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FROM LIFE-SAVING TO LIFE-THREATENING: A MATHEMATICAL MODEL TO SIMULATE BACTERIAL INFECTIONS IN SURGICAL PROCEDURES*

J. A. FERREIRA[†], PAULA DE OLIVEIRA[†], P. M. DA SILVA[‡], AND M. GRASSI[§] 6

7 Abstract. Following the implantation of indwelling medical devices, bacteria inoculated during the surgery or coming from a preexistent focus of infection race for the medical surface where they 8 attach. Adaptation to survive is a common feature of life, and microorganisms are not an exception. Bacteria form, in short periods of time, a habitat—the biofilm—where they develop multiresistance 10 and tolerance to antibiotics and to the host immune system. To avoid its formation, researchers in 11 the biomedical sciences showed evidence that coating medical devices with antibacterial agents-12 antibiotics—is a promising strategy. We present a mathematical model to simulate the action of an 13 14 antibiotic, released from a medical surface, to fight bacterial infection. The model is composed by a system of partial differential equations that describe the distribution of drug and the evolution of 15 a bacterial population. The preexistence of infection focus, the inoculation of bacteria during the 16 surgery, the race for the medical surface, the resistance and tolerance of the population are taken into 17 account. Analytical estimates of the bacterial density show the crucial importance of aseptic surgical 18 procedures and of timely detection of preexisting infection focus. Numerical simulations illustrate 19 several scenario. 20

Key words. bacterial growth, antibiotic action, PDE system, estimates, numerical simulations 21

AMS subject classifications. 35K10, 35Q92 22

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1. Introduction. Bacteria exist in two phenotypes: single cells that float in flu-24 ids or aggregates surrounded by a protective matrix. This habitat is generally referred 25 to as the biofilm. What drives bacteria to form a biofilm? When bacteria aggregate, 26 they have a larger likelihood to survive. In fact if an antibacterial drug permeates 27 a biofilm, it would need a much larger amount of antibiotic than to eliminate the 28 same density of planktonic bacteria. Moreover, within a biofilm, bacteria are more 29 protected against the host immune system. 30

Bacteria can exhibit two different forms of decreased susceptibility: resistance and 31 tolerance. All bacteria phenotypes—planktonic or biofilm—can become resistant, but 32 only bacteria in biofilms exhibit tolerance. Resistance occurs when bacteria acquire 33 34 genetic mutations, while tolerance is a transient variation that occurs when a population attains a certain density in an aggregate. Bacteria in biofilms exhibit 10–1,000 35 times more antibiotics tolerance than the planktonic cells ([20]). Therefore, once a 36 biofilm forms, eradication of bacteria becomes a very difficult process. 37

Development of biofilms proceeds through different steps (Figure 1): attachment, 39 growth, and dispersion. Biofilm formation generally implies the attachment to a 40 biotic or an abiotic surface. For this reason the insertion of permanent or temporary 41 medical devices increases enormously the risk of bacterial infections. The attraction 42 of bacteria to attach to a surface—that some authors in the biomedical sciences call 43

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[†]CMUC-Department of Mathematics, University of Coimbra, Coimbra, Portugal (ferreira@ mat.uc.pt, poliveir@mat.uc.pt).

[‡]Instituto Politécnico de Coimbra, ISEC, DFM, Rua Pedro Nunes, 3030-199 Coimbra, Portugal. CMUC (pascals@isec.pt).

[§]Department of Engineering and Architecture, Trieste University, Trieste, 34127 Italy (mario. grassi@dia.units.it).



FIG. 1. Biofilm formation.

⁴⁴ "the race for the surface"—can occur very fast, from some seconds to a few minutes.⁴⁵ The formation of a biofilm is a slower process and typically can take some days.

With the constant increase of the number of medical implantable devices, biofilm 46 formation of harmful bacteria on medical surfaces has become a worldwide and severe 47 problem. As reported in [8] "about half of all nosocomial infections are associated 48 with indwelling devices." Examples of infections involving surfaces can occur in the 49 case of devices inserted into the human body for short periods of time, such as, for 50 example, catheters and contact lenses, or in the case of medical devices that are 51 meant to remain in place permanently, as artificial heart valves, cardiovascular stents, 52 orthopedic implants, breast implants, or teeth implants. These implantable devices, 53 that in some cases are life-saving, can become then a life-threatening risk. 54

There are three reasons that explain the occurrence of these postsurgical infec-55 tions: the inoculation of bacteria during the surgery, the existence of focus of infec-56 tion in the patient, or the simultaneous action of these two causes. As a result of the 57 enormous difficulty in fighting infections once a biofilm develops—consequence of the 58 multiresistance of bacteria and essentially of its ability to tolerate antibiotics and the 59 defense mechanisms of the host immune system—it is crucial to avoid its formation. 60 Due to the increasing role played by indwelling medical devices in monitoring and 61 treatment, and the correlated threat of bacterial infections, researchers of different 62 fields are studying antibiofilm strategies. Several antibiofilm approaches can be found 63 in the biomedical literature as drug eluting coatings and surface alterations of med-64 ical devices. These alterations make difficult the attachment of bacteria and can be 65 mechanical—for example, related to the rugosity of the surface—or chemical if they 66 involve the treatment with chemical agents that prevent bacteria from binding to the 67 surface. There is extensive literature on the topic, and we mention without being 68 exhaustive [10], [18], and [31]. 69

The sustained delivery of antibacterial drugs, dispersed in the surface of medral devices, is one of the strategies that can have a central role in the prevention

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72 of hospital-acquired infections. In fact combining devices with the elution of a drug

has shown to improve the efficacy by reducing the number of bacterial infections [15]

⁷⁴ and [19]. The idea has become so powerful that the World Health Organization has

⁷⁵ proposed, in 2019, a wider definition of medical device, which explicitly recognizes it ⁷⁶ may be assisted by pharmacological means in its primary functions. However large

⁷⁷ multi-institutional studies to select the optimal strategies are still lacking. While

there is huge disciplinary research in different scientific domains dealing with the problem—material science, pharmacology, microbiology, and infectiology—an integrated multidisciplinary approach is missing in the literature.

Many questions do not have a clear answer by now. What is the real efficacy of 81 the strategy? How does the success of in situ delivery depend on the extension and 82 83 topology of surgical contamination? How does remote body infections influence the fate of the surgery? To take a step forward a mathematical approach of the problem 84 can provide researchers with useful information to assist laboratorial and clinical 85 studies. Currently to ensure the safety and the efficacy of a biomedical product it 86 must be tested in vivo. However, clinical trials rarely tell us the reason why a product 87 fails and how to improve it ([32]). In silico trials, allowing safe simulation even in 88 extreme scenario—as (1) and (2) below—can provide a plethora of suggestions that 89 help to reduce animal and human experimentation. 90

We present a mathematical model that simulates the interplay between a drug eluted from a medical device and the occurrence of an infection process caused by the simultaneous action of

1. Preexistent infection focus with different severities;

⁹⁵ 2. Bacterial inoculation during the surgery;

⁹⁶ 3. The "race for the medical surface";

97 4. The formation of a biofilm;

⁹⁸ 5. Resistance and tolerance of bacterial populations.

From a mathematical point of view, the model is represented by a system of 99 coupled partial differential equations. The equations describe the release of an an-100 tibacterial drug from a surface coating of a medical indwelling device and the evolution 101 of a bacterial population composed by planktonic and bacterial aggregates. The bac-102 terial evolution is governed by a reaction-convection-diffusion equation that takes into 103 account the random motion of bacteria, their biased motion in presence of a medical 104 device, the formation of biofilms, and the action of an antibacterial agent on a resis-105 tant and tolerant population. From a medical point of view, the model in this paper 106 contributes to clarify the role of preexisting infections, even if located at different sites 107 from where the surgery is done. It also explains the crucial need for absolute asepsis 108 in surgical procedures. Namely, the model shows (i) the deleterious consequences of 109 inoculation—inoculum size and topology—during surgical procedures and (ii) how a 110 preexisting infection associated with surgical contamination can dictate the failure of 111 a device implantation. 112

Several authors have studied mathematical models of bacterial growth. We men-113 tion, for example, the interesting papers [34] and [26] where the authors study the 114 interplay between bacteria and nutrients. In these papers the effect of antibacterial 115 agents is not taken into account. Moreover bacterial evolution is governed by ordinary 116 differential equations, consequently no random nor biased motion is considered. The 117 influence of random motility in the survival of a bacterial population is studied in 118 [3]. Competition and coexistence were examined for two bacterial species in [8]. A 119 mathematical analysis of bacterial growth in a porous media was recently presented 120 in [25] and [9]. 121

In two papers recently published by some of the authors, the simulation of bacterial evolution under the action of a drug was presented. In [6], an ordinary differential

equation describes the bacterial evolution. Therefore, no random motion nor biased 124 motion was taken into account. In [11] bacterial growth is governed by a PDE, but 125 only the random motion of bacteria is considered. To the best of our knowledge the 126 novelty of the present approach is twofold. From the modeling point of view the 127 study of the simultaneous effect of the properties of the polymeric coating, the phar-128 macokinetics of the drug, the bacterial inoculation during surgery, the preexistence 129 of infection focus, the race for the surface, and the multiresistance and tolerance of 130 the bacterial population once a biofilm forms; from the analytical point of view the 131 establishment of estimates that in spite of being obtained by a classical approach give 132 meaningful biological information. Namely, the upper bounds in the estimates depend 133 on the type of bacterial population, the pharmacokinetics of the drug, the severity 134 and topology of the ioculation, and the health conditions of the patient. 135

The paper contains 4 sections. Following this introduction, we present in section 2 the mathematical model adopted and the biological reasons underlying our choice. In section 3 we deduce a priori estimates for the norm of the bacterial density. In section 4 several numerical simulations illustrate the behavior of the model. Finally in section 5 we address some conclusions.

¹⁴¹ 2. Mathematical model.

2.1. Preliminaries. We assume that some type of drug eluting medical device— 142 temporary or permanent—has been implanted in a patient and that during the surgery, 143 bacteria (in the operating room, on the patient skin, or on the medical device) are in-144 oculated. Moreover, we consider the case of preexisting infection focus in the patient. 145 In Figure 2 we exhibit the drug eluting surface and the adjacent tissues: Ω_1 stands for 146 a biodegradable polymeric coating of a medical device and Ω_2 represents the adjacent 147 tissue. Orange circles or semicircles represent the focus inoculated during the surgery. 148 Blue arrows represent the preexisting infections, located at remote body sites. The 149 cascade of phenomena that occurs is described by the permeation of the interstitial 150 fluid in the porous biodegradable coating, the dissolution and diffusion of the solid 151 drug in the coating and in the adjacent tissues, and the fight against the bacterial 152 population. 153

Let Ω be a two-dimensional open domain and [0, T] a time interval.

If $w : \overline{\Omega} \times [0,T] \to \mathbb{R}$ we represent by w(t), for $t \in [0,T]$, the function w(t): $\overline{\Omega} \to \mathbb{R}$ given by $w(t)(x) = w(x,t), x \in \overline{\Omega}$. The drug is initially dispersed in Ω_1 in the solid state. When it enters in contact with the interstitial fluid, that permeates the interface $\partial \Omega_{1,2}$. The boundary $\partial \Omega_{1,\ell}$ represents the interface between the polymeric coating and an indwelling medical device. We assume that there are no fluxes—of interstitial fluid, drug, or bacteria—through this boundary.

The unknowns of the model are the concentration of interstitial fluid c_{ℓ} , the concentration of the solid drug c_s , the concentration of dissolved drug c_d , and the density of the bacterial population c_b .

As mentioned in section 1, the race for the surface immediately occurs while 168 biofilm formation is a slower process. The drug is released in situ; however, under 169 certain conditions, a biofilm may form on the surface. We will assume that a biofilm 170 forms when the density of bacteria, attached to a surface, exceeds a certain threshold. 171 This situation can occur because the drug is leached from the surface on the surround-172 ing tissues and its concentration is insufficient to prevent biofilm formation. The focus 173 of infection displayed in Figure 2 can represent biofilms, when bacteria are attached 174 to the surface $\partial \Omega_{1,2}$, with a concentration that has surpassed a certain threshold. 175

¹⁷⁶ Otherwise the focus of infection represent an aggregate of planktonic bacteria.



FIG. 2. Spatial domain: the drug eluting coating is represented by Ω_1 ; the focus inoculated dur-162 ing the surgery are represented by orange circles or semicircles. A preexisting infection is signalized 163 164 by blue arrows.

The density of bacteria, c_b , is governed by 177

(1)
$$\frac{\partial c_b}{\partial t}(t) = \nabla \cdot (D_b \nabla c_b(t)) + u \cdot \nabla c_b(t) + F_b(c_d(t), c_b(t), t) c_b(t)$$

for $t \in (0,T]$, where the dissolved drug concentration in each domain is defined by 180 $c_d = c_{d1}$ in Ω_1 and $c_d = c_{d2}$ in Ω_2 . The diffusion coefficient D_b depends on space and 181 is defined by 182

$$D_b(x) = \begin{cases} D_{b1}, \ x \in \Omega_1, \\ D_{b2}, \ x \in \Omega_2. \end{cases}$$

Regarding Brownian motion in (1), the random movement of microscopic objects 184 in fluids caused by constant thermal agitation, is central in the microbial world ([5]). 185 In the case of bacteria lacking mobility appendages, Brownian motion is, in part, 186 responsible for facilitating movement. In the case of motile bacteria, Brownian motion 187 can also affect deliberate movement, by randomizing displacement and direction ([17]). 188 There exists in the literature a large number of models to represent the race for 189

the surface, that is, chemotaxis. One of the most known is the Keller–Segel model and 190 its subsequent modifications ([33]). In the original model, chemotaxis is represented 191 by a term of type 192 ∇s),

$$abla \cdot (\chi(s,c_b)c_b)$$

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where s stands for the chemoattractant density and χ is the chemotaxis response 194 function. In the bacterial race for the surface, we assumed that ∇s and the response 195 function are constants and consequently the term assumed a convection linear form. 196 Moreover based on laboratorial studies we also assume that the race is convection 197 dominated and orthogonal to the medical device surface (Figure 3). This justifies the 198 rationale under a simplified definition of $u: u = (u_0, 0)$ in Ω_2 . In Ω_1 , the polymeric 199 coating, we consider u = (0, 0). 200

The net proliferation of bacteria is defined by 203

(2)
$$F_b(c_d, c_b, t) = E_0 \left(1 - \frac{c_b}{c_{b,max}} \right) - \frac{E_{max} e^{-\beta_b t} c_d^{\gamma}}{c_{50}^{\gamma} + c_d^{\gamma}}.$$



FIG. 3. The Microbial Olympics—promoted by several research laboratories. Each cell type was recorded in a separate well and movies were combined afterwards. Adapted from [33].

Equation (2) represents the balance between proliferation and the antibacterial 206 action of the drug. The action of the drug is described by a generalization of the 207 Hill model. This model is extensively used in the literature, and we believe that one 208 of the reasons for its success is its flexibility and effectiveness in fitting experimental 209 data ([13]). It includes the two main pharmacodynamic properties of a drug: the 210 maximum effect (E_{max}) and the concentration producing 50% of the maximum effect 211 (c_{50}) . More precisely, E_{max} represents the maximum effect which can be expected 212 from the drug, that is, the per capita death rate of bacteria due to the action of the 213 drug at a certain concentration. When this magnitude of effect is reached, increasing 214 the dose will not produce a greater magnitude of effect. In (2), γ is a shape parameter 215 that represents a measure of the cooperation between bacteria. If $\gamma = 1$ the adhesion 216 of the bacteria to the surfaces is independent of each other. If $\gamma > 1$, then there is 217 cooperation, and if $\gamma < 1$ no cooperation occurs. The estimates for gamma depend 218 on the specific drug. Different γ lead to significant differences in the steepness of (2). 219 We will consider $\gamma = 1$. This value corresponds to the Hill coefficient presented in [4] 220 for a particular strain of *Staphilococcus aureus* that colonize indwelling devices and a 221 particular class of antibiotics. 222

Let us now address how tolerance and resistance influence (2). Tolerance occurs 223 when a biofilm forms, that is, when a threshold bacterial density of an aggregate, 224 attached to a surface, is achieved. As the biofilm matures tolerance increases. The 225 term $e^{-\beta_b t}$ in (2) accounts for tolerance within the biofilm. The action of this expo-226 nential can be interpreted as a dramatic decrease of the maximum effect, E_{max} , once 227 the biofilm forms. The first term in (2) represents the proliferation growth of the 228 bacterial population by considering the carrying capacity of the environment, $c_{b,max}$, 229 that depends on the availability of nutrients and oxygen. Although Staphylococcus 230 species grow both aerobically and anaerobically, they grow best in an oxygen-rich 231 environment. Resistance can be quantified via the pharmacodynamics of antibiotic 232 action. One conventional measure of resistance is MIC (minimal inhibitory concen-233 tration). If we define MIC as the minimal concentration that inhibits bacterial net 234 proliferation we have 235

 $MIC = c_{50} \left(\frac{E_{max} e^{-\beta_b t} - E_0}{E_0} \right)^{-\frac{1}{\gamma}},$

that is, $MIC \ge c_{50}(E_{max} - E_0/E_0)^{-1/\gamma}$, where we assumed $c_{b,max}$ is not limited. For a constant γ , a larger MIC, that is, a larger resistance, can result from a larger c_{50} or a smaller E_{max} ([2]).

The behavior of the concentrations of the interstitial fluid, c_{ℓ} , the solid drug, c_s , and the dissolved drug, c_{d1} , in Ω_1 , are governed by the following equations:

$${}_{242} \quad (3) \quad \begin{cases} \frac{\partial c_{\ell}}{\partial t}(t) = \nabla \cdot (D_{\ell}(t)\nabla c_{\ell}(t)), \\ \frac{\partial c_{d1}}{\partial t}(t) = \nabla \cdot (D_{ef}(t)\nabla c_{d1}(t)) + f(c_{s}(t), c_{d1}(t), c_{\ell}(t)) - R_{db}c_{d1}(t)c_{b}(t), \\ \frac{\partial c_{s}}{\partial t}(t) = -f(c_{s}(t), c_{d1}(t), c_{\ell}(t)) \end{cases}$$

for $t \in (0,T]$. In (3), D_{ℓ} represents the diffusion coefficient of the interstitial fluid 244 in the polymeric coating. We consider that Ω_1 is a biodegradable porous medium 245 able to host the interstitial fluid without undergoing a significant volume increase. 246 This is the typical case of a polymer-matrix system characterized by a rheological 247 behavior similar to that of a solid (elastic) material that never relaxes. In this case, 248 indeed, despite solvent income, the polymeric network doesn't react. This means 249 that the chains do not rearrange in space to host the solvent and that the reaction 250 takes a long time. Consequently the solvent concentration will be always low and 251 the volume increase is negligible. We also assume that D_{ℓ} is time dependent due to 252 the time dependence of the biodegradable coating porosity. Accordingly the diffusion 253 coefficient D_{ef} of the dissolved drug is also time dependent. For the time evolution 254 of the porosity $\epsilon(t)$, due to the polymeric coating degradation, we consider ([34]) 255

$$\epsilon(t) = \epsilon_0 + (1 - \epsilon_0) \left(1 + e^{-2k_d t} - e^{-k_d t} \right)$$

In this last expression ϵ_0 stands for the initial porosity of the polymeric coating and k_d represents the degradation rate. The effective diffusion coefficient of the interstitial fluid is represented by

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$$D_{\ell}(t) = (\epsilon(t))^{\frac{3}{2}} D_{\ell,0},$$

where $D_{\ell,0}$ represents the initial diffusion in the nondegraded coating ([22]). In the previous definition we adopted the definition of effective diffusion as $\frac{\epsilon D}{\tau}$, where τ stands for the tortuosity, with $\tau = \frac{1}{\sqrt{\epsilon}}$. The diffusion coefficient of the dissolved drug is defined by

$$D_{ef}(t) = (\epsilon(t))^2 D_1,$$

where D_1 stands for the drug diffusion coefficient in the nonhydrolyzed polymer.

Regarding the consumption term, $R_{db}c_{d1}c_b$, we observe that each class of antibacterial drug has a unique mode of action:

- It induces bacterial death by targeting the cell membrane of the bacteria (bactericidal). This type of drug prevents the bacteria from synthesizing a molecule in the cell wall, called peptidoglycan, which provides the wall with the strength it needs to survive in the human body;
- It slows or inhibits the growth of bacteria (bacteriostatic) by preventing key
 molecules from binding to selected sites on host cell structures, called ri bosomes, where protein synthesis occurs. Without synthesis, bacteria can't
 reproduce or survive.

In the mathematical model presented in this paper, we describe these two differ-277 ent types of actions, from a macroscopic point of view, by considering that the drug 278 acts by means of a sort of irreversible binding with the bacteria. Analogous represen-279 tations are presented in [28] and [12]. The irreversible binding is represented by the 280 term $R_{db}c_{d1}(t)c_b(t)$, where R_{db} stands for a positive constant. The reaction term f 281 represents the rate of conversion of solid drug into dissolved drug and is defined by 282

283
$$f(c_s(t), c_{d1}(t), c_{\ell}(t)) = \alpha H(c_s(t)) \frac{c_{sol} - c_{d1}(t)}{c_{sol}} c_{\ell}(t),$$

where α is the dissolution rate, H is the Heaviside function, and c_{sol} represents the 284 solubility limit concentration ([27]). 285

The evolution of the dissolved drug concentration in Ω_2 , c_{d2} , is described by 286

(4)
$$\frac{\partial c_{d2}}{\partial t}(t) = \nabla \cdot (D_{d2} \nabla c_{d2}(t)) - R_{db} c_{d2}(t) c_b(t)$$

for $t \in (0,T]$, where D_{d2} represents the diffusion coefficient. This coefficient is space 289 dependent due to the fact that within biofilms diffusion coefficient has a lower value. 290 Diffusion limitation occurs within a biofilm because fluid flow is reduced and the 291 diffusion distance is increased. We define 292

$$D_{d2}(x) = \begin{cases} Tol, \ x \in \Omega_{2,b}, \\ D_{d2nob}, \ x \in \Omega_{2,nob} \end{cases}$$

with $Tol < D_{d2nob}$ and where $\Omega_{2,b}$ is the domain occupied by the biofilm and $\Omega_{2,nob} =$ 294 $\Omega_2 \setminus \Omega_{2,b}$. Regarding the biofilm formation we assume that it occurs once the bacterial 295 density surpasses a certain threshold and when the agglomerate is attached to a 296 surface. 297

Coupled systems (1), (3), (4) are completed with the following initial, boundary, 298 and interface conditions: 200

• Initial conditions: 300

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$$c_{\ell}(0) = c_{d1}(0) = 0, \ c_s(0) = c_{s,i}, c_b(0) = 0 \text{ in } \Omega_1, \ c_{d2}(0) = 0, \ c_b(0) = c_{b,i} \text{ in } \Omega_2.$$

We note that the conditions related to solid drug, c_s , represent the fact that 302 initially all the drug is in the solid state. The last condition represents the 303 existence of an initial infection focus, consequence of surgical contamination. 304 305

• Boundary conditions:

 $-\partial\Omega_{1,\ell}$ is insulated; that is,

$$J_{c}^{307}(t) \quad J_{c}(t) \quad \eta_{1} = 0 \text{ on } \partial \Omega_{1,\ell}, \ t \in (0,T]$$

for
$$c = c_i, i = b, \ell, d1$$
, where $J_{c_i}(t) = -D_i \nabla c_i, J_{c_{d1}}(t) = -D_{ef} \nabla c_{d1}$, and
 η_1 represents the unitary exterior normal to Ω_1 . Condition (5) represents
the case where the drug does not permeate the device. This situation
can occur, for example, in the case of orthopedic implants.
 $-$ on $\partial \Omega_{2,r}$

(6)
$$J_{c_b}(t) \cdot \eta_2 = -\alpha_b c_{b,ext}(t) \text{ on } \partial\Omega_{2,r}, t \in (0,T],$$

where, as before, $J_{c_b}(t) = -D_b \nabla c_b(t) - u_0 c_b(t)$, η_2 represents the unitary 316 exterior normal to Ω_2 , and $c_{b,ext}(t)$ represents an exterior bacterial con-317 centration. The condition on $\partial \Omega_{2,r}$ for c_b describes a preexistent body 318 infection focus (see Figure 2) with density $c_{b,ext}(t)$. If no preexistent 319 infection exists, then we consider 320

(7)
$$J_{c_b}(t) \cdot \eta_2 = 0 \text{ on } \partial\Omega_{2,r} \times (0,T].$$

323	- symmetry conditions on $\bigcup_{i=1,2,j=t,b} \partial \Omega_{i,j}$ that are mathematically de-
324	fined by
325	$\frac{\partial c}{\partial x_2}(t) = 0 \text{ on } \bigcup_{j=t,b} \partial \Omega_{1,j}, t \in (0,T]$
326	for $c = c_{\ell}, c_{d2}, c_b$, and
327	$\frac{\partial c}{\partial x_2}(t) = 0 \text{ on } \bigcup_{j=t,b} \partial \Omega_{2,j}, t \in (0,T]$
328	for $c = c_{d2}, c_b$.
329	• Interface conditions:
330	On the common boundary of Ω_1 and Ω_2 , $\partial \Omega_{1,2}$, we assume that the fluid
331	flux is proportional to the difference between the fluid concentration on the
332	boundary and the fluid concentration c_{ext} in Ω_2 , that is, $J_{c_\ell}(t) \cdot \eta_2 = \varphi(c_\ell(t) - \varphi(t))$
333	c_{ext}) on $\partial \Omega_{1,2}, t \in (0,T]$, where φ is related with the permeability of the
334	interface that, to simplify, we assume time independent. For the dissolved
335	drug concentration we assume the continuity of the concentration and of the
336	flux, that is,

$$c_{d,1}(t) = c_{d,2}(t), \ J_{c_{d_1}}(t) \cdot \eta_1 + J_{c_{d_2}}(t) \cdot \eta_2 = 0 \text{ on } \partial\Omega_{1,2}, \ t \in (0,T].$$

For the bacterial density $c_b(t)$ on the interface $\partial \Omega_{1,2}$ we also assume

$$(8) \quad c_{b,1}(t) = c_{b,2}(t), \ J_{c_{b1}}(t).\eta_1 + J_{c_{b2}}(t).\eta_2 = 0 \quad \text{on } \partial\Omega_{1,2}, \ t \in (0,T],$$

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where $c_{b,i}$ denotes the bacterial density in Ω_i , i = 1, 2.

The assumptions on the continuity of drug concentration and drug flux at the 342 interface are the simplest assumptions we can adopt. Indeed, possible concentration 343 discontinuity at the interface is due to different thermodynamic environments on 344 the two sides of the interface; also possible flux discontinuity should be motivated 345 by the presence of particular phenomena such as chemical reactions on one or both 346 sides of the interface. Although these phenomena could occur, we do not have clear 347 evidences to sustain such hypotheses. Regarding interface conditions on bacterial 348 density analogous comments could be done. 349

3. A priori estimates. In this section, we present a priori estimates of the bacterial concentration and the total bacterial mass, when an infection occurs, after a medical indwelling device is implanted. The mathematical proofs are included in section 6. The inclusion of those estimates has a twofold aim: to show the stability of the model and to illustrate that stability estimates can give insight on the solution behavior, namely, regarding its dependence on the parameters of the model. Two different situations are analyzed:

³⁵⁷ 1. A contamination during the surgery;

2. The preexistence of a remote body site infection.

In what follows we estimate $||c_b(t)||_{L^2(\Omega)}$, with $\Omega = \Omega_1 \cup \Omega_2$, where $||.||_{L^2(\Omega)}$ denotes the usual norm in $L^2(\Omega)$ associated with the usual inner product $(.,.)_{L^2(\Omega)}$. We consider that the free drug concentration that arises in the definition of F_b in Ω_i has a lower bound $\overline{c}_{d,i}$, that is, $c_{d,i}(t) \geq \overline{c}_{d,i}$ in $\Omega_i, i = 1, 2$. We also assume that $\partial \Omega_i$, i = 1, 2, are counterclockwise oriented.

³⁶⁴ A contamination during the surgery.

Let us suppose that there is no remote infection and that the bacteria are inoculated at the initial time in the tissue or on the medical device. Then the behavior of $_{267}$ $c_b(t)$ on $\partial\Omega_{2,r}$ is given by (7), that is,

$$J_{c_b}(t) \cdot \eta_2 = 0 \text{ on } \partial \Omega_{2,r} \times (0,T].$$

370 In this scenario the following result can be established.

PROPOSITION 3.1. If $c_{d,i}(t) \geq \overline{c}_{d,i}$ in Ω_i , then for the bacterial density $c_b(t)$ defined by (1) and the boundary and interface conditions (5), (6), and (7), respectively, we have

(9)

$$\|c_b(t)\|_{L^2(\Omega)}^2 + 2\min\{D_{b1}, D_{b2}\} \int_0^t e^{2\theta(t-\mu)} \|\nabla c_b(\mu)\|_{[L^2(\Omega)]^2}^2 d\mu \le e^{2\theta t} \|c_b(0)\|_{L^2(\Omega)}^2$$

376 for $t \in [0,T]$. In (9), θ is given by

(10)
$$\theta = \max_{i=1,2} \left(E_0 - e^{-\beta_b T_f} E_{max} \frac{\bar{c}_{d,i}}{c_{50} + \bar{c}_{d,i}} \right)$$

Let $M_b(t)$ be the bacterial mass in Ω . As $M_b(t) \leq \sqrt{|\Omega|} ||c_b(t)||_{L^2(\Omega)}$, where $|\Omega|$ denotes the measure of Ω , we easily get the following estimate.

³⁸¹ COROLLARY 3.1. Under the assumptions of Proposition 3.1 we have

$$M_b(t) \le \sqrt{|\Omega|} e^{\theta t} \|c_b(0)\|_{L^2(\Omega)}, t \in [0, T],$$

where
$$\theta$$
 is given by (10).

Given an upper bound ϵ_b for the bacterial mass in Ω , from Corollary 3.1 we easily compute a threshold time t^* such that for $t \leq t^*$ we have $M_b(t) \leq \epsilon_b$. In fact, it is sufficient to take

$$t^* = \frac{1}{\theta} \ln \left(\frac{\epsilon_b}{\sqrt{|\Omega|} \|c_b(0)\|_{L^2(\Omega)}} \right).$$

³⁹⁰ Moreover, if the drug effect dominates the bacterial growth rate, that is,

³⁹¹ (13)
$$E_0 - E_{max} e^{-\beta_b T_f} \frac{c_{d,i}}{c_{50} + \bar{c}_{d,i}} < 0,$$

 $_{393}$ then, from (11),

$$385 \quad (14) \qquad \qquad M_b(t) \to 0, t \to \infty.$$

³⁹⁶ Preexistence of a remote body site infection and contamination during the surgery.

PROPOSITION 3.2. If $c_{d,i}(t) \geq \overline{c}_{d,i}$ in Ω_i , then for the bacterial density $c_b(t)$ defined by (1) and the boundary and interface conditions (5), (6), and (8), respectively, we have

(15)
$$\|c_b(t)\|_{L^2(\Omega)}^2 + 2\min\{D_{b1}, D_{b2} - \delta^2 T_r\} \int_0^t e^{2\theta(t-\mu)} \|\nabla c_b(\mu)\|_{[L^2(\Omega)]^2}^2 d\mu$$

400 401

$$\leq e^{2\theta t} \|c_b(0)\|_{L^2(\Omega)}^2 + \frac{\alpha_b}{2\delta^2} \int_0^{\infty} e^{2\theta \mu} \int_{\partial\Omega_{2,r}\uparrow} c_{b,ext}(\mu)^2 ds d\mu, t \in [0,T],$$

where $\delta \neq 0$, θ is defined by (10) and T_r is such that $||w||^2_{L^2(\partial\Omega_2)} \leq T_r ||w||^2_{H^1(\Omega_2)}$ with ||.||^2_{H^1(\Omega_2)} denoting the usual norm in $H^1(\Omega_2)$.

404 For the bacterial mass $M_b(t)$ we establish the following result.

$$M_{b}(t) \leq \sqrt{|\Omega|} \left(e^{2\theta t} \|c_{b}(0)\|_{L^{2}(\Omega)}^{2} + \frac{\alpha_{b}^{2} T_{r}}{D_{b2}} \int_{0}^{t} e^{2\theta \mu} \int_{\partial\Omega_{2,r}\uparrow} c_{b,ext}(\mu)^{2} ds d\mu \right)^{1/2}$$

407 where θ is defined by (10) and $t \in [0, T]$.

The estimate in Corollary 3.2 is in agreement with biological evidence: The total mass of the bacterial colony increases with the severity of the infection and the amount of bacteria inoculated.

⁴¹¹ Under the assumptions of Proposition 3.2, if $c_{b,ext}(t)$ is bounded by $\hat{c}_{b,ext}$, then ⁴¹² the bacterial mass satisfies

$$M_b(t) \le \sqrt{|\Omega|} \left(\|c_b(0)\|_{L^2(\Omega)} + \alpha_b \sqrt{\frac{T_r}{D_{b2}}} \sqrt{\frac{|\partial\Omega_{2,r}|}{|\theta|}} \hat{c}_{b,ext} \right), t \ge 0,$$

⁴¹⁴ provided that the drug effects $E_{max}e^{-\beta_b T_f}\bar{c}_{d,i}/c_{50} + \bar{c}_{d,i}$ exceeds the bacterial birth ⁴¹⁵ rate E_0 .

The estimate in Corollary 3.2 is illustrated in Figure 10. The dependence of the total mass of bacteria on the severity of the remote body site infection, represented by $c_{b,ext}$, is illustrated in Figure 11.

4. Simulations. The problem was solved for the first 10 hours after surgery 419 and considering different initial bacterial focus, using *comsol multiphysics* software. 420 A quadratic piecewise finite element method for the concentrations is considered. A 421 triangular mesh automatically generated with 38,940 elements is used to obtain a 422 consistent mesh in the square domain $[0,5] \times [0,5]$. The time integration is performed 423 with a backward difference method, with variable order ranging between 1 and 2 and 424 an adaptative time step. We begin by presenting in subsection 4.1 the evolution of a 425 bacterial population, after contamination during a surgical procedure. In subsection 426 4.2 the effect of a preexistent infection on the evolution of a bacterial population is 427 analyzed. We also discuss the simultaneous effect of bacterial contamination and the 428 preexistence of infection focus in the host. 429

We start by considering $\beta_b = 10^{-4}$ ((2)). We recall that the factor $e^{-\beta_b t} E_{max}$ 430 represents the decrease of E_{max} that characterizes biofilm structures. It is activated 431 only on the interface $\partial \Omega_{1,2}$ that stands for the surface of the medical device. This is 432 a consequence of the fact that bacteria need to attach to a surface to form a biofilm. 433 The activation takes place when the bacterial population attains a certain threshold, 434 that is, when a biofilm is formed. In our simulations this threshold is $\bar{c}_b = 1$. All 435 numerical results regarding bacterial distribution are represented in mol/m^3 and the 436 masses in *mol*. 437

4.1. Evolution of a bacterial population after contamination during
a surgical procedure. In this section we illustrate the evolution of a bacterial
population when contamination takes place during a surgical procedure. The values in
Table 1 are used in all the simulations. The pharmacodynamic parameters correspond
to Daptomycin ([4]).

We begin by presenting in Figure 4 a global picture of the masses of interstitial fluid (M_{ℓ}) , solid drug (M_s) , and dissolved drug (M_d) in Ω_1 for an initial bacterial density $c_{i,b} = 5$. The mass of interstitial fluid increases over time until a steady state is reached. The mass of solid drug decreases as the interstitial fluid permeates the polymer and accordingly the mass of dissolved drug increases.

451 Contamination during a surgical procedure: The influence of the severity of con-452 tamination.

The distribution of the bacterial concentration for three different initial inoculations in the adjacent tissue is represented in Figure 5: $c_{i,b} = 5$ (i), $c_{i,b} = 50$ (ii), two focus, $c_{i,b} = 5$ and $c_{i,b2} = 10$ (iii). This last focus is attached to the interface $\partial\Omega_{1,2}$. The simulations are exhibited for t = 20 min, t = 4 h, and t = 10 h. In the three cases we observe a race of bacteria for the medical surface. On the left column, (i) with $c_{i,b} = 5$, the drug delivered from the medical device eliminates the infection; in Parameter values used in the numerical simulations.

Parameter (unit)	Value	Parameter (unit)	Value
$D_{\ell,0} (m^2/s)$ $D_{2} (m^2/s)$	10^{-9}	$D_1 (m^2/s)$ $D_{12} (m^2/s)$	7.8×10^{-11}
$D_{tol} (m^2/s)$ $D_{tol} (m^2/s)$	$D_{d2nob}/2$	$D_{d2nob} (m^2/s)$ $D_{b1} (m^2/s)$	5×10^{-12}
$D_{b2} (m^2/s)$	5 X 10 11	α (1/s)	10
$c_{50} \ (mol/mm^3) \ c_{s,i} \ (mol/mm^3)$	$0.5 \\ 5$	$c_{sol} \ (mol/mm^3)$ $c_{ext} \ (mol/mm^3)$	$\frac{2}{1}$
$c_{b,max} \ (mol/mm^3)$	500	γ	$1 \\ 5 \times 10^{-2}$
$\stackrel{\kappa_d}{eta}(m/s)$	10^{-6}	$L_1, L_2 (mm)$	2, 3
$k_1, k_2 \\ E_{max} (h^{-1})$	0.1, 0.1 3	$R_{db} \ (m^3/(mol * s))$ $E_0 \ (h^{-1})$	5×10^{-5} 0.9
$u_0 (m/s)$	5×10^{-7}		



445 FIG. 4. Behavior of masses of interstitial fluid, solid drug, and dissolved drug during 10 hours.

the middle column, (ii) where $c_{i,b} = 50$, the drug delivered is not effective in fighting the infection; on the right column (iii) a second focus in the interface is added to case (i). In situations (ii) and (iii) biofilm formation is observed and the infection evolves

(1). In situations (11) and (111) biofilm formation is observed and the infection evolvesout of control.

In Figure 6 the evolution of the bacterial mass during 10 hours is represented 465 for $c_{i,b} = 5$, $c_{i,b} = 10$, and $c_{i,b} = 50$. Observing the three plots we conclude that 466 there exists a threshold $c_{i,b}^*$ for the initial bacterial concentration such that there is an 467 inversion in the evolution of the infection. For the data used in the simulations, 5 <468 $c_{i,b}^* < 10$. For $c_{i,b} = 5$, it can be observed that 1.5 hours after the surgical procedure, 469 the bacterial density decreases and the amount of bacteria is almost null after 6 h. In 470 the case the initial inoculation during the surgical procedure is $c_{i,b} = 10, 50$, the drug 471 eluted from the coating is not enough to fight the infection. 472

474 Contamination of the medical device and adjacent tissue: The influence of topol-475 ogy and location.

The dependence of the fate of the medical device on the degree of contamination,

 $_{477}$ $\,$ illustrated in Figures 5 and 6 is not a surprising result. In fact, it is expected that

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444

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FIG. 5. Bacterial distribution at 20 min (top), 4 h (middle), and 10 h (bottom): (i) $c_{i,b} = 5$, left; (ii) $c_{i,b} = 50$, middle and (iii) with $c_{i,b} = 5$ and $c_{i,b2} = 10$, right.



FIG. 6. Evolution of the bacterial mass during 10 hours for $c_{i,b} = 5$, $c_{i,b} = 10$, and $c_{i,b} = 50$.

a more severe initial contamination leads to an uncontrolled infection as established
in section 3. The effect of the initial topology and location of the inoculation is
less intuitive. How does this initial topology influences the evolution of the infection
process? Does the location of initial contamination in the adjacent tissue matter? We
consider three cases, represented in the schema of Figure 7, all of them with the same
initial bacterial mass:

(i) one bacterial agglomerate with $c_{ib} = 10$ was inoculated during the surgical procedure;



FIG. 7. The initial topology of the contamination.



FIG. 8. Bacterial mass in scenarios (i), (ii), (iii) of Figure 7 with $\alpha = 10^{-4}$ —left; $\alpha = 1.5 \times 10^{-4}$ —right. The total initial bacterial mass is the same in the three cases; the location and topology of the contamination is different.

(ii) the medical device was contaminated with a focus of $c_{ib} = 10$ with a semicircular geometry; moreover the adjacent tissue was also contaminated during the surgery with a focus of $c_{ib} = 5$;

(iii) two bacterial agglomerates with $c_{ib} = 5$ were inoculated in the adjacent tissue. The initial total mass of bacteria is the same in the three cases. In Figure 8 we exhibit the evolution of masses in cases (i)—(iii). The surprising result is that although the initial bacterial mass is the same for the three scenario, the total mass of bacteria evolves differently.

In cases (i) and (iii) the plots have 3 phases: a first phase where the bacterial 498 mass increases, because the drug molecules and the bacteria need a certain interval of 499 time to meet; a second phase where the mass decreases due to the drug effect; a third 500 phase where the bacterial mass increases because the available amount of drug is not 501 enough to fight the infection. In case (ii) the focus with $c_{ib} = 10$ that occupies an half 502 circle is on the medical interface (Figure 7); consequently, the drug molecules eluted 503 from the surface immediately kick this interface agglomerate and the total mass of 504 bacteria sharply decreases. In cases (i), (ii), and (iii) the last increasing phase suggests 505 that the available drug is not enough to fight the infection (Figure 8(left)). In Figure 506 8(right) we illustrate the effect of the dissolution rate α that regulates the amount of 507 available drug. While in the simulations of Figure 8(left) we use $\alpha = 10^{-4}$, in Figure 508 $8(\text{right}) \alpha = 1.5 \times 10^{-4}$. In this case the mass of bacterial drug evolves differently in 509 the three scenarios. The illustrations in this subsection suggest that 510

• asepsis conditions of surgeries are crucial: the fate of the medical device depends on the severity of initial contamination;

490



FIG. 9. Behavior of the bacterial mass coming from a remote preexisting body site infection during 10 hours.

513 514 • the contamination of adjacent tissues is harder to eliminate than the contamination located on the device.

4.2. Fate of the surgical procedure in presence of a preexisting remote
body site infection. We will consider in what follows that the infection is not due
to inoculation during the surgical procedure but to a preexisting infection focus. This
preexisting infection focus is represented in the mathematical model by the boundary
condition (6)

$$J_c(t)$$
. $\eta_2 = -\alpha_b c_{b,ext}(t)$ on $\partial \Omega_{2,r}, t \in (0,T]$

where $c_{b.ext}$ stands for the bacterial density of the remote preexisting focus.

It is assumed the remote infection is detected and therefore is being treated with an additional systemic antibacterial drug. The behavior of the mass of bacteria that reaches the boundary of the domain $\partial\Omega_{2,r}$ is represented in Figure 9.

In section 4.1 we have illustrated the influence of contamination during a surgical 527 procedure; in section 4.2 we consider an aseptic surgical operating room but the 528 existence of a remote site infection. A third situation is the simultaneous effect of 529 in situ contamination during the surgical procedure and the preexistence of a remote 530 body site infection. In Figure 10 we compare these three scenarios considering that 531 the initial bacterial contamination is $c_{ib} = 5$ and that the concentration of the remote 532 body site infection is represented in Figure 9. We conclude that, for the data used in 533 the simulations, the drug release from the surgical device is effective in fighting the 534 infection only in the case of device contamination. 535

We illustrate now the dependence of the bacterial population on some parametersof the model.

Bacterial concentration coming from a remote preexisting body- $c_{b.ext}$.

The influence of the bacterial concentration coming from a remote preexisting body site infection for two different magnitude is illustrated in Figure 11. As expected, a higher severity of the remote infection implies the presence of a larger amount of bacteria.

⁵⁴⁸ Tolerance: Activation level of β_b .

In Figure 12(left) is illustrated the behavior of the evolution of bacteria over 10 hours for different activation levels of the term β_b in (2). This term accounts for tolerance; that is, the ability of microorganisms to resist being killed by antibiotics. As biofilm forms, tolerance increases dramatically. We assume that biofilm forms on the interface polymer coating/tissue as the population density attains a certain



FIG. 10. Bacterial mass during 10 h for: a remote body site infection, a remote body site infection and occurrence of contamination during the surgical procedure, a contamination during the surgical procedure.



FIG. 11. Behavior of the bacterial mass coming from a remote preexisting body site infection
 for two different magnitude during 10 hours.

threshold. Two situations are simulated: the biofilm forms as the bacterial density is larger than $\bar{c}_b = 1$; the biofilm forms as the bacterial density surpasses $\bar{c}_b = 100$. It can be seen that the larger the density needed to form a biofilm is, the more efficient the antibacterial fight is.

⁵⁶² The race for the surface: The convection rate of the population.

In Figure 12(right) $\bar{c}_b = 100$ is fixed and the dependence on the convection rate is analyzed. Three different values are considered $u_0 = 5 \times 10^{-7}$, 3×10^{-7} , and 2×10^{-7} . The bacterial density is a decreasing function of u_0 . In fact when the population races for the surface, the bacteria kick the drug molecules: A small convection rate gives the colony a longer period to evolve before the action of drug is felt in the aggregate. *Resistance:* E_{max} of the antibacterial drug.

As mentioned before, the antibiotic resistance can be simulated by decreasing E_{max} . The influence of E_{max} on the bacterial mass during 10 hours is represented in Figure 13—for $E_{max} = 3$, 30 and considering the biofilm forms as the bacterial



FIG. 12. Comparison of the bacterial mass for two different minimal bacterial concentrations needed to form a biofilm—activation level of β_b : $\bar{c_b} = 1$ and $\bar{c_b} = 100$ —left; influence of the convection rate when $\bar{c_b} = 100$ —right.



561

FIG. 13. Influence of E_{max} on the bacterial mass during 10 h (with $\bar{c_b} = 100$).

density surpasses $\bar{c}_b = 100$. We remark that this parameter is responsible for the efficiency of the drug in fighting the infection. As expected, an increase of E_{max} leads to a decrease of the bacterial mass along time.

5. Conclusion. The insertion of permanent or nonpermanent invasive medical 575 devices is a common procedure in modern surgical practice. Diseases of all body sys-576 tems take benefit of these procedures—from catheters to heart valves, cardiovascular 577 stents, joint prostheses, therapeutic lenses, cochlear implants, ventricular assist de-578 vices, artificial hearts, or brain stimulators. However, the insertion of medical devices 579 predispose to infection due to two main reasons: epithelial barriers are damaged with 580 the surgical procedure and surfaces are a support for bacterial growth and biofilm for-581 mation. The most common cause of healthcare-associated infections can be attributed 582 to indwelling medical devices. As a consequence, worldwide nosocomial infections rep-583 resent a major public health problem. The sustained delivery of antibacterial drugs, 584 dispersed in the surface of medical devices, is one of the strategies that can have a 585 central role in the prevention of those hospital-acquired infections. Nonetheless, many 586 questions do not have a clear answer by now. To move forward the debate, we present 587 a mathematical model that governs the evolution of a bacterial population under the 588 action of an antibacterial drug and assumes a surgery acquired infection and/or a 589

⁵⁹⁰ preexisting infection in the patient. We believe this viewpoint that has been adopted, ⁵⁹¹ regarding the in situ origin of the infection and/or a remote origin of the infection, ⁵⁹² represents a contribution to advance our understanding of the problem.

The present paper has a double character. An applied character as the numerical 593 simulations provide some unexpected medical answers (sections 4.1 and 4.2) but also a 594 theoretical character as we establish a priori estimates for the bacterial concentration 595 and mass (section 3). These estimates exhibit upper bounds that provide meaningful 596 biological information. In Proposition 3.1 (surgical inoculation), the upper bound 597 represents a balance between the growth rate of the bacterial population, the action 598 of the drug, and the severity of the inoculation. In Proposition 3.2 the severity of a 599 preexistent infection appears as part of the balance. 600

- ⁶⁰¹ Concerning the medical outcomes, we analyze three different scenarios:
- ⁶⁰² 1. Contamination during the surgical procedure;
- 2. Existence of a remote body site infection or postsurgical acquired infection;
- Contamination during the surgical procedure and simultaneous existence of
 a remote body site infection or a postsurgical acquired infection.

Regarding 1, 2, and 3, our simulations suggest

- The severity of the postsurgical infection and the fate of the medical device depend on the degree of contamination of the indwelling device and the surgical procedure itself (Figures 5 and 6);
- The severity of the postsurgical infection and the fate of the medical device depend on the topology and location of the initial contamination (Figures 7 and 8);
- The local release of drug is more effective when a moderate contamination has occurred during the surgery; an infection in a remote body site or a postsurgery acquired hospital infection are not controlled by the local delivery even if a co-adjutant systemic antibiotherapy is used (Figure 10);
- The evolution of the infection depends on the threshold concentration the particular strain needs to form a biofilm (Figure 12(left));

We are aware that the problem of nosocomial infections is a complex one, involving 619 a multidisciplinary approach and multiple factors. Obviously only some of those 620 factors are considered in the model presented in the current paper. Consequently at 621 the present stage, the model should be viewed as a proof of concept, describing a 622 specific host-pathogen interaction. As the demand for indwelling medical devices is 623 expected to continuously grow during the next decade due to the increasing use of 624 minimally invasive surgeries, we trust now is the right time to study how concepts 625 and prototypes of a certain number of indwelling devices can handle with bacterial 626 infections. 627

628 **6. Annex.** Proof of Proposition 3.2.

In what follows we estimate $||c_b(t)||_{L^2(\Omega)}$, with $\Omega = \Omega_1 \cup \Omega_2$, where $||.||_{L^2(\Omega)}$ denotes the usual norm in $L^2(\Omega)$ associated with the usual inner product $(.,.)_{L^2(\Omega)}$. We consider that the free drug concentration that arises in the definition of F_b in Ω_i has a lower bound $\overline{c}_{d,i}$; that is, $c_{d,i}(t) \geq \overline{c}_{d,i}$ in $\Omega_i, i = 1, 2$. We also assume that $\partial \Omega_i, i = 1, 2$, are counterclockwise oriented.

634 Preexistence of a remote body site infection.

From (1) in Ω_1 we deduce

$$^{636} \quad \frac{1}{2} \frac{d}{dt} \|c_b(t)\|_{L^2(\Omega_1)}^2 = -\int_{\partial\Omega_1} J_{c_b}(t) \cdot \eta_1 c_b(t) ds - D_{b1} \|\nabla c_b(t)\|_{[L^2(\Omega_1)]^2}^2 + (F_b(t)c_b(t), c_b(t))_{L^2(\Omega_1)},$$

where $\|.\|_{[L^2(\Omega_1)]^2}$ denotes the usual norm in $[L^2(\Omega_1)]^2$ induced by the usual inner product $(.,.)_{[L^2(\Omega_1)]^2}$.

Using the boundary conditions on $\partial \Omega_{1,\ell}$, $\partial \Omega_{1,b}$, and $\partial \Omega_{1,t}$ we get 639

$$\begin{array}{ll} {}_{640} & (16) & \frac{1}{2} \frac{d}{dt} \| c_b(t) \|_{L^2(\Omega_1)}^2 = -\int_{\partial\Omega_{1,2}\uparrow} J_{c_b}(t) . \eta_1 c_b(t) ds - D_{b1} \| \nabla c_b(t) \|_{[L^2(\Omega_1)]^2}^2 \\ & + (F_b(t) c_b(t), c_b(t))_{L^2(\Omega_1)}. \end{array}$$

From (1) in Ω_2 we establish 643

$$\frac{1}{2} \frac{d}{dt} \|c_b(t)\|_{L^2(\Omega_2)}^2 = \int_{\partial\Omega_2} -J_{c_b}(t) \cdot \eta_2 c_b(t) ds - D_{b2} \|\nabla c_b(t)\|_{[L^2(\Omega_2)]^2}^2 - (uc_b(t), \nabla c_b(t))_{[L^2(\Omega_2)]^2} + (F_b(t)c_b(t), c_b(t))_{L^2(\Omega_2)}.$$

From the symmetric boundary conditions for $c_b(t)$ on $\partial \Omega_{2,t}$ and $\partial \Omega_{2,b}$ we easily obtain 647

$$\frac{1}{2} \frac{d}{dt} \|c_b(t)\|^2_{L^2(\Omega_2)} = \int_{\partial\Omega_{1,2}\downarrow} -J_{c_b}(t) \cdot \eta_2 c_b(t) ds - \int_{\partial\Omega_{2,r}\uparrow} J_{c_b}(t) \cdot \eta_2 c_b(t) ds - D_{b2} \|\nabla c_b(t)\|^2_{[L^2(\Omega_2)]^2} - (uc_b(t), \nabla c_b(t))_{[L^2(\Omega_2)]^2} + (F_b(t)c_b(t), c_b(t))_{L^2(\Omega_2)}.$$

As we also have 651

$$^{652} \qquad -(uc_b(t), \nabla c_b(t))_{[L^2(\Omega_2)]^2} = -\frac{u_0}{2} \int_{\partial\Omega_{2,r}\uparrow} c_b^2(t) ds - \frac{u_0}{2} \int_{\partial\Omega_{1,2}\downarrow} c_b^2(t) ds,$$

then, taking into account the boundary condition for c_b on $\partial\Omega_{2,r}$, we deduce successions 653 sively 654

$$\begin{array}{ll} {}_{655} & \frac{1}{2} \frac{d}{dt} \|c_b(t)\|^2_{L^2(\Omega_2)} = \int_{\partial\Omega_{1,2\downarrow}} -J_{c_b}(t) .\eta_2 c_b(t) ds + \alpha_b \int_{\partial\Omega_{2,r\uparrow}} c_{b,ext}(t) c_b(t) ds \\ & - \frac{u_0}{2} \int_{\partial\Omega_{2,r\uparrow}} c_b^2(t) ds - \frac{u_0}{2} \int_{\partial\Omega_{1,2\downarrow}} c_b^2(t) ds \end{array}$$

$$-\frac{a_0}{2}\int_{\partial\Omega_{2,r'}}$$

657
$$-D_{b2} \|\nabla c_b(t)\|_{[L^2(\Omega_2)]^2}^2 + (F_b(t)c_b(t), c_b(t))_{L^2(\Omega_2)}$$

$$\leq \int_{\partial\Omega_{1,2}\downarrow} -J_{c_b}(t).\eta_2 c_b(t)ds + \frac{\alpha_b^2}{4\delta^2} \int_{\partial\Omega_{2,r}\uparrow} c_{b,ext}^2(t)ds - D_{b2} \|\nabla c_b(t)\|_{[L^2(\Omega_2)]^2}^2$$

$$+ (F_b(t)c_b(t), c_b(t))_{L^2(\Omega_2)} + \left(\delta^2 - \frac{u_0}{2}\right) \int_{\partial\Omega_{2,r}\uparrow} c_b^2(t) ds - \frac{u_0}{2} \int_{\partial\Omega_{1,2}\downarrow} c_b^2(t) ds,$$

where $\delta \neq 0$ is an arbitrary constant. 661

Using the trace inequality 662

⁶⁶³
$$\|c_b(t)\|^2_{L^2(\partial\Omega_2)} \le T_r \Big(\|c_b(t)\|^2_{L^2(\Omega_2)} + \|\nabla c_b(t)\|^2_{[L^2(\Omega_2)]^2}\Big),$$

we obtain 664

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6

$$\begin{aligned} & \underset{665}{}^{665} & \quad \frac{1}{2} \frac{d}{dt} \| c_b(t) \|_{L^2(\Omega_2)}^2 \\ & \underset{666}{}^{666} & \quad \leq \int_{\partial\Omega_{1,2\downarrow}} -J_{c_b}(t) .\eta_2 c_b(t) ds + \left(-D_{b2} + \left(\delta^2 - \frac{u_0}{2} \right) T_r + \frac{u_0}{2} T_r \right) \| \nabla c_b(t) \|_{[L^2(\Omega_2)]^2}^2 \\ & \quad + \left(\left(\delta^2 - \frac{u_0}{2} \right) T_r + \frac{u_0}{2} T_r \right) \| c_b(t) \|_{L^2(\Omega_2)}^2 + (F_b(t) c_b(t), c_b(t))_{L^2(\Omega_2)} \\ & \quad + \frac{\alpha_b^2}{4\delta^2} \int_{\partial\Omega_{2,r\uparrow}} c_{b,ext}^2(t) ds; \end{aligned}$$

that is, 670

$$(17)$$

$$\frac{1}{2} \frac{d}{dt} \|c_b(t)\|_{L^2(\Omega_2)}^2 \leq \int_{\partial\Omega_{1,2\downarrow}} -J_{c_b}(t) \cdot \eta_2 c_b(t) ds + (-D_{b2} + \delta^2 T_r) \|\nabla c_b(t)\|_{[L^2(\Omega_2)]^2}^2$$

$$+ \delta^2 T_r \|c_b(t)\|_{L^2(\Omega_2)}^2 + (F_b(t)c_b(t), c_b(t))_{L^2(\Omega_2)} + \frac{\alpha_b^2}{4\delta^2} \int_{\partial\Omega_{2,r}\uparrow} c_{b,ext}^2(t) ds$$

In the previous inequality δ satisfies $\delta^2 > u_0$. From (16) and (17), taking into 674 account the continuity of $c_b(t)$ on $\partial\Omega_{1,2}$, the interface condition for the bacterial fluxes 675 on the interface $\partial \Omega_{1,2}$ and the fact that the line integral does not depend on path 676 directions, we get 677

(18)

$$\frac{1}{2} \frac{d}{dt} \|c_b(t)\|_{L^2(\Omega)}^2 + \min\{D_{b1}, D_{b2} - \delta^2 T_r\} \|\nabla c_b(t)\|_{[L^2(\Omega)]^2}^2 \le (F_b(t)c_b(t), c_b(t))_{L^2(\Omega)} + \delta^2 T_r \|c_b(t)\|_{L^2(\Omega_2)}^2 + \frac{\alpha_b^2}{4\delta^2} \int_{\partial\Omega_{2,r}\uparrow} c_{b,ext}^2(t) ds.$$

Then taking into account that $c_{b,i}(t) \ge 0, i = 1, 2$, we obtain 681

$$(F_b(t)c_b(t), c_b(t))_{L^2(\Omega)} = \sum_{i=1}^2 \int_{\Omega_i} \left(E_0 \left(1 - \frac{c_{b,i}(t)}{c_{b,max}} \right) - E_{max} e^{-\beta_b t} \frac{c_{d,i}}{c_{50} + c_{d,i}} \right) c_{b,i}^2 d\omega$$

$$\leq \sum_{i=1}^2 \left(E_0 - E_{max} e^{-\beta_b T_f} \frac{\bar{c}_{d,i}}{c_{50} + \bar{c}_{50}} \right) \|c_{b,i}(t)\|_{L^2(\Omega_i)}^2$$

$$\leq \sum_{i=1} \left(E_0 - E_{max} e^{-\beta_b T_f} \frac{c_{d,i}}{c_{50} + \bar{c}_{d,i}} \right) \|c_{b,i}(t)\|_{L^2(\Omega_i)}^2$$

$$\underset{684}{_{685}} \leq \max_{i=1,2} \left(E_0 - e^{-\beta_b T_f} E_{max} \frac{\bar{c}_{d,i}}{c_{50} + \bar{c}_{d,i}} \right) \|c_b(t)\|_{L^2(\Omega)}^2$$

Considering the last upper bound in (18) we deduce 686

(19) $\frac{1}{2}\frac{d}{dt}\|c_b(t)\|_{L^2(\Omega)}^2 + \min\{D_{b1}, D_{b2} - \delta^2 T_r\}\|\nabla c_b(t)\|_{[L^2(\Omega)]^2}^2 \le \frac{\alpha_b^2}{4\delta^2} \int_{\partial\Omega_{a-1}} c_{b,ext}^2(t)ds$ 687 $+ \theta \|c_b(t)\|_{L^2(\Omega_2)}^2, t \in (0, T_f],$ 688 689

with 690

691 (20)
$$\theta = \delta^2 T_r + \max_{i=1,2} \left(E_0 - E_{max} e^{-\beta_b T_f} \frac{\bar{c}_{d,i}}{c_{50} + \bar{c}_{d,i}} \right).$$

Inequality (19) leads to the result present in Proposition 3.2. 693

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