

1 **Cardiac Fluid Dynamics Anticipates Heart Adaptation.**

2 Gianni Pedrizzetti<sup>1</sup>, Alfonso R. Martiniello<sup>2</sup>, Valter Bianchi<sup>2</sup>, Antonio D’Onofrio<sup>2</sup>, Pio Caso<sup>2</sup>, Giovanni  
3 Tonti<sup>3</sup>

4 <sup>1</sup>Department of Engineering and Architecture, University of Trieste, Italy.

5 <sup>2</sup>Department of Cardiology, Monaldi Hospital, AORN Ospedali dei Colli, Napoli, Italy.

6 <sup>3</sup>Cardiology Division, “G. d’Annunzio” University, Chieti, Italy

7 **Word Count:** 1930 (Text) + 178 (Abstract) + 20 References + 3 Figures.

8 **Corresponding Author:**

9 Gianni Pedrizzetti

10 Dept. Engineering and Architecture, University of Trieste

11 P.le Europa 1. 34127 Trieste, Italy

12 Telephone: +39-348-222-3223

13 Email: giannip@dica.units.it

14 **ABSTRACT**

15 Hemodynamic forces represent an epigenetic factor during heart development and are supposed to  
16 influence the pathology of the grown heart. Cardiac blood motion is characterized by a vortical  
17 dynamics, and it is common belief that the cardiac vortex has a role in disease progressions or  
18 regression. Here we provide a preliminary demonstration about the relevance of maladaptive intra-  
19 cardiac vortex dynamics in the geometrical adaptation of the dysfunctional heart. We employed an *in*  
20 *vivo* model of patients who present a stable normal heart function in virtue of the cardiac  
21 resynchronization therapy (CRT, bi-ventricular pace-maker) and who are expected to develop left  
22 ventricle remodeling, if pace-maker was switched off. Intra-ventricular fluid dynamics is analyzed by  
23 echocardiography (Echo-PIV). Under normal conditions, the flow presents a longitudinal alignment of  
24 the intraventricular hemodynamic forces. When pacing is temporarily switched off, flow forces develop  
25 a misalignment hammering onto lateral walls, despite no other electro-mechanical change is noticed.  
26 Hemodynamic forces result to be the first event that evokes a physiological activity anticipating cardiac  
27 changes and could help in the prediction of longer term heart adaptations.

## 28 INTRODUCTION

29 Mechanical forces have an active biological role during morphogenesis. They stimulate cellular growth  
30 and multiplication at the microscopic level which eventually reflect on the macroscopic shaping of an  
31 organ as a whole (Farge, 2011; Freund et al., 2012). The importance of hemodynamic forces for heart  
32 morphogenesis was first demonstrated in the zebrafish, in which it was experimentally shown that  
33 intracardiac stresses imparted by the blood flow lead the proper heart development (Hove et al., 2003).  
34 Biological fluid forces are known to play a central role in the growth of the embryonic heart and  
35 vasculature (Reckova et al., 2003; Santhanakrishnan and Miller, 2011) so that the phenotype of  
36 congenital heart abnormalities was suggested to depend from the characteristics of blood flow forces  
37 acting on the developing tissues (Gruber and Epstein, 2004). Along the same line, it is suggested that  
38 hemodynamic forces should participate to pathological developments and therapeutic outcomes in the  
39 grown heart, although evidences are still lacking (Pasipoularides, 2012; Pedrizzetti et al., 2014).

40 The clinical syndrome of heart failure (HF) is the principal social threatening cardiac progressive  
41 dysfunction. It presents either as a primary pathology or because of a majority of primary diseases. The  
42 salient feature of HF is the development of left ventricle (LV) remodeling: a geometric modification  
43 and dilatation of the ventricular chamber that progressively reduce its muscular pumping ability.  
44 Despite modern treatments, hospitalization and death rate remain high, with nearly 50% people  
45 diagnosed with HF dying within 5 years (Levy et al., 2002).

46 The physiological causes that lead to LV remodeling are mainly ascribed to an increase of stresses on  
47 the myocardial fibres (around a scar area, because of higher systemic pressure etc.), which stimulate the  
48 growth and multiplication of cells and give rise to an increase of muscular thickness and then  
49 dilatation. Current models of cardiac remodeling, however, are not consistently predictive and remain  
50 rather primitive (Opie et al., 2006; Sengupta and Narula, 2008); although a variety of pathophysiologic

51 mechanisms have been suggested, there is paucity of methods capable of effectively forecasting the  
52 future risk of cardiac remodeling (Wu et al., 2008; Nijveldt et al., 2009). All existing models, in  
53 particular, do not account of the presence of hemodynamic forces that can trigger the sequence of  
54 events leading to progressive LV remodeling and eventually to HF (Pasipoularides, 2012; Pedrizzetti et  
55 al., 2014).

56 The distinguishing feature of cardiac blood flow is the presence of vortices. The sinuous flow paths  
57 around the vortex in the human heart were elegantly described by magnetic resonance visualization  
58 (Kilner et al., 2000). It was suggested that the asymmetric vortical arrangement was the flow functional  
59 counterpart of the looped heart structure that enhances the conservation of momentum from the entry  
60 jet to the ejected flow. It ensures an energetic balance of the longitudinal function during the filling-  
61 emptying mechanism with left ventricle asymmetry and vortex formation (Pedrizzetti and  
62 Domenichini, 2005). In recent years, numerous results about vortex dynamics in the human LV were  
63 produced using different techniques, from numerical simulations to magnetic resonance, to  
64 echocardiography (Markl et al., 2011; Sengupta et al., 2012). All these studies evidenced the presence  
65 of an intimate relationship between cardiac function and quality of intra-ventricular fluid dynamics.

66 A firm evidence of the relevance of LV fluid dynamics to the development and progression of a cardiac  
67 pathology, however, is still lacking. This is partly imputable to the difficulty of building comprehensive  
68 mathematical or experimental models capable of accounting of the complex transduction mechanism,  
69 where the large scale flow forces are sensed at the microscopic level, turn into cellular multiplication,  
70 and lead to alterations at the organ level (Pasipoularides, 2012). Here, we present an initial evidence  
71 that the quality of cardiac fluid mechanics could be a participating factor of heart adaptation  
72 mechanisms, namely adverse or reverse remodeling. These results may provide an interpretative  
73 ground for future clinical studies.

74 **METHODS**

75 We consider an *in vivo* model made of formerly HF patients with dilated LV that were subjected to  
76 cardiac resynchronization therapy (CRT, implant of bi-ventricular pace-maker) and that returned (after  
77 at least six months of therapy) to a stable condition with a LV of normal dimension and functional  
78 parameters. These subjects represent a special prototype model with a stably normal cardiac function  
79 with the support of the CRT. The same subjects, whether CRT is switched off, are expected to turn into  
80 an unstable state undergoing heart adaption and, within a few weeks, falling back into LV remodeling.  
81 The realization of both stable and unstable states on a same subject, at few seconds of distance, permits  
82 a deterministic one-to-one comparison.

83 These subjects were selected from a population of 30 (age  $58 \pm 11$  years old) who underwent CRT device  
84 implant according to the in use guidelines for a non-ischemic and non-valvular dilated cardiomyopathy.  
85 Exclusion criteria were atrial fibrillation, severe renal insufficiency, acute coronary syndrome, cardiac  
86 insufficiency of advanced grade (NYHA IV), severe either pulmonary hypertension or obstructive  
87 pulmonary disease, uncontrolled systemic hypertension. At follow-up, all patients were in sinus rhythm  
88 with spontaneous atrio-ventricular conduction. In this population we identified a sub-group of 6  
89 patients who presented a high response to the therapy (super-responders). This sub-group was  
90 characterized by a pre-CRT dilated LV with large volumes (end-systolic volume  $>160$  ml, end-diastolic  
91 volume  $>200$  ml) and reduced ejection fraction (EF  $<30\%$ ). The high response to the therapy, was  
92 defined by a reduction of more than 40% in both LV volumes and an EF above 40%. In the same  
93 population, as counter-examples, we also identified 2 subjects presenting the opposite outcome and did  
94 not get any benefit from the CRT (non-responders) whose LV volumes were not significantly reduced  
95 ( $<10\%$ ) after six months of therapy. The selection of extreme sub-groups (super-responders and non-  
96 responders) was driven by the objective of developing a deterministic biomechanical interpretation at  
97 an individual level and avoiding the statistical analysis typical for clinical results that are not the scope

98 of the present study. All subjects underwent echocardiographic examination. Cardiac mechanical  
 99 contraction was evaluated by the global longitudinal strain (GLS) while its synchronicity was evaluated  
 100 by the standard deviation of time to peak of transversal strain (SD-TTS) (Knappe et al., 2011) assessed  
 101 in bi-plane recordings (2- and 4-chambers apical views). Intra-cardiac fluid dynamics was measured  
 102 using an echographic adaptation of the optical particle image velocimetry, widely validated in clinical  
 103 applications (Echo-PIV) (Sengupta et al., 2012), on a longitudinal plane containing both the inlet and  
 104 outlet valves (3-chambers view). Echo-PIV permits a good temporal resolution but presents some  
 105 limitations in the quality of spatial distribution (noise) and in the detection of high velocities  
 106 (Kheradvar et al., 2010). For these reasons velocity information was here employed in averaged and  
 107 normalized terms only, that are less affected by local and instantaneous inaccuracies.

108 The dynamic interchange between flow and tissue was summarized by the rate of fluid momentum

$$109 \quad \mathbf{m}(\mathbf{x}, t) = \rho \left( \frac{\partial \mathbf{v}}{\partial t} + \mathbf{v} \cdot \nabla \mathbf{v} \right); \quad (1)$$

110 where  $\rho=1050 \text{ Kg/m}^3$  is the blood density and  $\mathbf{v}(\mathbf{x},t)$  is the 2D velocity vector field. The field  $\mathbf{m}(\mathbf{x},t)$   
 111 corresponds, by Navier-Stokes balance, to the sum of the pressure gradient and the viscous terms,  
 112 where the latter is mostly negligible with the exception of the region next to the walls.

113 A measure of the global hemodynamic force (per unit depth) exerted by the fluid on the surrounding  
 114 tissue is obtained after spatial integration of (1)

$$115 \quad \mathbf{M}(t) = \iint_{LV} \mathbf{m} dA . \quad (2)$$

116 taken over the image area contained inside the LV chamber. Directional distribution of hemodynamic  
 117 forces during the entire heart cycle is summarized in terms of an intensity-weighted polar histogram  
 118 (like that used for wind description). For this, the circumference is divided in 12 sectors, centered in

119  $\theta_i=(2i-1)\pi/12$ ,  $i=1..12$ , and the force moduli during all the time instants in the heartbeat are summed up  
120 when the angle falls in a corresponding sector. The resulting values are normalized to unit sum to  
121 provide an intensity-weighted angular frequency distribution.

## 122 **RESULTS AND DISCUSSION**

123 The intraventricular fluid dynamics under stable conditions (pace-maker ON), estimated from Echo-  
124 PIV (one example is shown in Figure 1), agrees with what was previously described in literature: a  
125 circulatory pattern forming during the LV filling (diastole) that accompanies blood from the inlet  
126 toward the outflow where it converges like in a funnel during the ejection (systole) (Kilner et al., 2000;  
127 Pedrizzetti and Domenichini, 2014; Markl et al., 2011; Sengupta et al., 2012). When the pace-maker  
128 therapy is discontinued (pace-maker OFF), the LV mechanical function should manifest early signs of  
129 mechanical dysfunction driving toward the spiral of events leading to remodeling and HF. However,  
130 the overall fluid dynamics does not evidence qualitative alterations. Some minor differences are shown,  
131 for example, in Figure 1 where the entering jet is slightly displaced toward the side wall, or the  
132 converging motion during ejection presents sharper bends. Thesesmall changes, however, globally  
133 reflect into large deviations of intraventricular momentum away from the normal, longitudinal base-  
134 apex alignment.

135 The directional deviation on intraventricular forces becomes evident in Figure 2, where the polar  
136 histogram of  $\mathbf{M}(t)$  during the entire heartbeat is reported for 4 subjects. In the stable configuration  
137 (pace-maker ON, left column in Figure 2) the momentum is well aligned along the base-apex LV axis,  
138 in compliance with the dynamics of the filling-emptying process. Few seconds after the pacing is  
139 switched off (right column), the LV enters into a physiologically unstable state whose dynamics  
140 anticipates heart adaptation. In this condition, flow loses its natural alignment, intraventricular forces

141 develops transversal components, despite cardiac contractility and synchrony parameters (GLS, SD-  
142 TTS) do not evidence noticeable (or measurable) changes.

143 As a counter-example we performed the same analysis on the non-responders subjects. Differently  
144 from before, as summarized in Figure 3, in those subjects the flow was neither aligned when the peace-  
145 maker was active nor when it was switched off. Their state was unstable (or meta-stable, given the  
146 extreme deformation) and the therapy was not able to create longitudinal hemodynamic forces.

147 These observations suggest that a modification of the natural fluid dynamics pattern is the first  
148 recognizable mechanical phenomenon associated with an unstable condition that anticipates LV  
149 remodeling. Flow changes presumably are due to minor modifications in the synchrony of tissue  
150 motion, like local and short-lasting accelerations, that are difficult to detect directly but that reflect on  
151 the overall dynamic balance of the incompressible fluid contained in the LV chamber.

152 Hemodynamics forces, by themselves, are not able to provoke large stresses that may deform a tissue  
153 by fatigue. However, during morphogenesis, endothelial cells are able to sense vorticity and loading  
154 conditions via shear changes (mechano-sensing), transforming any abnormal condition into adaptive  
155 responses (mechano-transduction) (Pedrizzetti et al., 2014). The presence of forces acting on  
156 inappropriate regions at inappropriate timings presumably activates, through a plethora of intracellular  
157 signaling pathways, a physiological adaption mechanism that under prolonged over-stimulation leads to  
158 the development of LV adaptation.

159 **CONCLUSION**

160 These results provide initial evidence that the natural longitudinal alignment of hemodynamic forces is  
161 a necessary condition for the presence of a physiologically LV stable state and to avoid heart  
162 adaptation. By logical equivalence, the lack of flow alignment is a sufficient condition for  
163 physiologically instability inducing heart adaptation.

164 Hemodynamic forces are known to participate to heart morphogenesis during the development of the  
165 embryonic heart. This study suggests that they also participate to physiological adaptations in the  
166 grown heart. In a more general perspective, large scale flow phenomena influence, through the  
167 mediation of sensing and transduction at the cellular level, the long term shaping of the cardiac organ  
168 as a whole.

169 Epigenetic mechanisms are a concurring factor in heart pathological adaptation and they are mediated  
170 by mechanical forces. The deeper understanding of how physical phenomena are associated to  
171 physiological outcomes could open a new comprehension about expression of phenotypes not revealed  
172 by (and not written in) the genetic structure only.

173 **Acknowledgment:** This study was conducted under the approval of Hospital Ethical Committee for the  
174 protection of human subjects. Informed consent for participation to this study was obtained from all  
175 subjects.

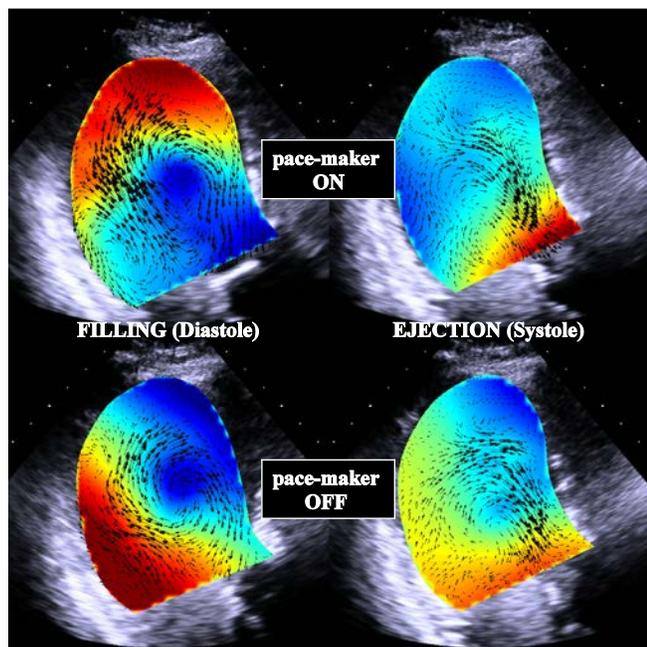
176 **Funding:** GP acknowledges funding from Italian Government project (Progetti di Rilevante Interesse  
177 Nazionale) PRIN 2012, Prot. N. 2012HMR7CF\_002.

178 **Conflict of Interest:** none.

179 **References**

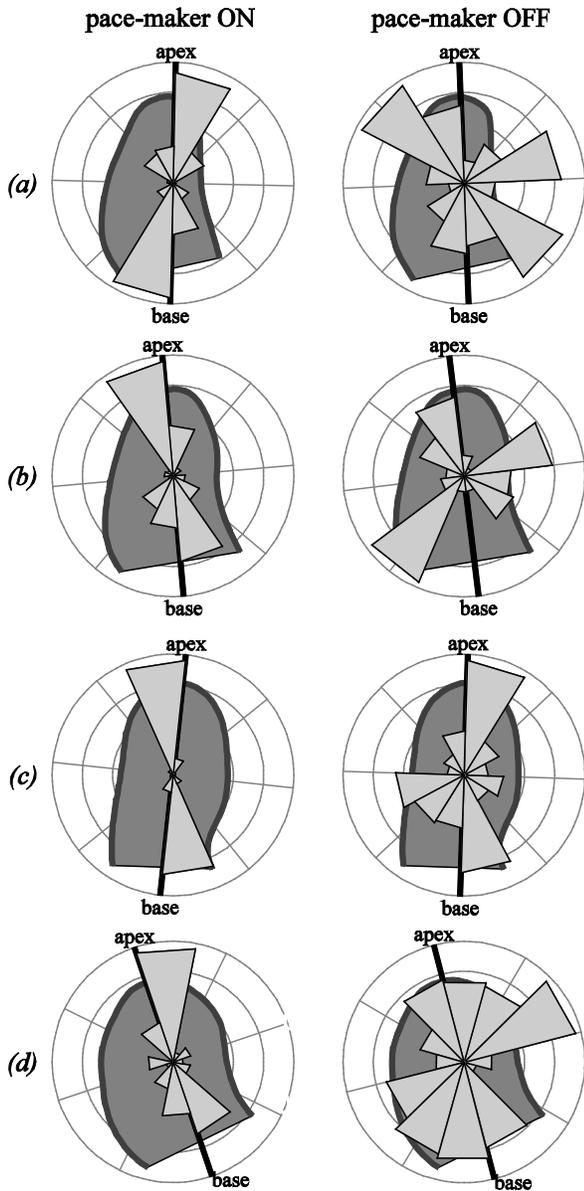
- 180 1. Farge, E., 2011. Mechanotransduction in development. *Curr. Top. Dev. Biol.* 95, 243-265.
- 181 2. Freund, J.B., Goetz, J.G., Hill, K.L., Vermot, J., 2012. Fluid flows and forces in development:  
182 functions, features and biophysical principles. *Development* 139, 1229-1245.
- 183 3. Gruber, J., Epstein, J.A., 2004. Development gone awry: congenital heart disease. *Circulation*  
184 *Research* 94, 273-283.
- 185 4. Hove, J.R., Koster, R.W., Forouhar, A.S., Bolton, G.A., Fraser, S.E., Gharib, M., 2003.  
186 Intracardiac fluid forces are an essential epigenetic factor for embryonic cardiogenesis. *Nature*,  
187 421, 172-177.
- 188 5. Kheradvar, A., Houle, H., Pedrizzetti, G., Tonti, G., Belcik, T., Ashraf, M., Lindner, J., Gharib,  
189 M., Sahn, D., 2010. Echographic Particle Image Velocimetry: a novel technique for  
190 quantification of left ventricular blood vorticity pattern. *J. Am. Soc. Echocardiogr.* 23, 86-94.
- 191 6. Kilner, P.J., Yang, G.Z., Wilkes, A.J., Mohiaddin, R.H., Firmin, D.N. Yacoub M.H., 2000.  
192 Asymmetric redirection of flow through the heart. *Nature* 404,759-761.
- 193 7. Knappe, D., Pouleur, A.C., Shah, A.M., Cheng, S., Uno, H., Bourgoun, M., Foster, E., Zareba,  
194 W., Goldenberg, I., McNitt, S., Pfeffer, M.A., Moss, A.J., Solomon, S.D., 2011. Dyssynchrony,  
195 Contractile Function, and Response to Cardiac Resynchronization Therapy. *Circ. Heart Failure*  
196 4, 433-440.
- 197 8. Levy, D., Kenchaiah, S., Larson, M.G., Benjamin, E.J., Kupka, M.J., Ho, K.K.L., Murabito,  
198 J.M., Vasan, R.S., 2002. Long-Term Trends in the Incidence of and Survival with Heart Failure.  
199 *N. Engl. J. Med.* 347, 1397-1402.
- 200 9. Markl, M., Kilner, P.J., Ebbers, T., 2011. Comprehensive 4D velocity mapping of the heart and  
201 great vessels by cardiovascular magnetic resonance. *J. Cardiovasc. Magn. Reson.* 13, 7.
- 202 10. Nijveldt, R., Hofman, M., Hirsch, A., Beek, A.M., Umans, V., Algra, P.R., Piek, J.J., van  
203 Rossum, A.C., 2009. Assessment of microvascular obstruction and prediction of short-term  
204 remodeling after acute myocardial infarction: cardiac MR imaging study. *Radiology* 250, 363-  
205 370.

- 206 11. Opie, L.H., Commerford, P.J., Gersh, B.J., Pfeffer, M.A., 2006. Controversies in ventricular  
207 remodeling. *Lancet* 36, 356-367.
- 208 12. Pasipoularides, A., 2012. Diastolic Filling Vortex Forces and Cardiac Adaptations: Probing the  
209 Epigenetic Nexus. *Hellenic J Cardiol* 53, 458-469.
- 210 13. Pedrizzetti, G., Domenichini, F., 2005. Nature optimizes the swirling flow in the human left  
211 ventricle. *Phys. Rev. Lett.* 95,108101.
- 212 14. Pedrizzetti, G., Domenichini, F., 2014. Left Ventricular Fluid Mechanics: the long way from  
213 theoretical models to clinical applications. *Ann Biomed Eng* 2014; DOI: 10.1007/s10439-014-  
214 1101-x.
- 215 15. Pedrizzetti, G., La Canna, G., Alfieri, O., Tonti, G., 2014. The vortex - an early predictor of  
216 cardiovascular outcome?. *Nature Reviews Cardiology* doi:10.1038/nrcardio.2014.75.
- 217 16. Reckova, M., Rosengarten, C., deAlmeida, A., Stanley, C.P., Wessels, A., Gourdie, R.G.,  
218 Thompson, R.P., Sedmera, D., 2003. Hemodynamics Is a Key Epigenetic Factor in  
219 Development of the Cardiac Conduction System. *Circ. Res.* 93;77-85.
- 220 17. Santhanakrishnan, A., Miller, L.A., 2011. Fluid Dynamics of Heart Development. *Cell.*  
221 *Biochem. Biophys.* 61, 1-22.
- 222 18. Sengupta, P.P., Narula, J., 2008. Reclassifying heart failure: predominantly subendocardial,  
223 subepicardial, and transmural. *Heart Fail. Clin.* 4, 379-382.
- 224 19. Sengupta, P.P., Pedrizzetti, G., Kilner, P.J., Kheradvar, A., Ebbers, T., Frazer, A., Tonti, G.,  
225 Narula, J., 2012. Emerging Trends in CV Flow Visualization. *J. Am. Coll. Cardiol. Img.* 5,305-  
226 316.
- 227 20. Wu, E., Ortiz, J.T., Tejedor, P., Lee, D.C., Bucciarelli-Ducci, C. Kansal, P., Carr, J.C., Holly,  
228 T.A., Lloyd-Jones, D., Klocke, F.J., Bonow, R.O., 2008. Infarct size by contrast enhanced  
229 cardiac magnetic resonance is a stronger predictor of outcomes than left ventricular ejection  
230 fraction or end-systolic volume index: prospective cohort study. *Heart* 94, 730-736.



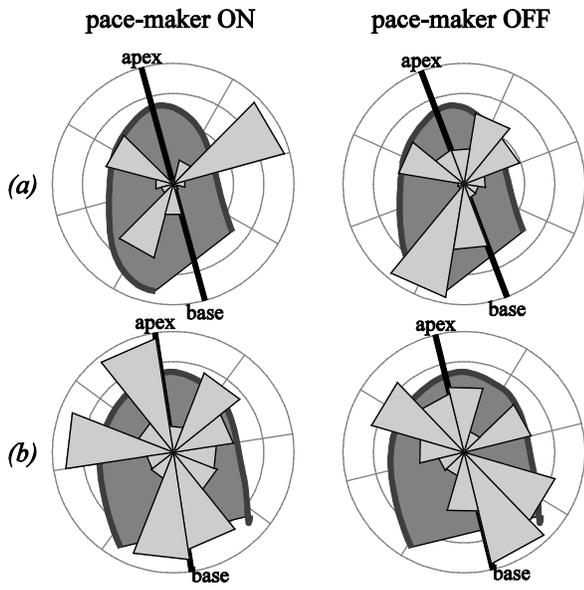
232

233 **Figure 1.** Example of flow changes following deactivation of the pace-maker in a subject who  
234 responded to the pacing therapy, during diastolic filling (left) and systolic ejection (right). The  
235 colormap represents the intraventricular pressure with a scale from red (higher pressure, relative to the  
236 mean value) to blue (lower).



237

238 **Figure 2.** Polar histogram of intra-cardiac momentum distribution during the heartbeat in 4 subjects  
 239 who well responded to the pacing therapy (super-responders), (a) to (d), while the pacemaker is  
 240 normally active and after a temporary deactivation.



241

**Figure 3.** Polar histogram of intra-cardiac momentum

242 distribution during the heartbeat in 2 subjects who did not benefit from the pacing therapy (non-

243 responders), (a) to (b), while the pacemaker is normally active and after a temporary deactivation.