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To cite this article: Filippo Glerean *et al* 2019 *J. Phys. B: At. Mol. Opt. Phys.* **52** 145502

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# Quantum model for impulsive stimulated Raman scattering

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Received 26 November 2018, revised 25 January 2019

Accepted for publication 1 March 2019

Published 27 June 2019



## Abstract

The interaction between ultrashort light pulses and non-absorbing materials is dominated by impulsive stimulated Raman scattering (ISRS). The description of ISRS in the context of pump&probe experiments is based on effective classical models describing the interaction between the phonon and pulsed electromagnetic fields. Here we report a theoretical description of ISRS where we do not make any semi-classical approximation and we treat both photonic and phononic degrees of freedom at the quantum level. The results of the quantum model are compared with semiclassical results and validated by means of spectrally resolved pump&probe measurements on  $\alpha$ -quartz.

Supplementary material for this article is available [online](#)

Keywords: coherent phonon, impulsive stimulated Raman scattering, ultrafast, quantum phonon photon interaction

(Some figures may appear in colour only in the online journal)

## 1. Introduction

The excitation and measurement of coherent lattice (or molecular) vibrations in time domain experiments rely on the possibility of using ultrashort optical pulses in pairs, one as a pump and a second as a probe. The pump should be capable of injecting energy into the phonon modes on time scales shorter than the inverse of the phonon frequency, while the probe should be short enough to measure the time evolution of this state with time. In this limit, photoexcitation produces coherent vibrational states whose dissipative dynamics can be directly accessed by pump&probe experiments [1–8].

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The processes for transferring energy from the optical pulse to the phonons depends strongly on the nature of the material. In absorbing systems, the whole light-matter interaction processes should be described taking into account that the dissipative dynamics affecting the photo-excited electrons, which mediate the energy transfer from the light pulse to lattice excitations, may play a crucial role [9–15]. The situation is simpler in ‘transparent materials’, i.e. in materials where there is no dipole allowed electronic transitions available in the frequency range of the ultrashort pulses. In this limit, the interaction between the latter and the vibrational modes is a coherent process, where dissipative electron dynamics can be neglected and the whole process can be described effectively as a direct coupling between the ultrashort pulses and the phonon modes.

In this limit the interaction is dominated by processes dubbed impulsive stimulated Raman scattering (ISRS) [16, 17]. ISRS takes place whenever a sufficiently short laser pulse (i.e. characterized by a multimode frequency spectrum

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larger than the phonon frequency) propagates through a Raman-active medium. In this limit, components of the electric field at different frequency can interact through ISRS if the difference between their photon energy matches the energy of a phonon mode. More formally, ISRS can be described as a coherent process mixing three frequencies<sup>6</sup> where the stimulated annihilation (creation) of a photon of frequency  $\omega$  occurs simultaneously to the creation (annihilation) of one at frequency  $\omega \pm \Omega$ . The overall process can create (Stokes) or annihilate (anti-Stokes) an excitation in the system and thereby result in lattice excitations. Note that in literature the term ISRS is often used to describe the whole pump and probe measurement process, while here we use it solely to indicate the physical process describing photon–phonon interaction. We will apply ISRS separately to describe how coherent lattice vibrations can be produced (pump) and measured (probe) by ISRS.

In this paper we study the leading processes occurring in a pump&probe experiment in transparent materials. From the interaction energy, given as a scalar product of the electric and the polarization fields, we construct the quantum Raman Hamiltonian which rules the bulk dynamics. In addition to this, we consider a modulation of the refractive index of the material that we refer to as linear refractive modulation (LRM). The acronym highlights the fact that LRM consists of a ‘Linear’<sup>7</sup> interaction of the probe with a material whose refractive index is modulated in time by the evolving atomic position. We stress that while ISRS is a nonlinear process coupling different spectral components of the pulses, LRM does not mix different probe frequencies.

Our approach provides a formalism to describe the fundamental differences between the ISRS and the LRM. LRM amounts to a modulation of the refractive index induced by the instantaneous position of the atoms (phonon position operator,  $q$ ) which does not couple different photonic mode operators ( $a_j$ ), but induces a change in transmittivity or polarization which is uniform with respect to the spectral components. Conversely, ISRS is a nonlinear process that produces a shift of the spectral weights relative to different photonic modes in the probe pulses [18] and follows the phonon momentum operator,  $p$ . ISRS and LRM give rise to a time-oscillation of the response with the same frequency but shifted in phase and, more importantly, with different spectral content. The two processes are often observed simultaneously and can result in composite responses. We validate the proposed quantum model by comparing the results with those obtained by classical calculations [19, 20] and with the outcomes of pump&probe experiments in  $\alpha$ -quartz providing time and spectral resolution of the probe pulses. Further, we show that it is possible to disentangle experimentally LRM and ISRS effects in pump&probe experiments by selecting a

proper combination of polarizations exploiting the symmetry of the crystal [21].

The paper is structured as follows. In section 2 we describe the quantum model for light-matter interaction. In particular, we distinguish between the peculiar characteristics of LRM and ISRS not always recognized in the literature [20, 22]. In section 3 we apply the general model already discussed in the context of pump&probe experiments, highlighting similarities and differences between the pumping and the probing processes, mainly due to the different vibrational target states before the photon–phonon interaction. In section 4 the results are validated by means of spectrally resolved pump&probe experiments on  $\alpha$ -quartz, where combination between pump and probe polarizations allow for the experimentally accessible distinction of ISRS and LRM processes. Finally, we conclude with some remarks and new perspectives offered by the fully quantum treatment of time-domain experiments [6, 23–26].

## 2. Light-phonon interaction

A dielectric medium is polarized by an electromagnetic wave propagating through it. The components of the polarization field  $\vec{P}$  are expressed in terms of the impinging electric field  $\vec{E}$  and the material susceptibility tensor  $\chi$ :

$$P_\lambda = \epsilon_0 \sum_{\lambda'} \chi_{\lambda\lambda'} E_{\lambda'}, \quad (1)$$

where  $\epsilon_0$  is the electric permittivity of the vacuum. One of the fundamental ingredients of the whole discussion is the susceptibility tensor dependence on the lattice deformations, i.e.

those caused by excited vibrational modes. Considering tiny displacements out of the equilibrium position, the susceptibility can be perturbatively expanded around its initial value  $\chi^{(0)}$  as a function of the lattice normal modes coordinates  $q_n$ , also referred to as phonon positions ( $n$  labels the mode) [27, 28]:

$$\chi_{\lambda\lambda'}(q_1, \dots, q_N) = \chi_{\lambda\lambda'}^{(0)} + \sum_n \chi_{\lambda\lambda'}^{(1)}(n) q_n, \quad (2)$$

where we defined  $\chi_{\lambda\lambda'}^{(1)}(n) := (\delta\chi/\delta q_n)_{\lambda\lambda'}$  the components of the rank three nonlinear susceptibility tensor  $\chi^{(1)}$  and  $\lambda$  is the polarization index. In order to simplify the notation, in the following we neglect the summation over  $n$  and discuss the interaction of a single phononic mode with light. The characteristic structure of different modes will be highlighted in the last part of the paper, where we consider the specific case of quartz and compare the experimental evidences with the model predictions.

The refractive index depends on the susceptibility,  $n = \sqrt{1 + \chi}$ . This result in a modulation of the material refractive properties as a function of the phonon position operator to be introduced below<sup>8</sup>.

The explicit form of the bulk Hamiltonians is obtained from the energy density required to establish the polarization

<sup>6</sup> The process occurs simultaneously between all pairs of frequencies and therefore the resulting electric field at frequency  $\omega$  is influenced by both the electric field components at  $\omega \pm \Omega$ .

<sup>7</sup> We note that the overall process is describing nonlinear responses in the susceptibility, but the term ‘linear’ is used to clarify that no frequency mixing of the probe spectral components occurs.

<sup>8</sup> In this paper we only take into account the refractive effects involving the transmitted light fields. We note that extending the presented formalism to the reflective degrees of freedom also their response can be treated.

$\vec{P}$  in a dielectric sample, which is given by [28]

$$U(\vec{x}, t) = -\vec{P}(\vec{x}, t) \cdot \vec{E}(\vec{x}, t) = -\epsilon_0 \sum_{\lambda\lambda'} \chi_{\lambda\lambda'} E_{\lambda'}(\vec{x}, t) E_{\lambda}(\vec{x}, t). \quad (3)$$

From this expression, substituting the susceptibility as in (2) and quantizing the electric field, we can single out two main contributions to the photo-phonon interaction (see supplementary material is available online at [stacks.iop.org/JPB/52/145502/mmedia](https://stacks.iop.org/JPB/52/145502/mmedia)) [29]. The first term, which we dub *refractive*, is given by:

$$H_{Ref} = -\frac{V_S}{2V} \sum_{\lambda\lambda',j} \omega_j (\chi_{\lambda\lambda'}^{(0)} + q\chi_{\lambda\lambda'}^{(1)}) (a_{\lambda'j}^\dagger a_{\lambda j} + a_{\lambda j} a_{\lambda'j}^\dagger), \quad (4)$$

where  $V_S$  and  $V$  are the sample and quantization volumes and  $\omega_j$  the photon frequencies indexed by  $j$ .  $H_{Ref}$  describes the redistribution of photons between the two polarizations which is mediated by the static birefringence ( $\chi^{(0)}$ ) and the time dependent contribution ( $\chi^{(1)}$ ). The latter being ruled by the instantaneous atomic position as highlighted in (2).

The second term contributing to the Hamiltonian, dubbed *Raman*, is given by:

$$H_{Ram} = -\frac{\sqrt{V_S}}{2V\sqrt{2m\Omega}} \sum_{\lambda\lambda',j} \omega_j \chi_{\lambda\lambda'}^{(1)} [(a_{\lambda'j+\frac{\Omega}{\delta}}^\dagger a_{\lambda j+\frac{\Omega}{\delta}}) b^\dagger + (a_{\lambda j+\frac{\Omega}{\delta}} a_{\lambda'j+\frac{\Omega}{\delta}}^\dagger) b], \quad (5)$$

where  $\Omega$  is the phonon frequency and  $m$  its effective mass. Considering the photonic (a) and phononic (b) ladder operators, in the two terms of  $H_{Ram}$  represent the Stokes and Anti-Stokes. Photons with energy  $\omega_j$  and polarization  $\lambda$  are destroyed by  $a_{\lambda j}$  and photons of energy  $\omega_j \pm \Omega$  and polarization  $\lambda'$  are created by  $a_{\lambda'j\pm\frac{\Omega}{\delta}}^\dagger$ , together with the emission ( $b^\dagger$ ) and annihilation ( $b$ ) of a phonon, respectively.

We stress that  $H_{Ref}$  and  $H_{Ram}$  are representative of the major effects observed in experiments. In particular,  $H_{Ref}$  acts as a beamsplitter relocating photons at a fixed frequency between the two polarizations, that does not imply an effective transfer of energy between the light and the sample. Conversely,  $H_{Ram}$  involves the exchange of a quantum of the elastic energy between the light pulse and the crystal, which results in a transfer of spectral weight between different spectral components.

The system dynamics is obtained acting with the combination of  $H_{Ref}$  and  $H_{Ram}$ , considering the pulse-sample interaction time ( $\tau$ ) shorter than the phonon oscillation period.

Concerning the initial states, we describe the impinging light pulse as a multimode coherent state  $|\alpha\rangle$ , where  $\alpha$  stands for the vector with components  $\alpha_{\lambda j}$ , given by

$$|\alpha\rangle = \exp\left(\sum_{\lambda j} \alpha_{\lambda j} a_{\lambda j}^\dagger - \alpha_{\lambda j}^* a_{\lambda j}\right) |0\rangle, \quad a_{\lambda j} |\alpha\rangle = \alpha_{\lambda j} |\alpha\rangle, \quad (6)$$

with annihilation and creation operators of photonic modes  $a_{\lambda j}$  and  $a_{\lambda j}^\dagger$  such that  $[a_{\lambda j}, a_{\lambda'k}^\dagger] = \delta_{jk} \delta_{\lambda\lambda'}$ , where  $|0\rangle$  is the vacuum state and  $\lambda, j$  are the polarization and frequency indices,

respectively. In particular, we consider a set of modes centered around the frequency  $\omega_0$  and spaced by  $\delta$ :  $\omega_j = j\delta + \omega_0$ .

The phononic degree of freedom, (we provide the general model considering only one vibrational mode), is described through the creation and annihilation operators  $b$  and  $b^\dagger$ , satisfying the commutation relation  $[b, b^\dagger] = 1$ . Accordingly, the position and momentum phonon operators are defined as linear combinations of  $b$  and  $b^\dagger$ :

$$q = \frac{1}{\sqrt{2m\Omega V_S}} (b + b^\dagger), \quad p = \sqrt{\frac{m\Omega}{2V_S}} i(b^\dagger - b), \quad (7)$$

where  $\Omega$  is the frequency of the mode,  $m$  is the effective mass and  $V_S$  is the volume of the sample. Using this notation, in the following we discuss separately the two different effects, LRM and ISRS, commenting on how they modify the transmitted light and the phononic phase-space.

### 2.1. Refractive modulation

$H_{Ref}$ , (4), describes the redistribution of photons between the two polarizations.

We exploit the first order expansion of the matrix  $\chi$  in (2) to split the refractive Hamiltonian in an equilibrium term

$$H_{Ref}^{(0)} = -\frac{V_S}{2V} \sum_{\lambda\lambda',j} \omega_j \chi_{\lambda\lambda'}^{(0)} (a_{\lambda'j}^\dagger a_{\lambda j} + a_{\lambda j} a_{\lambda'j}^\dagger), \quad (8)$$

and a dynamical one

$$H_{Ref}^{(1)} = -\frac{V_S}{2V} q \sum_{\lambda\lambda',j} \omega_j \chi_{\lambda\lambda'}^{(1)} (a_{\lambda'j}^\dagger a_{\lambda j} + a_{\lambda j} a_{\lambda'j}^\dagger). \quad (9)$$

$\chi^{(0)}$  is the equilibrium susceptibility, that is without phonon excitation, which describes static refractive effects like polarization rotation and birefringence. In particular, we consider the case of an isotropic sample with an hermitean susceptibility of the form

$$\chi^{(0)} = \begin{pmatrix} u & |w|e^{i\phi} \\ |w|e^{-i\phi} & u \end{pmatrix}, \quad (10)$$

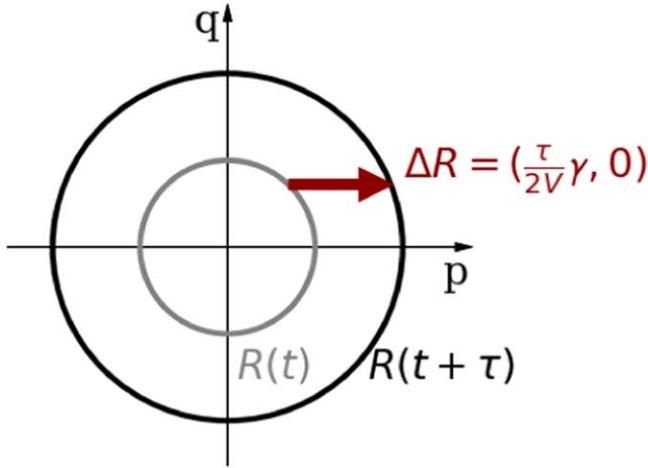
where  $|w|$  and  $\phi$  quantify respectively the polarization rotation and ellipticity induced in a linearly polarized input beam.

The phonon related nonlinear susceptibility coefficients  $\chi_{\lambda\lambda'}^{(1)}$  are assumed real, such that  $\chi_{\lambda\lambda'}^{(1)} = \chi_{\lambda'\lambda}^{(1)}$ , and small in absolute value, so they represent a perturbative modification of the equilibrium tensor.

In the experimental realization the polarization time domain changes are measured with an analyzer aligned along a reference frame defined in order to compensate the equilibrium rotation from the sample. In the model, we account for this with an additional term in the Hamiltonian (supplementary material).

### 2.2. Impulsive stimulated Raman scattering

The energy modulation in the sample is modeled by the Raman Hamiltonian,  $H_{Ram}$  (5).



**Figure 1.** Phase space representation of the Raman interaction between light and phonon. The circular trajectory is the one followed by the free evolution of the coherent phonon, modeled as an harmonic oscillator. Light-phonon imparts a positive momentum displacement (arrow) modifying the radius of the phonon trajectory.

The evolution of the phononic operator  $b$  reads

$$b(\tau) = b(0) + i \frac{\tau \sqrt{V_S}}{2V \sqrt{2m\Omega}} g, \quad (11)$$

where  $g = \sum_{\lambda\lambda'j} \chi_{\lambda\lambda'}^{(1)} \omega_j a_{\lambda j}^\dagger a_{\lambda'j + \frac{\Omega}{\delta}}$ .

Which in turn gives mean values of the phonon phase-space variables position  $q$  and momentum  $p$ , modified with respect to a generic initial state as

$$\begin{cases} \langle q(\tau) \rangle = \langle q(0) \rangle, \\ \langle p(\tau) \rangle = \langle p(0) \rangle + \frac{\tau}{2V} \gamma, \end{cases} \quad (12)$$

with  $\gamma = \langle g \rangle = \sum_{\lambda\lambda'j} \chi_{\lambda\lambda'}^{(1)} \omega_j |\alpha_{\lambda j}| |\alpha_{\lambda'j + \frac{\Omega}{\delta}}|$ .

This shows that the result of a sudden Raman interaction is a displacement along the momentum axis, as depicted in figure 1. The squared radius  $R^2$  gives the mean value of the phonon number  $N = b^\dagger b$ , which, to second order in the  $\tau \chi^{(1)}$  coupling parameter, results

$$\langle N(\tau) \rangle = \langle N(0) \rangle + \frac{\tau V_S}{2V m \Omega} \gamma \langle p(0) \rangle + \frac{\tau^2 V_S}{8V^2 m \Omega} \langle g^\dagger g \rangle. \quad (13)$$

We notice that the first order contribution depends on the value of the momentum  $p$  before the interaction, while the second order term is proportional to the mean value of the operator  $g^\dagger g$ , which equals  $\gamma^2$  if light states are classical (coherent states such that  $|\alpha|^2 \gg 1$ ). The second order term is usually negligible with respect to the first one unless  $\langle p(0) \rangle = 0$ .

The effects on the phononic degrees of freedom have their counterparts on the photonic ones. The intensity of the transmitted light at a certain frequency  $\omega_j$  and polarization  $\lambda$ ,

computed as  $\langle I_j(\tau) \rangle := \langle a_{\lambda j}^\dagger(\tau) a_{\lambda j}(\tau) \rangle$  reads

$$\begin{aligned} \langle I_j(\tau) \rangle = \langle I_j(0) \rangle + \frac{\tau V_S}{2V m \Omega} \sum_{\lambda'} \chi_{\lambda\lambda'}^{(1)} \omega_j |\alpha_{\lambda j}| \\ \times (|\alpha_{\lambda'j + \frac{\Omega}{\delta}}| - |\alpha_{\lambda'j - \frac{\Omega}{\delta}}|) \left( \langle p(0) \rangle + \frac{\tau}{4V} \gamma \right) \\ + \tau^2 \gamma'_j. \end{aligned} \quad (14)$$

In (14) the term in  $\langle p(0) \rangle$  results from first order contributions and is proportional to the difference in amplitude between the modes corresponding to the frequencies  $\omega_j + \Omega$  and  $\omega_j - \Omega$ . The terms in  $\gamma$  and  $\gamma'$  result from second order interaction. Among them one can recognize a contribution with a structure similar to the first order ( $\langle p(0) \rangle$  substituted by  $\gamma$ ) and a further one  $\gamma'_j$  which depends on the mean-values of squared phonon operators (see supplementary material for  $\gamma'$  full derivation).

Equipped with this general machinery, we now proceed to study in detail the quantum signatures in pump&probe experiments.

### 3. Pump and probe approach

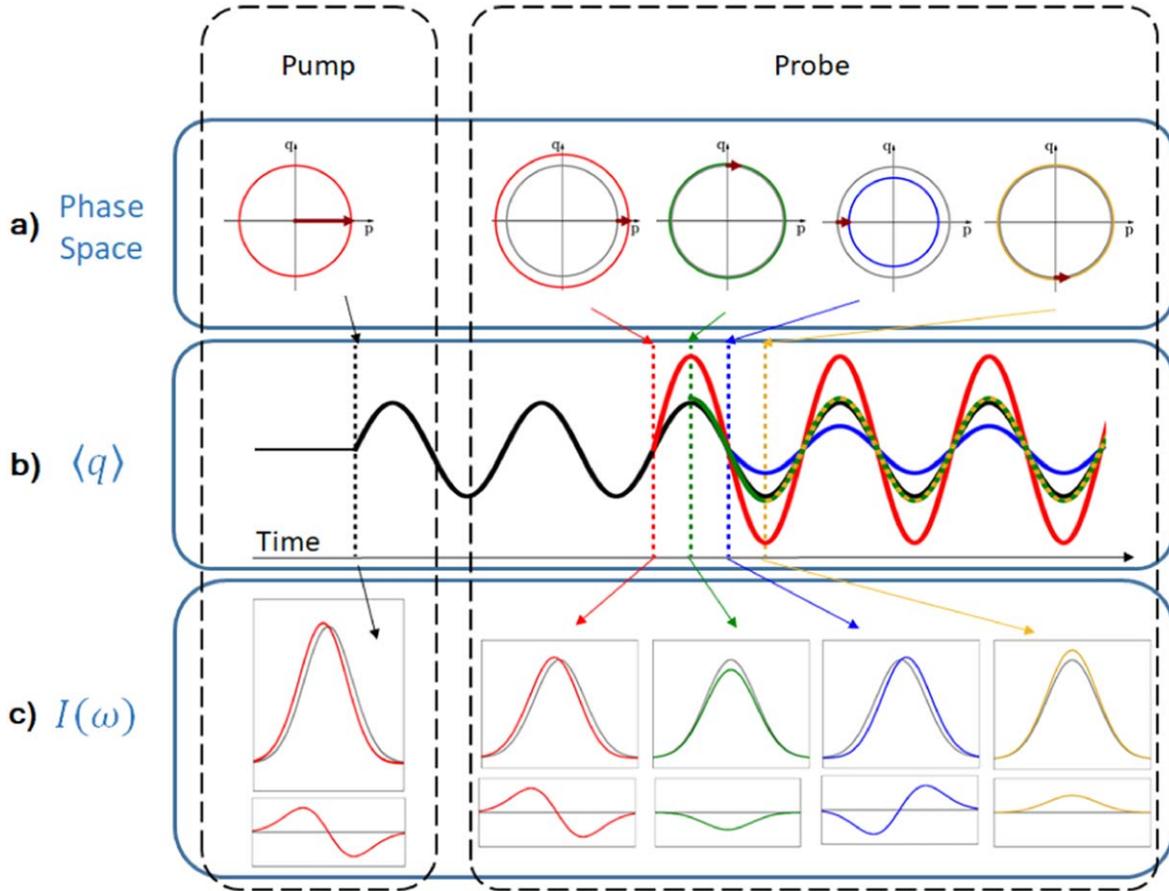
Pump&probe experiments provide standard techniques in time-resolved spectroscopy, whereby a first intense laser pulse (the pump) excites the vibrational degrees of freedom of a sample and a second pulse, less intense, is used to probe non-equilibrium features. By repeating the experiment at different time-delays between pump and probe, one can retrieve information about the phonon dynamics in the sample.

In the following, we describe how the theoretical model presented in the previous section applies in this framework, highlighting the different effects due to the pump and the probe pulses. We will consider the pump acting on the phononic equilibrium state at a reference time  $t = 0$ , and study the probe response as a function of the delay time  $t$ . In particular, we focus on frequency and polarization resolved intensity measurements, that we can describe through the LRM and ISRS effects. Figure 2 shows a sketch of pump&probe interactions with reference to the phonon phase space description.

#### 3.1. Pump-target interaction

We assume the pump impinging on the sample at equilibrium, where the phononic position and momentum have zero average ( $\langle q(0) \rangle = \langle p(0) \rangle = 0$ ) (figure 2, left). This is the case for instance if the initial state of the vibrational degrees of freedom has a thermal distribution.

The ISRS effect on the intensities of the different frequency components of the pump pulses are here evaluated neglecting the equilibrium LRM. The first order term is null because of  $\langle p(0) \rangle = 0$  and we also neglect the term  $\gamma_j^{\prime pump}$  because the phonon population is negligible with respect to the



**Figure 2.** Summary of the predicted effects. (a) Pump and probe induced displacements (arrow) describe the effect on the vibrational energy  $\left(\frac{\langle p^2 \rangle}{2m} + \frac{1}{2}m\Omega^2\langle q^2 \rangle\right)$ . Depending on the phase space coordinates at the interaction time the phonon oscillation (b) can be amplified (red) or damped (blue). The corresponding effect on the transmitted pulse spectra (c) is a *red-shift* or *blue-shift*, respectively. The energy exchange is most important at the momentum extremes. At the extremal positions the oscillation is negligibly amplified and the relevant effect is a modulation of the transmittivity which does not change the spectral content (green and gold).

photon number. The transmitted pump intensity is given by

$$\begin{aligned} \langle I_{\lambda_j}^{pump}(\tau) \rangle_0 &= \langle I_{\lambda_j}^{pump}(0) \rangle_0 \\ &+ \frac{\tau^2 V_S}{8V^2 m \Omega} \gamma^{pump} \sum_{\lambda'} \chi_{\lambda\lambda'}^{(1)} \omega_j |\alpha_{\lambda_j}^{pump}| (|\alpha_{\lambda'j+\frac{\Omega}{8}}^{pump}| - |\alpha_{\lambda'j-\frac{\Omega}{8}}^{pump}|), \end{aligned} \quad (15)$$

where  $\langle I_{\lambda_j}^{pump}(0) \rangle_0$  is the intensity of the spectral components priorly to the interaction and the second term can be interpreted as an effective red-shift of the pulse spectrum. Indeed, assuming the incoming pulse to have a Gaussian spectrum centered in  $\omega_0$ , equation (15) implies that modes with frequency smaller than  $\omega_0$  are amplified (because the difference  $|\alpha_{\lambda'j+\frac{\Omega}{8}}^{pump}| - |\alpha_{\lambda'j-\frac{\Omega}{8}}^{pump}|$  is positive), while modes with frequency higher than  $\omega_0$  are suppressed. This description rationalizes well the pump red-shift observed in experiments [16].

Correspondingly, according to (12), the phonon system is shifted from the origin of the phase space ( $\langle q(0) \rangle_0 = 0$ ,  $\langle p(0) \rangle_0 = 0$ ) along the momentum axis to a trajectory of radius

$$R \equiv \langle p(\tau) \rangle_0 = \frac{\tau}{2V} \gamma^{pump}. \quad (16)$$

### 3.2. Probe-target interaction

We consider the probe interacting at an arbitrary delay-time  $t$ . Considering that the excitation starts when the pump interacts ( $t=0$ ), at negative times the probe still sees the system in equilibrium. Afterwards, the considered phonon mode is excited and the atomic positions oscillate at the corresponding phonon frequency  $\Omega$ . In the following, we neglect dissipative effects occurring in the time-interval between the action of the two pulses, the evolution being described by the Hamiltonian of a free quantum harmonic oscillator. As a consequence, the initial conditions for the probe interaction at a given time delay ( $t$ ) are:

$$\begin{cases} t < 0, & \langle q(0) \rangle_t = \langle p(0) \rangle_t = 0 \\ t > 0, & \langle q(0) \rangle_t = \frac{R}{m\Omega} \sin(\Omega t), \quad \langle p(0) \rangle_t = R \cos(\Omega t). \end{cases} \quad (17)$$

In the following we consider only positive delay-times  $t > 0$  (figure 2, right dashed box) and estimate the dynamical intensity modulation  $\langle \Delta I_{\lambda_j}^{probe} \rangle_t = \langle I^{probe}(\tau)_{\lambda_j} \rangle_t - \langle I^{probe}(\tau)_{\lambda_j} \rangle_{t=0}$  with respect to the unperturbed condition (negative times).

Applying  $H_{Ref}$  up to first order in  $\tau\chi^{(1)}$ , the dynamical response due to the LRM effect is dependent on the phonon position and reads

$$\begin{aligned} \langle \Delta I_{\lambda_j}^{probe} \rangle_{Ref} \Big|_t &= -\frac{V_S}{2V} \sum_{\lambda'\lambda''} \langle \mathbf{K}(\tau, \chi^{(0)}, \chi^{(1)})_{\lambda, \lambda', \lambda''} |\alpha_{\lambda'j}| |\alpha_{\lambda''j}| \langle q(0) \rangle_t, \end{aligned} \quad (18)$$

where all the terms which take account of the equilibrium refractive properties are collected in the  $\mathbf{K}$  tensor (these are explicitly calculated in the supplementary materials). Equation (18) represents an intensity modulation of the transmitted light which is modified by the instantaneous position of the atoms.

For the ISRS, (14), contribution only, we obtain an explicit expression for the resulting intensity as a function of phonon momentum

$$\begin{aligned} \langle \Delta I_{\lambda_j}^{probe} \rangle_{Ram} \Big|_t &= +\frac{\tau V_S}{2V m \Omega} \sum_{\lambda'} \chi_{\lambda\lambda'}^{(1)} \omega_j |\alpha_{\lambda_j}^{probe}| (|\alpha_{\lambda'j+\frac{\Omega}{\delta}}^{probe}| - |\alpha_{\lambda'j-\frac{\Omega}{\delta}}^{probe}|) \langle p(0) \rangle_t, \end{aligned} \quad (19)$$

where we have neglected the second order ISRS terms considering that  $\gamma^{probe} \ll \langle p(0) \rangle_t$  due to  $|\alpha^{pump}| \gg |\alpha^{probe}|$ . In the following section and in the supplementary materials also the combined action of Raman ( $H_{Ram}$ ) and refractive equilibrium effects ( $H_{Ref}^{(0)}$ ) is considered.

We will use these expressions as a benchmark for the model, comparing the predicted results with experimental data for the probe transmitted intensity. In figure 2 the expected probe spectral modulations are highlighted for the phonon position and momentum extremes.

#### 4. Case study: quartz

In the model developed so far, we considered only one phononic mode of frequency  $\Omega$ . The generalization to many phononic modes is straightforward. However, since we are interested only in the first order corrections to the transmittivity of the probe pulse, we can add the contribution of different phononic modes independently. In other words, the coupling between different phononic modes would be a higher order effect.

We now discuss the case study of  $\alpha$ -quartz excited along its  $c$ -axis [30, 31]. In this setting, three different symmetry classes for the phononic modes come into play. Their different contributions can be selected by a proper combination of the pump-probe polarization [21]. These three classes correspond to specific properties of the susceptibility tensor. In particular, for the classes called  $A$  (totally symmetric), and the two degenerate  $E_L$  (longitudinal) and  $E_T$  (transverse),  $(\chi_n^{(1)})_{\lambda\lambda'}$  have the form

$$A = \begin{pmatrix} a & 0 \\ 0 & a \end{pmatrix}, E^L = \begin{pmatrix} c_L & 0 \\ 0 & -c_L \end{pmatrix}, E^T = \begin{pmatrix} 0 & -c_T \\ -c_T & 0 \end{pmatrix}. \quad (20)$$

In the following, by varying the angle between the pump and the probe polarization, we discuss the transmittivity dependence on the symmetry classes.

##### 4.1. Model prediction

In order to make quantitative predictions, we fix specific features of the pulses. Both pump and probe are chosen to be linearly polarized laser beams with a Gaussian spectrum of height  $\alpha_0 > 0$

$$\alpha_j = \alpha_0 e^{-(j\delta)^2/(2\sigma^2)}, \quad (21)$$

where  $\sigma$  is the width of the pulse frequency distribution. The difference in intensity between pump and probe is accounted for by setting  $|\alpha_0^{pump}| \gg |\alpha_0^{probe}|$ . We consider a reference frame such that the probe is initially polarized along the  $x$  axis while the pump is oriented at an angle  $\theta$  with respect to it. In order to make the dependence on  $\theta$  explicit, we choose the initial state of the pump such that  $a_{xj}|\alpha_j^{pump}\rangle = \alpha_j^{pump}|\alpha_j^{pump}\rangle$  where

$$\alpha_{xj}^{pump} = \alpha_j^{pump} \cos(\theta), \quad \alpha_{yj}^{pump} = \alpha_j^{pump} \sin(\theta). \quad (22)$$

The transmittivity of the probe after the action of the pump depends on the radial parameter  $R$  introduced in (16), which, according to our model, contains all the information about the phonon dynamics. In particular, it turns out that

$$\begin{aligned} R_A &= a \eta_{\Omega_A}^{pump}, \\ R_{E^L} &= c_L \cos(2\theta) \eta_{\Omega_E}^{pump}, \\ R_{E^T} &= -c_T \sin(2\theta) \eta_{\Omega_E}^{pump}, \end{aligned} \quad (23)$$

where the parameter  $\eta_{\Omega}^{pump}$  has been defined as follows

$$\eta_{\Omega}^{pump} = \frac{\tau}{2V} \sum_j \omega_j |\alpha_j^{pump}| |\alpha_{j+\frac{\Omega}{\delta}}^{pump}|. \quad (24)$$

The final expressions for the dynamical modulation of transmitted intensity are simplified considering a small equilibrium rotation, i.e. considering up to linear order in the  $\chi^{(0)}$  coefficient  $|w|$ , (10). When the analyzer selects the polarization along the  $x$  axis, the leading contributions are the ISRS one (zero-th order in  $|w|$ )

$$\begin{aligned} \langle \Delta I_{xj}^{probe}(\tau) \rangle_t &= +\frac{\tau V_S}{2V} \omega_j |\alpha_j^{probe}| \\ &\times \left[ a^2 (|\alpha_{j+\frac{\Omega_A}{\delta}}^{probe}| - |\alpha_{j-\frac{\Omega_A}{\delta}}^{probe}|) \frac{\eta_{\Omega_A}^{pump}}{m_A \Omega_A} \cos(\Omega_A t) \right. \\ &\left. + c_L^2 \cos(2\theta) (|\alpha_{j+\frac{\Omega_E}{\delta}}^{probe}| - |\alpha_{j-\frac{\Omega_E}{\delta}}^{probe}|) \frac{\eta_{\Omega_E}^{pump}}{m_E \Omega_E} \cos(\Omega_E t) \right], \end{aligned} \quad (25)$$

while, choosing the polarization along the  $y$  axis the relevant terms are linear in  $|w|$

$$\begin{aligned} \langle \Delta I_{yj}^{probe}(\tau) \rangle_t &= \\ &+ \frac{\tau V_S}{2V} \frac{c_T^2 \eta_{\Omega_E}^{pump}}{m_E \Omega_E} \tau |w| \sin(2\theta) (1 - \cos \phi) |\alpha_j^{probe}| \\ &\times [2|\alpha_j^{probe}| + (|\alpha_{j+\frac{\Omega_E}{\delta}}^{probe}| + |\alpha_{j-\frac{\Omega_E}{\delta}}^{probe}|)] \sin(\Omega_E t). \end{aligned} \quad (26)$$

The first term in (26) is relative to the phonon dependent refraction (i.e. ruled by  $H_{Ref}^{(1)}$ ); the second is due to the combined action between ISRS ( $H_{Ram}$ ) and LRM equilibrium refraction ( $H_{Ref}^{(0)}$ ). The resulting intensity modulation evolves in phase with the phonon position and has the same sign for every frequency.

We summarize here some basic conclusions that can be drawn from the previous equations and which are compatible with the existing classical descriptions present in the literature [19, 20]:

- Different modes of vibration in the crystal can be selectively measured by appropriately choosing the polarization of the pump and probe pulses.
- The LRM effect does not couple different modes of light and produces a global amplitude modulation (18), whereas the Raman process gives a shift in the spectral weight, preserving the total intensity (14).
- For a given phonon, the modification of the transmittivity produced by the displacement dependent LRM effect oscillates in time with a different phase with respect to the momentum dependent ISRS shift effect. In particular, when the Raman effect is maximum the refractive modulation is zero and viceversa, so that it is possible to distinguish the two processes looking at specific time-delays between pump and probe.

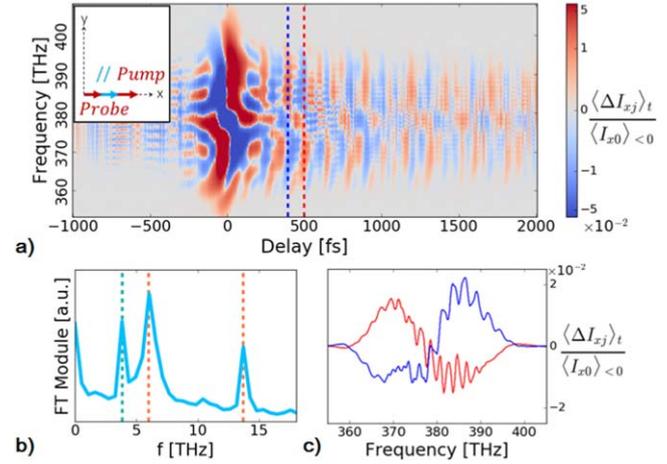
#### 4.2. Experiment

In pump&probe experiments we measure the modulation of the probe transmitted intensity  $\langle \Delta I_{\lambda j}^{probe} \rangle_t = \langle I^{probe}(\tau)_{\lambda j} \rangle_t - \langle I^{probe}(\tau)_{\lambda j} \rangle_{<0}$ , as a function of time delay and probe frequency. The experimental outcome can thus be compared with the theoretical prediction obtained by means of the expressions (25) and (26). Furthermore, by adjusting the experimental parameters, one can select the pump orientation  $\theta$  and the analyzed polarization  $\lambda$ . The experimental setup details are given in the supplementary material [32]. The employed pulse fluences are  $0.8 \text{ mJ cm}^{-2}$  for the pump and  $0.7 \mu\text{J cm}^{-2}$  for the probe.

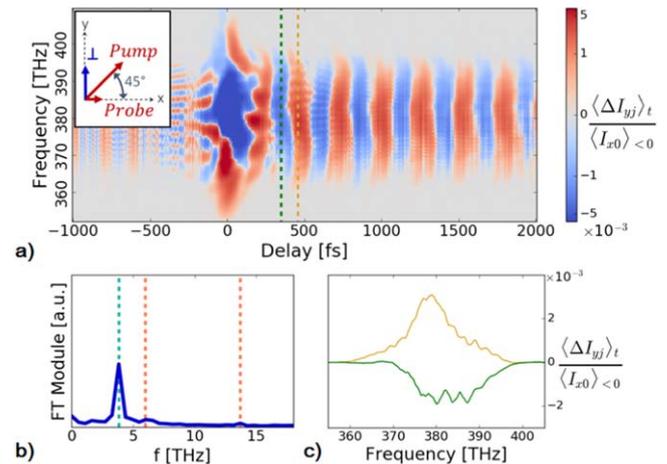
In this section we present two peculiar configurations, the particular geometry of which is useful to discuss and verify the main predicted features and distinguish LRM and ISRS effects. We present the data normalized by the unperturbed (negative times) peak intensity  $\langle I^{probe}(\tau)_{x0} \rangle_{<0}$ . We obtain the phonon frequencies performing the Fourier transform (FT) of the positive delays and we focus on the spectral shape of the modulation at relevant times. We set the zero delay at the center of the overlap between pump and probe pulses (pulse duration  $\simeq 40 \text{ fs}$ )<sup>9</sup>.

In figure 3 we report the measurement obtained with the pump polarized along the  $x$  axis,  $\theta = 0^\circ$ , and the transmitted light is measured in the parallel polarization. We notice in the phonon spectrum the presence of both  $A$  and  $E$  symmetry

<sup>9</sup> Notice that around this region the interpretation is complicated by interference and other effects related to the pulse duration.



**Figure 3.** Results depending on the relative orientation between pump and probe polarizations and analyzer direction. (a) Spectral modulation vs delay in the ( $\lambda = x$ ,  $\theta = 0^\circ$ ) configuration (insert) is presented. (b) FT: 4 THz  $E_L$  phonon is detected, together with 6 and 14 THz  $A$  symmetry modes. (c) The transmittivity modulation is selected at  $t = 391 \text{ fs}$  (blue) and  $t = 498 \text{ fs}$  (red). Spectral shifts resulting from ISRS are observed.



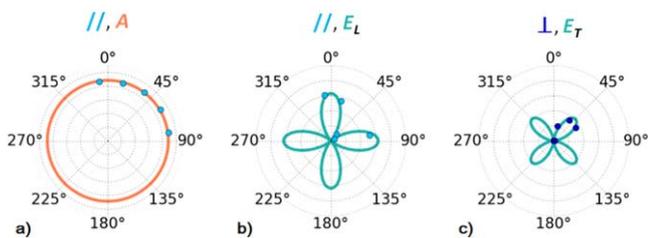
**Figure 4.** (a) Spectral modulation vs delay in the ( $\lambda = y$ ,  $\theta = 45^\circ$ ) configuration (insert) is presented. (b) FT: only the 4 THz  $E_T$  phonon is detected. (c) The transmittivity modulation is selected at  $t = 351 \text{ fs}$  (green) and  $t = 458 \text{ fs}$  (gold). As expected for the refractive modulation the transmittivity change has the same sign for the whole spectrum.

peaks and we highlight the ISRS red/blue-shift modulations. This is consistent with the theoretical model (25).

In figure 4, we keep the probe oriented along  $x$ , while the pump is rotated  $\theta = 45^\circ$  and the analyzer is set cross ( $y$  axis). We observe modulations of the same sign along the full spectrum. The model (26) correctly predicts that only the oscillation produced by the transverse mode is visible in the FT.

A comparison between figures 3 and 4 confirms the phase shift between ISRS and LRM predicted by the model.

In addition to these configurations, we verify the symmetry properties by means of measurements for other values of  $\theta$ . In figure 5 we show summary polar plots where we represent the peak intensity of the FT for a selected phonon



**Figure 5.** Polar plots representative of the different phonon symmetries are presented for an analyzer setted parallel (a), (b) or orthogonal (cross) (c) to the probe polarization. Measured signal intensity (dots) for each considered mode is fitted (line) with the proper dependence on relative orientation between pump&probe, suggested by (23). (a)  $A$ -mode 6 THz, (b)  $E^L$ -mode 4 THz phonon, parallel polarization. (c)  $E^T$ -mode 4 THz phonon, cross polarization.

as a function of the pump orientation and the selected polarization.

## 5. Conclusions

In conclusion, we presented a theoretical quantum model that describes the interactions between the vibrational degrees of freedom of a crystal (phonons) and a multimode light beam. Two different effects, not clearly distinguished in the literature, have been studied, namely the ISRS and the LRM. The model is applied to the description of pump&probe experiments, where a first intense laser pulse is used to excite the vibrations in a sample and then a second light beam is used to probe the dynamics of these vibrations, highlighting the different features in the two situations. In particular, for experiments based on  $\alpha$ -quartz, in different geometrical settings the theoretical predictions agree with the experimental findings. Though the results are compatible with classical descriptions of light-matter interaction, the quantum model can be applied to more general situations where classical models fail. In this respect, this paper should be seen as a first benchmark on the quality of our model which can be further exploited in the context of different measurements unveiling purely quantum effects. Moreover, it can be used as a tool to infer the non-equilibrium properties of complex materials via spectroscopic measurement.

## Acknowledgments

This work was supported by the European Research Council through the project INCEPT (grant Agreement No. 677488).

FG, GS, ME and DF worked on the pump-probe experiment with quartz, while FG, SM, AB and FB developed the theoretical model.

FG and SM contributed equally to this work.

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