within the educational environment, where the highest achievement
166 scores were obtained by individuals characterized by high openness and high levels
167 of both motivational sources (Agnoli et al., 2018).

168 A neurological parallel to Volition can be found in the creative Drive model
169 proposed by Flaherty (2005). This author offered an alternative neurological explana-
170 tion to creativity as opposed to the lateralization model for creativity skills proposed
171 by Martindale (1999). In particular, Flaherty suggested the term “creative drive” for
172 explaining the result of the interaction between temporal and frontal lobes and the
173 limbic system. She argued that most neurological models have focused on creative
174 cognition skills, but the drive, as sustained by the limbic system, is neurally inde-
175 pendent from these skills, and probably more important for explaining creative
176 achievement. More recent models have been proposed for the neurological expla-
177 nation of this state (see Khalil et al., 2019), all pointing at relatively independent
178 neurophysiological patterns for the drive sustaining the creative process.

179 Further empirical evidence for the role of basic neural motivational systems in the
180 creative thinking process comes from the study of the functions of the dopaminergic
181 systems on the generation of new ideas (Boot et al., 2017; Nijstad et al., 2010;
182 Zabelina et al., 2016), with recent data showing that higher activation of the striatal
183 dopaminergic system is predictive of higher originality when supported by higher
184 flexibility of thought (Agnoli et al., 2021).

185 Now, Attention and Volition interact in the selection of the output of the Drive
186 mental state, that is the Refined Focus Area (RFA). In other words, as the actor
187 is exercising his/her ability to see the area of focus under many different points
188 of view, the visited alternatives produce an effect on the willingness to engage in
189 the creativity episode. If the selected RFA corresponds to the focus definition that
190 is felt (perhaps based on instinct) to have the highest potential for originality and
191 effectiveness (Corazza, 2016), then interest for this focus area will grow (Agnoli &
192 Corazza, 2019), motivation will be highest, and the Drive will be most effective. This
193 ideal condition is not always achieved, as the level of Drive will vary on a continuum.
194 Finally, it should be noted that a list of possible RFAs can also be formed, but the
195 alternatives must be explored one at a time, unless the creative process involves
196 parallel teams.

197 4 I: Information

198 The creative episode aimed at a specific RFA is fed by information that is deemed to be
199 important and necessary in that RFA knowledge domain. In the DA VINCI model,
200 we refer to this as Relevant Information, represented in Fig. 1 as the convergent
201 modality of the Information mental state. Here, convergence is intended towards

202 the knowledge domain of the RFA. Relevant Information must always be available
203 to feed the creative process; basically, it comes from the knowledge and culture
204 previously possessed or purposely acquired by the actor regarding the RFA. Relevant
205 Information shapes the way in which the actor sees or perceives the RFA. Different
206 levels of expertise in an area correspond to the amount of Relevant Information the
207 individual has at his/her disposal.

208 Expertise involves the acquisition, storage and use both of explicit knowledge
209 of the domain (facts, ideas, principles, etc.) and of tacit knowledge of the field
210 (Ericsson & Smith, 1991; Reilly, 2008; Sternberg, 1998). Expertise is a process of
211 continual, life-long development (Reilly, 2008). Experts are characterized by specific
212 thinking mechanisms, such as rapid performance of procedures, well organized, inter-
213 connected and easily accessible knowledge structures, as well as superior short and
214 long term memory and rich repertoires of strategies for problem-solving (Ericsson &
215 Smith, 1991; Glaser & Chi, 1988; Johnson, 1988). As proposed by Reilly (2008),
216 experts tend to work forward from given information to implement strategies for
217 finding unknowns.

218 However, past research demonstrated that exceptional creators are not merely
219 extreme experts in their domains (Simonton, 1996, 2000). Even if experts are superior
220 than novices in well-defined problems, it has been demonstrated that in domains of
221 much uncertainty experts fail to do better than novices (Johnson, 1988). Indeed,
222 it is a known fact that major creativity leaps often come from novel members or
223 from the periphery of a field (Cattani & Ferriani, 2008). On the other hand, without
224 any Relevant Information one would be missing the fundamental ingredients in the
225 creative process, which would be stifled at its start. This is the reason why small
226 children, who may be undoubtedly very creative, cannot however compose music
227 (with a few famous exceptions of unique giftedness) or invent the next technological
228 device.

229 Relevant Information in an RFA can include many categories of semantic enti-
230 ties: dominant ideas in a field, theories, best practices, constraints, requirements,
231 assumptions, historical and current facts, archives, future trends, past errors to be
232 avoided, information gathered by interviews, customer briefs, activities by competi-
233 tion, problems to be solved, desires to be satisfied, etcetera. Clearly, the RFA itself
234 is a very important element of Relevant Information, and the way it is specified can
235 lead towards certain areas of exploration and hide others. More generally, Relevant
236 Information includes all those semantic entities that constitute the way in which the
237 RFA is perceived and understood according to the cultural state-of-the-art. Suffi-
238 cient time and energy should be spent in the creative process to gather, select, and
239 structure Relevant Information, and several methods can be introduced in order to
240 make this step more efficient, such as for example the use of persona (Johansson &
241 Messeter, 2005) that represents an idealized version of a person/user with interest
242 in the RFA, with the purpose of better visualizing its needs and desires. Gathering
243 and structuring Relevant Information is a strictly domain-specific activity, because
244 it will change considerably if the RFA is, for example, composing a piece of music
245 or designing a new product.

246 However, Relevant Information is not the only ingredient of the creative process.
247 One of the most peculiar characteristics of the DA VINCI model, perhaps its most
248 important difference with respect to other models, is that it also contains a specific
249 component aimed at the introduction of *Inspiration* in the creative process, repre-
250 sented as the divergent modality of the Information state in Fig. 1. Inspiration should
251 be intended essentially as information that a-priori does not appear to be strictly rele-
252 vant to the RFA, or even purely irrelevant, paradoxical, absurd, incorrect. Therefore,
253 if one were to follow a strictly rational approach to the generation of ideas related to
254 the RFA, irrelevant information would have to be discarded, because it would act as
255 a distraction. On the other hand, the thinking style in a creative process will include
256 non-linearity, unusual associations, surprising interpretations, unexpected insights,
257 original alternatives. For these, the introduction of an Inspiration in the form of
258 irrelevant information (Agnoli et al., 2015, 2019) turns out to be crucial, in conjunc-
259 tion with personality characteristics: in fact, in the presence of sufficient Openness
260 (Agnoli et al., 2015; Corazza & Agnoli, 2020), these elements of Inspiration can be
261 processed along with the RFA and Relevant Information in order to create a state
262 of mind that the actor has never visited before. We identify this state of mind as the
263 Platform (see Fig. 1), which is the starting condition for Novelty generation to follow.
264 Previous literature has pointed out that creative achievement could be related to the
265 tendency to focus on irrelevant or discrepant facts (see for example the use of analogy
266 in Dunbar's explanation of scientific thinking; Dunbar, 1995). Alissa (1972) stated
267 that individuals who use a wider range of information, even if sometimes appar-
268 ently irrelevant, tend to produce more creative products. More recently, empirical
269 results demonstrated that the ability to focus attention also on apparently irrelevant
270 information, which is typical of open-minded individuals, leads to a higher creative
271 performance and creative achievement (Agnoli et al., 2015).

272 In essence, the role of the Inspiration component is to increase the probability that
273 the Platform will be out of the common knowledge domain, or out-of-the-box. This
274 greatly increases the potential for originality and effectiveness of the creative process:
275 in fact, if all of the process remains within the high walls of existing knowledge, the
276 probability to generate original ideas is in general quite low. There are many practical
277 ways for introducing Inspiration in the creative process, such as for example the
278 inventive principles of the TRIZ methodology (Altshuller, 1984), the SCAMPER
279 approach (Serrat, 2017), or the Generative Modifiers (or Divergent Modifiers) of
280 the Marconi Institute for Creativity (Corazza et al., 2015). It should be noted that
281 the Platform can remain active in the creative process for a long period of time,
282 even below the level of awareness of the actor, particularly in the case that the RFA
283 contains very difficult problems to be solved. We identify this period as Incubation
284 (see Fig. 1), and it is known that Incubation can lead to insight in creative problem
285 solving (Gilhooly, 2017), as famously noted by Henri Poincaré (Corazza & Lubart,
286 2019; Poincaré, 1914).

287 5 N: Novelty Generation

288 The first objective in the generation of ideas is to produce authentic novelty. This will
289 only lead to originality if an element of surprise can be identified; in other words,
290 novelty is a necessary but not sufficient condition to generate originality (Corazza,
291 2016). As discussed before, bringing the Platform out of the common knowledge
292 domain is essential to increase the potential for originality in the Novelty generation
293 state, by processing the a-priori irrelevant information brought in through Inspiration
294 (Agnoli et al., 2015, 2019). As well known from the creativity studies literature,
295 Novelty generation entails two fundamental and dual modalities: convergent vs.
296 divergent.

297 Convergent Novelty generation, or convergent thinking, consists in taking all
298 the available inputs (RFA, Relevant Information, Inspiration) and moving towards
299 a creative synthesis, a single output achieved by integration (Lubart et al., 2013).
300 In case the RFA contains a problem, convergent Novelty generation works to find
301 a solution, possibly a creative solution. In case the RFA is an area to be explored,
302 convergent Novelty generation works to find a combination of the available inputs
303 which is difficult to predict a-priori, and therefore novel and surprising. Achieving
304 originality typically entails a use of the available inputs which goes beyond simple
305 juxtaposition, but rather involves the emergence of a new reality which is more
306 than the sum of the inputs. Here, a clear parallel to the phenomenon of emergence
307 in complex systems can be seen (Sawyer, 1999). From a graphical point of view,
308 convergent Novelty generation can be seen as a cone that takes many inputs and
309 produces a single output. From a metaphorical perspective, it can be seen as climbing
310 a mountain peak, with many possible routes and only one ‘solution’.

311 Divergent Novelty generation, or divergent thinking, being dual to convergent
312 thinking, is aimed at producing a large number of alternative outcomes starting from
313 a common root, that we identify as the Platform (Guilford, 1967). Tasks designed
314 to measure divergent thinking performance are one of the most frequent approaches
315 in empirical creativity studies, sometimes leading to the mistake of confusing diver-
316 gent thinking for the creative process. Three parameters are typically associated to
317 divergent thinking performance: fluency, flexibility, and originality.

318 Fluency corresponds to quantity, that is the number of generated alternatives. It is a
319 peculiarity of the creative thinking process that quantity might lead to quality: in fact,
320 the level of originality is not uniform across the responses, because high originality is
321 rare and remote. Therefore, large fluency is crucial to have high potential originality.
322 Also, this implies that in the measurement of divergent thinking performance average
323 originality scores are not really significant: we are looking for those few outliers that
324 stem out for their originality.

325 Lack of flexibility refers to the fact that, even if one shows very large fluency,
326 all the alternatives could belong to a narrow semantic field. For example, if one is
327 looking at alternative uses for a brick (a classic question in the Alternative Uses Test,
328 Guilford, 1967), one could think of it as a tool to break a window, a door, someone’s
329 head, to crack a nut, a chestnut, etcetera. As can be seen from this simple example, all

330 these alternatives share strong similarity, as they belong to the same semantic cate-
331 gory of ‘breaking objects’. Flexibility is therefore the ability to visit many semantic
332 categories in the course of divergent Novelty generation. High flexibility is desirable,
333 as it also increases the potential for originality, which is the ultimate goal. The impor-
334 tance of flexibility has been confirmed by Acar and colleagues (Acar et al., 2019);
335 on the other hand, flexibility requires a higher investment of mental energy, due to
336 the increase of neural activity in several brain regions associated with the changes
337 of semantic category (Mastria et al., 2021).

338 Response originality, which comprises novelty, surprise, and authenticity
339 (Corazza, 2016), is perhaps the most important performance parameter in a diver-
340 gent thinking test, and one that is not simple to measure (Reiter-Palmon et al., 2019).
341 For this purpose, there exist both objective methods, based on statistical infrequency
342 (Wallach & Kogan, 1965), and subjective methods, such as the consensual assessment
343 technique (CAT, Amabile, 1982). Given the emphasis on fluency and large samples
344 in empirical studies, the problem of assessing originality can become cumbersome;
345 for this reason, recent efforts have been geared toward the automation of originality
346 scoring (Beaty & Johnson, 2021).

347 Finally, it should be noted that divergent thinking is an iterative process, in which
348 an already produced alternative must be inhibited in order for the next one to be
349 generated. Therefore, in a creative process exploiting divergence, inhibition is as
350 important as elicitation. The first response to be inhibited corresponds to the most
351 common response, the one typically associated with being correct and ‘intelligent’.
352 This inhibitory behavior clearly emerged in a recent neurophysiological study on
353 the temporal occurrence of originality in the brain activity (Agnoli et al., 2020),
354 where the first most obvious response is recovered from the memory system (with
355 an evident activity in the frontal brain regions), whereas starting from the second
356 response memory is inhibited in order to elicit an imaginative and integrative activity
357 (with an evident activity in the parietal and temporal regions). When Binet defined
358 intelligence, he referred to it as ‘the ability to inhibit the instinct response’ (Goddard,
359 1946). Here we can say that the creativity component of divergent Novelty generation
360 entails a second level of inhibition: not only the instinct, but also the intelligent
361 response must be inhibited in order to generate divergent alternatives.

362 At the output of convergent and divergent Novelty generation activities, a certain
363 number of Raw Ideas will be available (see Fig. 1). These will in general need
364 refinement, essentially because the more an idea is original, the more difficult it is
365 to see its value. This is the purpose of the next state of mind, Creativity estimation.

366 6 C: Creativity Estimation

367 In the dynamic creativity framework (DCF), based on the dynamic definition of
368 creativity (Corazza, 2016, 2020; Corazza & Lubart, 2020), it is crucial to avoid the
369 mistake of considering the assessment of ideas as static judgment, as categorization,
370 or as a simple scoring procedure. Even though all these activities are possible, and

371 perhaps necessary when performing empirical experiments in creativity studies, they
372 carry as a consequence the end of the creative thinking process. But this would be
373 very far from optimal: the extraction of all the potential effectiveness from Raw
374 Ideas is an active process, definitely non-obvious, which we identify as Creativity
375 estimation. The word estimation (Corazza, 2016) was purposely introduced to hint
376 at the fact that this mental state is affected by both objective and subjective elements,
377 and therefore an absolute judge for creative ideas does not exist. Although this might
378 be seen as a problem in empirical experiments, especially in view of the consensual
379 assessment technique mentioned before, it is actually a source of richness in terms of
380 the potential for originality and effectiveness of the creative process. Recent research
381 indeed demonstrated that taking into account the subjective emotional state of the
382 judges engaged in CAT scoring of an AUT task, it was possible to explain a source
383 of variability in the scoring of alternative ideas (Mastria et al., 2019).

384 It can be argued that a good part of the art of the creative process resides in the
385 subjective ability to see the value (artistic, scientific, practical) in ideas that everyone
386 else consider to have no value. Creativity estimation includes clearly the simple
387 assessment or scoring of ideas, but it can go well beyond that to lead to dynamic
388 refinement of the Raw Ideas (Corazza, 2020, 2016). It is very interesting to note that
389 also in this state, both convergent and divergent modalities are foreseen, but with
390 quite a different meaning.

391 Convergent Creativity estimation corresponds to the action of trying to extract the
392 maximum value from a Raw Idea while making reference to the selected RFA. In
393 other words, the objective is to see how the idea under consideration can be formulated
394 or evolved in order to enlarge its originality with respect to the state-of-the-art in the
395 RFA, as well as its effectiveness in terms of providing a solution, satisfying a need,
396 or in general providing aesthetic, scientific, or practical value within the boundaries
397 of the RFA. It can be stated that convergent Creativity estimation is the classic state
398 of mind one would expect at this stage, in particular for creative problem solving.

399 On the other hand, we also foresee the possibility of divergent Creativity estima-
400 tion, another peculiarity of the DA VINCI model. This corresponds to the case in
401 which the actor is allowed to extract the value from a Raw Idea above and beyond the
402 initial RFA, by imagining different environments, different fields of application or of
403 knowledge. The reason why this unconventional step holds very significant potential
404 is that the actual value of an idea might not reside in the initial focus, but perhaps in a
405 totally different and unforeseen area. In extreme cases, an outcome could be consid-
406 ered a total failure with respect to the initial RFA, and as such it should be discarded,
407 but it might turn out to be an extremely successful creative disruption from a different
408 perspective. An example is in order: as reported in (Glăveanu & Gillespie, 2014;
409 Karapapa, 2019) the invention of the post-it notes came out from a failed design of a
410 super-strong glue by Spencer Silver. The weak glue he generated by experimenting
411 on a new family of polymers remained in a state of creative inconclusiveness for
412 about ten years, also identified as ‘a solution looking for a problem’. Fortunately,
413 instead of completely throwing away the idea, a form of divergent Creativity estima-
414 tion was enacted by someone else, Arthur Fry, who devised a different use for this

415 adhesive to hold a bookmark in place, which led to one of the most successful prod-
416 ucts for meetings and teamwork (Karapapa, 2019). Whenever the creative process
417 is pushed towards the search for high potential originality, it is not unusual to see
418 that one has generated some ideas with properties that were not initially sought.
419 In other words, divergent Creativity estimation is the home of serendipity (Ross &
420 Vallée-Tourangeau, 2021).

421 When as an outcome of convergent and divergent Creativity estimation many
422 refined ideas are extracted, it will be necessary to proceed to form a short-list and a
423 selection. The top idea(s) might then be transformed into a prototype (see Fig. 1),
424 in order to test actual effectiveness, perhaps by involving external actors. This is the
425 purpose of the next state, Implementation.

426 7 I: Implementation

427 The final goal of the process for a given creativity episode is in general subject
428 to discussion: in the DA VINCI model, we consider that the process cannot be
429 successfully concluded unless some form of Implementation of at least one idea
430 occurs, leading to a process of innovation. Otherwise, the process would be reduced
431 to some form of mental exercise, which certainly has its own value, but with scarce
432 practical bearing. Carrying at least one idea to actual Implementation is therefore a
433 crucial part of the process, that can take on many forms. Implementation involves
434 the highest interaction with the outside world.

435 The most basic form of Implementation, but a very important one nonetheless, is
436 to prepare a presentation of the idea for an audience. Indeed, the higher the originality
437 of a creative idea, the stronger the resistance that the outside world will generally
438 offer against it. This is because the state-of-the-art exists for good reasons, and it
439 tends to grow incrementally instead of leaping towards creative disruptions. As a
440 consequence, if one wants to bring any creative idea to success, it is of fundamental
441 importance to be able to persuade an audience of the potential benefits and advan-
442 tages. For the same idea, a good vs. bad presentation to a critical audience might lead
443 to success vs. failure.

444 Presuming that a successful presentation of an idea has taken place, the Imple-
445 mentation state foresees actual realization under constraints. In particular, Implemen-
446 tation is constrained by two different kinds of factors: 1. intrinsic constraints, i.e.,
447 factors that are strictly related to the idea characteristics (e.g., time to bring the idea
448 to reality, money needed to realize the idea, knowledge to be acquired, etc.); and 2.
449 extrinsic constraints, i.e., factors that highly influence idea realization, mostly related
450 to the individual's social environment, such as cultural rules, dominant ideas, experts
451 opinions, etcetera. Moreover, a third factor plays a central role during the implemen-
452 tation state, determining the success of idea Implementation: individual personality.
453 Creative self-beliefs, self-identity, grit and persistence all play a fundamental role
454 in the process of bringing a creative idea to a successful realization (Karwowski &
455 Kaufman, 2017).

456 The ability to resist the frustration caused by critical remarks or rejection of one's
457 idea coming from an external audience is a fundamental characteristic of a creative
458 actor, largely influencing the potential for a successful Implementation and there-
459 fore for creative achievement. Trait emotional intelligence, including the attitude to
460 successfully manage negative emotions emerging from frustration, has been demon-
461 strated to be essential in order to persist in the creative process, possibly refining
462 previous ideas to implement more original solutions (Agnoli et al., 2018).

463 8 Comparisons Between DA VINCI and Other Models

464 First, let's compare the DA VINCI model with its five mental states to the general
465 three-stages model discussed in Corazza and Agnoli (2015), which foresaw: (a)
466 gathering and structuring of information elements; (b) ideation; and (c) verification
467 of the effects. The mapping appears to be quite simple: in the DA VINCI model,
468 stage (a) is represented by the Information state; stage (b) is represented by the
469 Novelty generation state; stage (c) is represented by a combination of the Creativity
470 estimation and Implementation states. Clearly, the DA VINCI model adds very
471 important elements, such as the DAV state and much more detailed descriptions
472 of the relevant components at the different stages, with the specificities of the
473 Inspiration and divergent Creativity estimation components.

474 Undoubtedly, one of the most famous models of the creative thinking process is the
475 one by Wallas (1926), which was actually inspired by the writings of Henri Poincaré
476 (1914, Corazza & Lubart, 2019). Wallas' model foresees four stages: Preparation,
477 Incubation, Illumination, and Verification. Whereas the difference between 'stages'
478 and 'mental states' should be underlined, it is at any rate possible to map these four
479 stages onto the states of the DA VINCI model. Preparation maps onto both DAV
480 and I states; Incubation occurs at the border between the I and N states (see Fig. 1);
481 Illumination is a subset of the N state (because not all ideas are generated by insight);
482 finally, the Verification stage is a part of the Implementation state. Clearly the DA
483 VINCI model emerges as an advancement with respect to Wallas' by introducing sub-
484 processes and components of the creative process, as suggested by Lubart (2001),
485 the concept of mental states as opposed to stages, the distinction between convergent
486 and divergent modalities, and the multifold creative styles that will be discussed in
487 the next section.

488 Mumford et al. (1991) introduced an eight stage model: (i) problem construc-
489 tion, (ii) information encoding, (iii) category search, (iv) specification of best fitting
490 categories, (v) combination and reorganization of category information to find new
491 solutions, (vi) idea evaluation, (vii) implementation of ideas, and (viii) monitoring.
492 In terms of the DA VINCI model, stage (i) is mapped onto DAV, stages (ii, iii, iv)
493 all refer to the I state, in its Relevant Information component (Inspiration was not
494 foreseen in Mumford et al., 1991), stage (v) corresponds to the N state, stage (vi)
495 to the C state, and finally stages (vii, viii) are mapped onto the Implementation state.
496 The DA VINCI model extends the reach of Mumford's model by allowing the RFA

497 to represent not a problem but an area to be explored, by introducing irrelevant infor-
498 mation as a key form of Inspiration, by introducing mental states in place of stages,
499 and by allowing divergent Creativity estimation to include serendipitous findings.

500 Finally, we consider the Geneplore model (Finke et al., 1992), which includes
501 two fundamental stages that are visited in an iterative fashion: Generation of pre-
502 inventive structures and Exploration of their effectiveness. The iteration is controlled
503 by the intrinsic or extrinsic Constraints of the problem or the area. This model
504 can also be mapped onto the DA VINCI model: Generation corresponds to the N
505 state, and Exploration is mapped onto the C state, between which it is possible to
506 iterate indefinitely. The Constraints in the Geneplore model can be mapped onto
507 the boundaries produced by the RFA as well as the Relevant Information of the
508 DA VINCI model. It is evident that the DA VINCI model represents a much more
509 complete vision of the creative process, with respect to what Geneplore can offer.

510 Other models for the creative thinking process (Lubart, 2001) could be considered
511 and mapped onto DA VINCI in a similar fashion. As a consequence, we argue that
512 the DA VINCI model is able to cover all of the previously introduced models for
513 the creative thinking process, but it also adds important elements that could not be
514 found in preceding proposals, at least explicitly: the Inspiration component inside
515 the Information state, and the divergent component in the Creativity estimation state.
516 Notably, these two additional elements are both characteristic and critical in the
517 creative thinking process.

518 9 Creative Styles in the DA VINCI Model

519 As noted by Botella and Lubart (2019), when the creative process is enacted in
520 domains as different as the arts, design, or science by different individuals, many
521 variations on the theme should be expected, and the possible sequence of thoughts
522 and actions that are followed can appear to be quite diversified and complex. In
523 short, many different creative styles are possible, and it might seem to be difficult for
524 a single creative process model to be representative of all possible styles. However, it
525 is possible to show that the DA VINCI model, with its structure, absence of arrows,
526 possibility to iterate, and use of dual components, contains a very large number of
527 different trajectories, corresponding to many different creative styles.

528 The two fundamental styles contained in the DA VINCI model correspond to
529 a sequential visit to the five mental states of DAV, I, N, C, I maintaining either
530 a convergent (left side) or a divergent (right side) style of thinking. We identify
531 these respectively as the ‘problem solver style’, and the ‘free explorer style’. If an
532 actor adopts a problem solver style (left side of the DA VINCI model): the RFA
533 will correspond to the problem to be solved, possibly ill-defined; in the I state, only
534 Relevant Information will be collected; in the N state, convergent Novelty generation
535 will be pursued to find possible solutions to the problem at hand; in the C state,
536 convergent Creativity estimation will be adopted to verify whether the solution is

537 potentially original and effective; finally, in the Implementation state the solution
538 will be brought to reality to instantiate innovation.

539 In contrast, if an actor adopts a free explorer style: the RFA will be a loosely
540 defined area to be explored, perhaps one that only a few others are considering; in
541 the I state, irrelevant information will be allowed to enter as a form of Inspiration
542 (along with the always present Relevant Information), leading to Platforms that might
543 be very far out from the common knowledge domain; in the N state, divergent Novelty
544 generation will be enacted to give multiple alternative interpretations of the Platform;
545 in the C state, divergent Creativity estimation will be allowed to see all the possible
546 implications of the alternative interpretations produced in the N states, within the
547 RFA but also beyond it, out of which one (or more) will be selected for actual
548 Implementation. It should be clear that the free explorer style is much more time-
549 and energy-consuming than the problem solver style, but its potential for originality
550 and effectiveness is also higher.

551 The richness of the DA VINCI model comes from the fact that it allows all possible
552 intermediate styles that can exist between the extremes of the problem solver and
553 free explorer styles. In fact, the creative actor can move from the left side to the right
554 side of the DA VINCI model, and vice versa, at any moment he or she wishes to
555 do so. Including the domain specificity of Relevant Information and the possibility
556 for multiple iterations, that can occur also between non-adjacent mental states (for
557 example, between the C state and the DAV state: as the actor is extracting value,
558 the RFA gets modified and Volition might be enhanced or depressed), it should be
559 evident that the variations on the theme within the DA VINCI model are abundant.

560 10 Conclusion

561 In this chapter, we have presented the DA VINCI model for the creative process, as
562 composed of five fundamental mental states: DAV (Drive: Attention and Volition), I
563 (Information), N (Novelty generation), C (creativity estimation), and I (Implemen-
564 tation). One of the most interesting questions raised by Lubart (2001) in his analysis
565 of the past, present, and future of models for the creative process was the following:
566 What makes a creative process *creative*? In other words, what are the distinctive
567 elements of a creative process with respect to any other form of cognitive process
568 that does not lead to outcomes that are potentially original and effective?

569 This question is relevant not only from the point of view of understanding the
570 creativity construct per se, but also for putting it in perspective with respect to the
571 intelligence construct, as proposed in Corazza and Lubart (2020, 2021) and Corazza
572 et al. (2021a, 2021b) by introducing the concept of the space–time continuum.
573 Finding a balance between intelligence and creativity is a crucial objective in all
574 human endeavors. We believe that the DA VINCI model can provide several useful
575 indications in trying to provide answers to the fundamental question raised by Lubart
576 (2001).

577 First, the creative process is characterized by a Drive, i.e., excess expenditure of
 578 energy and time with respect to the minimum that would be necessary to provide
 579 a correct (intelligent) response. Second, the creative process allows the entrance of
 580 inspiration, in the form of irrelevant information that would normally be discarded
 581 in an intelligent thinking process, the purpose of which is to create mental states that
 582 are rare and far from the state-of-the-art. The idea generation state is then launched
 583 from this platform. Third, the creative process is characterized by convergent and
 584 divergent novelty generation approaches, the purpose of which is to let ideas *emerge*
 585 in an a-priori unpredictable way, instead of being the result of a rational progress of
 586 thought. Fourth and final, the creative process is characterized by both convergent
 587 and divergent creativity estimation styles, that allow not only to be coherent with
 588 one's initial purposes, but also to discover and welcome serendipitous findings.

589 Several empirical results have been presented in this manuscript to support the
 590 introduction of different elements of the DA VINCI model, but there are clearly
 591 many open avenues for other empirical studies to confirm various elements of this
 592 model of the creative process, which represents one of the most complex constructs
 593 of the human mind. We hope that these avenues will be the subject of future research
 594 endeavors in the creativity studies community.

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