Zero-sum cycles in flexible non-triangular polyhedra

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Finding necessary conditions for the geometry of flexible polyhedra is a classical problem that has received attention also in recent times. For flexible polyhedra with triangular faces, we showed in a previous work the existence of cycles with a sign assignment for their edges, such that the signed sum of the edge lengths along the cycle is zero. In this work, we extend this result to flexible non-triangular polyhedra.

Introduction

This work is a generalization of the results in [GGLS21]. There, we considered thecase of flexible triangular polyhedra, namely polyhedra whose faces are triangles. We showed that, for each edge whose dihedral angle changes during the flex, there exists a cycle passing through this edge and a sign assignment for the cycle edges such that the signed sum of their lengths is zero. More precisely, we proved the following result.

Theorem 0.1. Consider a polyhedron with triangular faces that admits a flex, i. e., a continuous deformation preserving the shapes of all faces. Let $\{\mathbf{W}_1, \mathbf{W}_2\}$ be an edge, and let **s** and **n** be the two vertices adjacent to both \mathbf{W}_1 and \mathbf{W}_2 . If the dihedral angle between the faces $\{\mathbf{W}_1, \mathbf{W}_2, \mathbf{s}\}$ and $\{\mathbf{W}_1, \mathbf{W}_2, \mathbf{n}\}$ is not constant along the flex, then there is an induced cycle of edges containing $\{\mathbf{W}_1, \mathbf{W}_2\}$ but neither the vertex **s** nor **n** and there is a sign assignment such that the signed sum of lengths of the edges in the cycle is zero.

Here, we generalize this theorem to non-triangular flexible polyhedra. To do so, we first prove that in the triangular case the cycle can be chosen so that it only passes

through edges whose dihedral angle changes during the flex (Proposition 2.1). Once we have this, it is enough to triangulate the faces of the polyhedron to obtain the final result.

We refer to [GGLS21] for a description of the background of the problem, related results, and the corresponding bibliography. Moreover, we rely on [GGLS21] for several results that are used in this paper. Section 1 recalls all important aspects of [GGLS21] and can be skipped when the reader is familiar with that paper. The main result of this paper (Theorem 2.10) is proven in Section 2.

1 Preliminaries

We recall the main definitions and results from [GGLS21] about flexes of polyhedra.

Definition 1.1. Let G = (V, E) be the 1-skeleton of a triangular polyhedron.

- ⊲ A realization of the polyhedron is a map ρ : V $\rightarrow R^3$ with $\rho(u) /= \rho(v)$ for every $\{u, v\} \in E$.
- ⊲ We define *edge lengths* induced by a given a realization ρ by $\lambda_{\{i,j\}} := \|\rho(i) \rho(j)\| \in \mathbb{R}_{>0}$ for $\{i, j\} \in \mathbb{E}$.
- \triangleleft We say that two realizations ρ_1 and ρ_2 are *congruent* if there exists an isometry σ of R^3 such that $\rho_1 = \sigma \circ \rho_2$.
- ⊲ A *flex* of a realization ρ is a continuous map $f : [0, 1) \rightarrow (R^3)^V$ such that
 - f(0) is the given realization ρ ;
 - for any $t\in~[0,1),$ the realizations f(t) and f(0) induce the same edge lengths;
 - for any two distinct $t_1,t_2 \in [0,1),$ the realizations $f(t_1)$ and $f(t_2)$ are not congruent.

To understand the proofs in the next section, we need to recall the setting from [GGLS21]. We briefly introduce the notions and the results, so we refer to [GGLS21] for a more precise account. From now on, we fix G = (V, E) to be the 1-skeleton of a triangular polyhedron. We suppose that there is a realization ρ_0 that admits a flex, and that the dihedral angle at the edge { $\mathbf{w}_1, \mathbf{w}_2$ } changes during the flex. The two neighbors of \mathbf{w}_1 and \mathbf{w}_2 are the vertices **s** and **n**.

We encode realizations of the polyhedron as follows. We consider the three-dimensional variety $M\, \subset\, P^4$ defined by the equation

$$x^2 + y^2 + 2^2 - rh = 0$$
.

The variety M contains a copy of \mathbb{R}^3 , namely the image of $(x, y, \mathbb{Z}) \rightarrow (x : y : \mathbb{Z} : x^2 + y^2 + \mathbb{Z}^2 : 1)$. Hence, a realization of the polyhedron is given by an element in \mathbb{M}^V . Since M is an algebraic variety, we can consider its extension to the complex numbers. From now on, we also take into account complex realizations of the polyhedron.

Given a vector of edge lengths λ , we consider the complex extension of the variety of real realizations inducing λ . Namely, we define W to be the set of maps $\rho: V \to C^3$ such that, if we write $\rho(v_i) = (x_i, y_i, \mathbb{Z}_i)$,

$$(x_1 - x_2)^2 + (y_1 - y_2)^2 + (\square_1 - \square_2)^2 = \lambda_{\{v_1, v_2\}}^2$$

for all $\{v_1, v_2\} \in E$. Whenever a realization belongs to W then all its congruent ones also belong to W. To select representatives for each congruence class in W, we pin the triangle $\mathbf{w}_1, \mathbf{w}_2, \mathbf{n}$ to the initial realization ρ_0 and so we define

$$\label{eq:2} Z := \ \rho \in W \ | \ \rho(\textbf{w}_1) = \rho_0(\textbf{w}_1), \ \rho(\textbf{w}_2) = \rho_0(\textbf{w}_2), \ \rho(\textbf{n}) = \rho_0(\textbf{n}) \ .$$

Then no two elements in this new set Z differ by a direct isometry. We define Y to be the image of Z under the embedding $(C^3)^V \to M^V$. The existence of a flex implies that Y has positive dimension. Since we are assuming that the dihedral angle at the edge $\{\mathbf{w}_1, \mathbf{w}_2\}$ changes during the flex, the projection Y_s of Y onto the **s**-coordinate of M^V is positive-dimensional. Therefore, Y_s intersects the hyperplane $\{h = 0\} \subset P^4$ non-trivially. The main argument in [GGLS21] relies on fixing an element $\rho_{\infty} \in Y$ such that $\rho_{\infty}(\mathbf{s}) \in Y_s \cap \{h = 0\}$. Here, having extended the setting to the complex numbers proves to be crucial.

We define M_{∞} to be $M \cap \{h = 0\}$. Then $\rho_{\infty}(s)$ belongs to M_{∞} . Using ρ_{∞} one defines a coloring of the vertices of G (see [GGLS21, Definition 2.6]), where a vertex $v \in V$ is colored:

- \lhd red if $\rho_{\infty}(v) \in M \setminus M_{\infty}$;
- \triangleleft gold otherwise.

By construction, the vertices \mathbf{w}_1 , \mathbf{w}_2 , and \mathbf{n} are red, while \mathbf{s} is blue. From the coloring, we define a *blue walk* and a *red walk* as follows. We define an equivalence relation on edges with one blue vertex and one red vertex: two edges are in relation if they belong to the same triangle (and then we take the reflexive-transitive closure). Now, the red vertices, respectively the blue vertices, in the equivalence class of $\{\mathbf{w}_1, \mathbf{s}\}$ create a red walk, respectively blue walk. These walks respectively contain $\{\mathbf{w}_1, \mathbf{w}_2\}$ and \mathbf{s} . Within the red walks, it is possible to find a cycle containing $\{\mathbf{w}_1, \mathbf{w}_2\}$ (see [GGLS21, Lemma 2.10]).

The importance of these two walks derives from the fact that we can prove ([GGLS21, Lemma 2.9]) that for the vertices v in the red walk we have $\rho_{\infty}(v) \in \operatorname{Fin}_{\rho_{\infty}(s)}$, where for $p = (x_{\rho} : y_{\rho} : \mathbb{Z}_{\rho} : r_{\rho} : h_{\rho}) \in P^4$ we define

$$\mathsf{Fin}_{\rho} := \{ (\mathbf{x}:\mathbf{y}:\mathbb{Z}:\mathbf{r}:\mathbf{h}) \in \mathsf{M} \mid \mathbf{h} \neq \mathsf{0} \text{ and } \mathbf{x} \mathbf{x}_{\rho} + \mathbf{y} \mathbf{y}_{\rho} + \mathbb{Z} \mid \mathbb{Z}_{\rho} - \frac{1}{2} (\mathbf{r} \mathbf{h}_{\rho} + \mathbf{h} \mathbf{r}_{\rho}) = \mathsf{0} \}.$$

The set $\operatorname{Fin}_{\rho}$ can be also thought as the intersection of $M \setminus M_{\infty}$ with the embedded tangent space of M at p. The remarkable property of $\operatorname{Fin}_{\rho}$, when $p \in M_{\infty}$, is that for its points, the analogue of the Euclidean distance behaves like a distance on a one-dimensional space (see [GGLS21, Lemma 1.11]); from the proof of [GGLS21, Theorem 2.2], we get the following result, which ensures that the zero-sum property holds for cycles of red vertices.

Lemma 1.2. Let $D = (v_1, \ldots, v_k, v_{k+1} = v_1)$ be a cycle such that $\rho_{\infty}(v_j) \in \operatorname{Fin}_{\rho_{\infty}(s)}$ for all $j \in \{1, \ldots, k\}$. There exist $\eta_j \in \{-1, 1\}$ for $j \in \{1, \ldots, k\}$ such that

$$\sum_{\substack{j=1}}^{\mathbf{\Sigma}} \eta_j \lambda_{\{v_j, v_{j+1}\}} = 0,$$

where $\lambda_{\{v_{i}, v_{i+1}\}}$ are the edge lengths induced by the realizations in the flex.

2 Cycles in polyhedra

We start strengthening the main result in [GGLS21], by showing that if the dihedral angle at one edge changes during a flex, then it is possible to find a cycle that contains this edge but avoids all edges whose dihedral angles stay constant (Proposition 2.1). To obtain this, we have to sacrifice the property of the cycle to be induced. In this way, we can extend our result to polyhedra that are not necessarily triangular (Theorem 2.10).

Proposition 2.1. Let G be the 1-skeleton of a triangular polyhedron with a realization that admits a flex. Suppose that all triangles in the realization are non-degenerate, *i.e.*, the vertices of each triangle are non-collinear. Then, for every edge of G whose dihedral angle changes along the flex, there is a cycle in G containing that edge and a sign assignment such that the signed sum of the lengths of the edges in the cycle is zero. The cycle can be chosen so that it consists only of edges whose dihedral angle changes along the flex.

To prove Proposition 2.1, we need to have better control on the constructions we made in [GGLS21]. In doing this, we employ the following notation, which is compatible with the one in [GGLS21, Section 2] and in Section 1:

- \triangleleft let ρ_0 be the realization of G = (V, E) that admits a flex f;
- ⊲ let E_{const} be the set of all edges in E whose dihedral angle does not change along the flex f;
- \triangleleft let { \mathbf{w}_1 , \mathbf{w}_2 } be an edge for which the dihedral angle is not constant, and let **s** and **n** be the two opposite vertices of the two triangles containing { \mathbf{w}_1 , \mathbf{w}_2 }.

The idea is that if an edge belongs to E_{const} , then we can locally modify the triangulation of the polyhedron, without changing the set of realizations, so that the edge is avoided by the cycle we construct. To do this, we introduce the operation of a *flip*.

Definition 2.2. Let $H = (V_H, E_H)$ be the 1-skeleton of a triangular polyhedron. Let $\{v_1, v_2\} \in E_H$ and let $u_1, u_2 \in V_H$ be the two opposite vertices of the two triangles in H containing the edge $\{v_1, v_2\}$ as in Figure 1. The *flip of* H *on the edge* $\{v_1, v_2\}$ is the 1-skeleton obtained from H by replacing the edge $\{v_1, v_2\}$ by $\{u_1, u_2\}$, and leaving everything else unchanged. Once a sequence of edges is fixed, we speak of a flip on the sequence by iteratively applying flips. If the sequence is empty, then the flip is the graph itself.

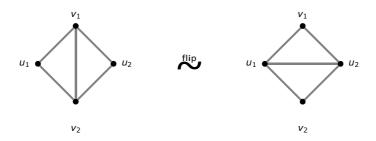


Figure 1: The flip on the edge $\{v_1, v_2\}$.

To illustrate the idea of the proof, suppose that $\{v_1, v_2\} \in E_{const}$ and let G' be the flip of G on the edge $\{v_1, v_2\}$. The realization ρ_0 is a realization of G' as well. Moreover, the assumption that the dihedral angle at $\{v_1, v_2\}$ is constant along the flex guarantees that the flex f of (G, ρ_0) is also a flex of (G', ρ_0) . In particular, we can obtain the same element ρ_{∞} , as in Section 1, for both G and G' and hence the same coloring of vertices. Clearly, the edge sets of G and G' are different and so are the triangles with red and blue vertices. Hence, we may get different red and blue walks. If the edge $\{v_1, v_2\}$ is in the red walk in G, and hence possibly in the zero-sum cycle, we can flip to G', where v_1 and v_2 are non-adjacent, and obtain a red walk avoiding $\{v_1, v_2\}$. We start to give more details following the blueprint we have just presented. The first aim is to construct an element ρ_{∞} valid for all flips on edges in E_{const} (Lemma 2.5).

In order to do that, we augment the graph G = (V, E) with edges between pairs of vertices whose distance is fixed in the flex.

Definition 2.3. Let G_{aug} be the graph with the vertex set V and edge set

 $E_{\text{aug}} \coloneqq E \ \cup \ \left\{ u,v \right\} \ | \ u,v \in V, \ u \quad v \text{ and } \|\rho(u)-\rho(v)\| \text{ is constant along } f \ .$

}

Lemma 2.4. Let $G^{'}$ be the flip of G on any sequence of edges in $E_{\text{const.}}$. Then

- (a) ρ_0 is a realization of G['];
- (b) f is a flex of (G', ρ_0) ;
- (c) the dihedral angle at any edge in E_{const} that is an edge in $G^{'}$ is constant along f;
- (d) G' is a subgraph of G_{aug} .

Proof. The fact that ρ_0 is a realization of G' follows immediately from the fact that the vertices of G and G' are the same. We now show the last three properties by induction on the number of flips. The base case of the induction (no flips) is trivial. Suppose we performed flips on $e_1, \ldots, e_k \in E_{const}$ (in this order), obtaining G'_k and now we perform a further flip on $e_{k+1} = \{v_1, v_2\} \in E_{const}$, obtaining G'_{k+1} . In particular, this

means that e_{k+1} is an edge in G'_k Let u_1, u_2 be the two opposite vertices in the two triangles in G'_k containing e_{k+1} . Notice that to show that f is a flex of (G'_{k+1}, ρ_0) ,

we only have to prove that all realizations of f determine the same lengths of the edge { u_1, u_2 }. By inductive assumption, we know that f is a flex of (G', ρ_0), and that the dihedral angle at e_{k+1} is constant along f. Then, we know that the distance between u_1 and u_2 is constant along f. Therefore, f is a flex of G'_{k+1} and G'_{k+1} is a subgraph of G_{aug} . We are left to show that all edges in E_{const} that are also edges of G'_{k+1} have constant dihedral angle along f. The induction hypothesis covers all

those edges that are not in the 4-cycle (v_1 , u_1 , v_2 , u_2 , v_1). Without loss of generality, it is enough to prove that the property holds for { v_1 , u_2 }. Let v be the vertex such that v and v_2 are the opposite vertices of the two triangles in G'_k containing { v_1 , u_2 }. Since

the dihedral angles at $\{v_1, v_2\}$ and $\{v_1, u_2\}$ are constant along the flex f of (G'_k, ρ_0) , the distance between u_1 and v is constant along the flex f. Hence, the dihedral angle between the faces $\{v_1, u_2, u_1\}$ and $\{v_1, u_2, v\}$ in G'_{k+1} is constant along the flex f

of
$$(G'_{k+1}, \rho_0)$$
.

Lemma 2.5. There exists an element $\rho_{\infty} \in M^{V}$ such that for any flip $G^{'}$ of G on any

sequence of edges in E_{const} , we have that $\rho_{\infty} \in Y'$ and $\rho_{\infty}(s) \in M_{\infty}$, where Y' is the subvariety of M^V obtained from G' and ρ_0 as in Section 1.

Proof. Let W^{aug} be the set of realizations $\rho: V \rightarrow C^3$ such that

$$(x_u - x_v)^2 + (y_u - y_v)^2 + (\mathbb{P}_u - \mathbb{P}_v)^2 = \|\rho_0(u) - \rho_0(v)\|^2$$
 for all $\{u, v\}$ in E_{aug} ,

where (x_u, y_u, \square_u) are the coordinates of the point $\rho(u)$, and similarly for $\rho(v)$. Pick any flip G['] of G on any sequence of edges in E_{const} . Let W['] be the algebraic set constructed starting from G['] as in Section 1. From Lemma 2.4(d), we know that W^{aug} \subset W[']. Starting from W^{aug}, we can construct Z^{aug} \subset Z['] and Y^{aug} \subset Y['] as in Section 1 since ρ_0 is a realization of G_{aug}. Because of the definition of W^{aug} and the existence of the flex f, the projection Y^{aug} of Y^{aug} on the copy of M indexed by the vertex **s** is still positive-dimensional; in fact, the extra constraints of W^{aug} are introduced only for pairs of vertices whose distance is constant during the flex.

Therefore, we can pick an element ρ_{∞} in Y^{aug} so that $\rho_{\infty}(\mathbf{s}) \in M_{\infty}$. This element ρ_{∞} satisfies then the requirements of the statement.

We use ρ_{∞} from Lemma 2.5 to color the vertices of G according to Section 1. For any flip G['] of G on a sequence of edges in E_{const} , we get the blue and red walk in G[']via ρ_{∞} .

Definition 2.6. A red vertex v of G is called *red-achievable* if there exists a flip G' of G on a sequence of edges in E_{const} such that v is in the red walk in G' via ρ_{∞} .

By [GGLS21, Lemma 2.9], we get the following statement.

Corollary 2.7. If v is a red-achievable vertex of G, then $\rho_{\infty}(v) \in Fin_{\rho_{\infty}(s)}$.

The last tool we need to prove Proposition 2.1 is a result that ensures that performing flips allows us to get rid of edges with constant dihedral angles in the zero-sum cycle we are looking for.

Lemma 2.8. Let $\{v_1, v_2\}$ be an edge in $E_{const.}$ Let u_1, u_2 be the two opposite vertices of the two triangles in G containing the edge $\{v_1, v_2\}$. If v_1 and v_2 are red-achievable, then neither u_1 nor u_2 is red-achievable.

Proof. Suppose that u_i is red-achievable. By Corollary 2.7 and Lemma 1.2, the triangle $\{v_1, v_2, u_i\}$ in G would be degenerate in the realizations of the flex f, which contradicts the assumption of Proposition 2.1.

Proposition 2.1. Our goal is to find a cycle of G containing $\{\mathbf{W}_1, \mathbf{W}_2\}$ such that noneof its edges is in E_{const} and for each of its vertices v we have $\rho_{\infty}(v) \in Fin_{\rho_{\infty}(s)}$. Then the statement follows from Lemma 1.2. We do so using flips.

Let $E_{\text{const}}^{\,\text{red}}$ be the set of all edges $\{v_1,v_2\}\in E_{\text{const}}$ such that v_1 and v_2 are red-

achievable. By Lemma 2.8, every edge $\{v_1, v_2\} \in E_{const}^{red}$ is a diagonal of a 4-cycle in G

consisting of edges avoiding E_{const}^{red} . This implies that there is a unique graph G['] that is the flip of G on any permutation of the edges in E_{const}^{red} . None of the edges in E_{const}^{red} is an edge of G['] and no edge introduced by the flips has vertices that are both redachievable by Lemma 2.8. Let R['] be the red walk in G['] via ρ_{∞} constructed as in Section 1. Since all vertices of R['] are red-achievable by definition, no edge in E_{const} is in R['] and all edges of R['] are edges of G. Following the argument in [GGLS21, Lemma 2.10], there is a cycle in G['] containing { \mathbf{w}_1 , \mathbf{w}_2 } such that all its edges are in R['], i.e., it is a cycle in G. By Lemma 1.2, it is a zero-sum cycle.

This stronger result allows us to extend the result of [GGLS21] to polyhedra whose faces are not necessarily triangles. The notion of realization extends immediatelyto this new setting. The notion of flex, instead, requires a little bit of care: whatwe are interested in here are, in fact, flexes for which the faces *do not change their shapes*. Notice that, by a well-know theorem of Cauchy, with this notion of flex convex realizations of polyhedra do not admit flexes.

Definition 2.9. Let H = (V, E, F) be the 2-skeleton of a polyhedron, namely, (V, E) is a graph and F is a set faces, i.e., cycles in (V, E), such that every edge in E is in exactly two faces. A *realization* of H is a map $\rho: V \rightarrow R^3$ such that $\rho(u) /= \rho(v)$ for every $\{u, v\} \in E$. A *flex* of a realization ρ of H is a continuous map $f: [0, 1) \rightarrow (R^3)^V$ such that

- \triangleleft f(0) is the given realization ρ ;
- \lhd for any $t \in [0,1)$ and for every face $g \in F,$ the images of g under the realizations f(0) and f(t) are congruent;
- \lhd for any two distinct $t_1,t_2 \in$ [0, 1), the realizations $f(t_1)$ and $f(t_2)$ are not congruent.

Theorem 2.10. Let H be the 2-skeleton of a polyhedron with a realization that admits a flex. Suppose that there is a triangulation of the faces of the polyhedron such that the vertex set of the triangulation is the same as the one of H and all triangles in the realization are non-degenerate, i.e., the vertices of each triangle are non-collinear. Then, for every edge of H whose two incident faces change their relative position along the flex, there is a cycle in H containing that edge and a sign assignment such that the signed sum of the lengths of the edges in the cycle is zero. Moreover, the two incident faces of every edge in the cycle change their relative position along the flex. *Proof.* Let G be the 1-skeleton of the triangulation of the polyhedron in the statement. Let f be the flex of H. By construction, f is also a flex of G. Now, G and f satisfy the assumptions of Proposition 2.1. Moreover, by construction all edges in G that are diagonals of faces of H have constant dihedral angle along the flex. Thus, the cycle provided by Proposition 2.1 consists only of edges in H and it can be chosen so that the dihedral angles of all its edges change along the flex. Hence, this cycle fulfills the requirements of the statement.

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